

# The lost art of software modelling



Simon Brown



@simonbrown

Over the past decade, many  
teams have thrown away  
big design up front





## 97 Strategies to Avoid Up Front Design

O RLY?

*Vera Gile*

#13

# “We’re agile.”



## 97 Strategies to Avoid Up Front Design

O RLY?

*Vera Gile*

#17

“It’s not expected  
in agile.”



97 Strategies to Avoid  
Up Front Design

O RLY?

*Vera Gile*

#1

“Are we allowed  
to do  
up front design?”





## 97 Strategies to Avoid Up Front Design

O RLY?

*Vera Gile*

#12

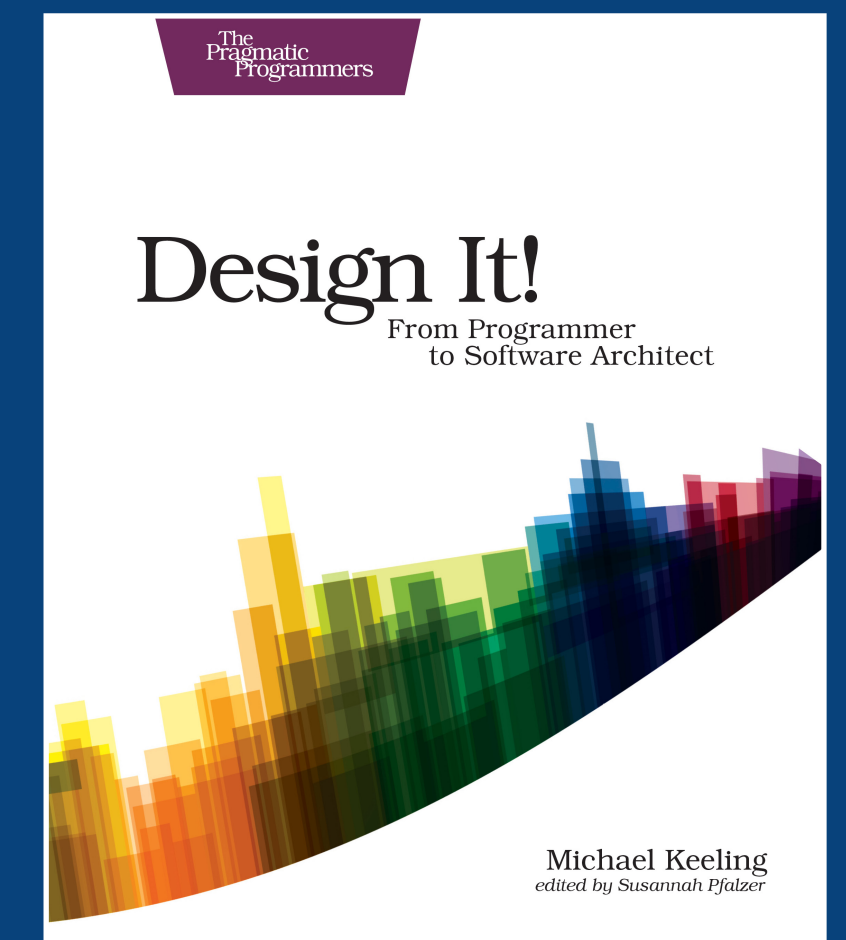
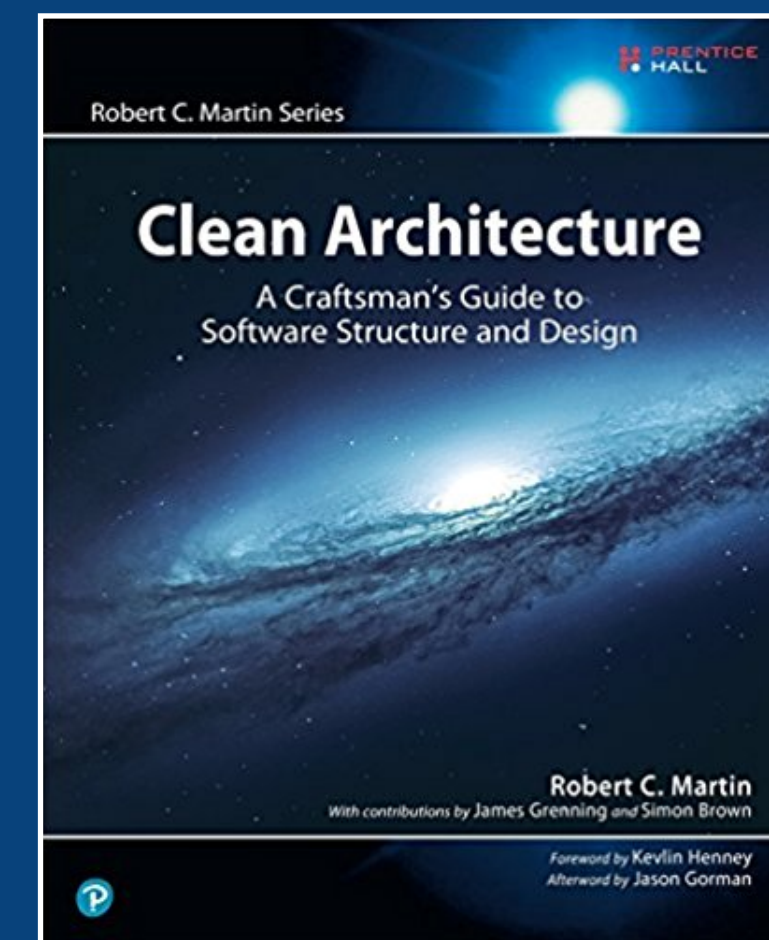
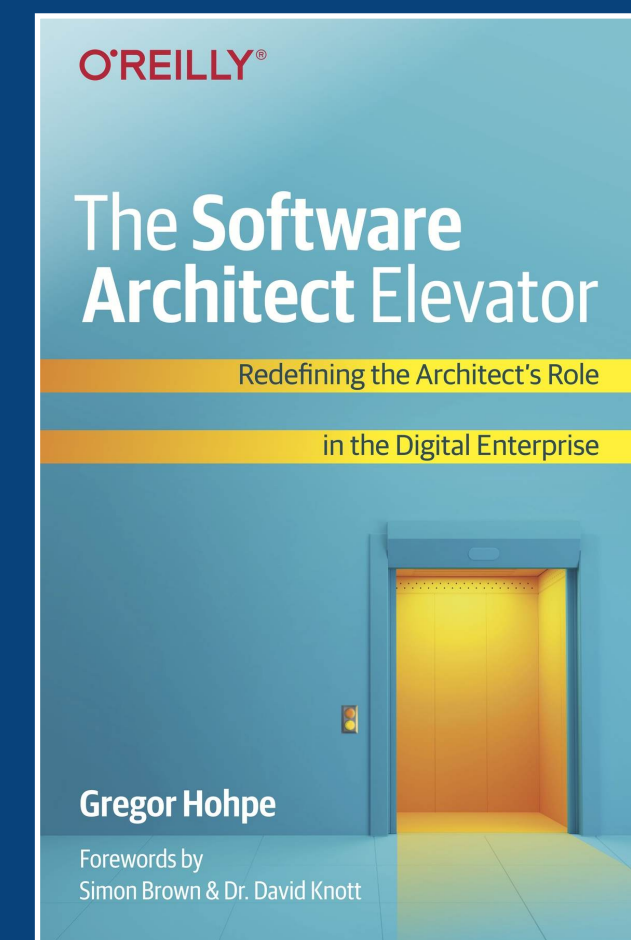
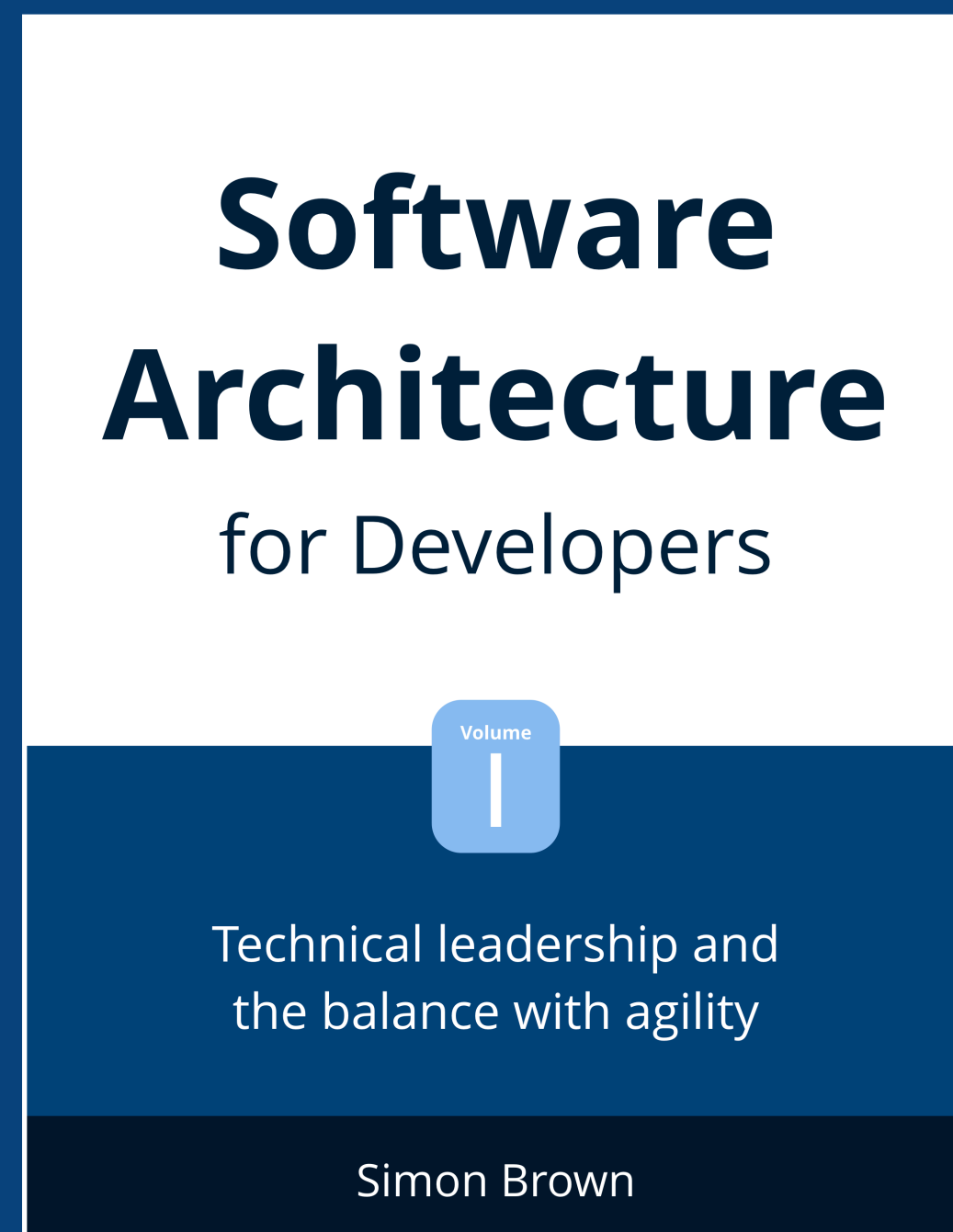
“We don't do up  
front design  
because we do XP.”

Unfortunately, architectural thinking, documentation, diagramming, and modelling were also often discarded

# Simon Brown

Independent consultant specialising in software architecture,  
plus the creator of the C4 model and Structurizr

@simonbrown





# Financial Risk System

## 1. Context

A global investment bank based in London, New York and Singapore trades (buys and sells) financial products with other banks (“counterparties”). When share prices on the stock markets move up or down, the bank either makes money or loses it. At the end of the working day, the bank needs to gain a view of how much risk of losing money they are exposed to, by running some calculations on the data held about their trades. The bank has an existing Trade Data System (TDS) and Reference Data System (RDS) but needs a new Risk System.

### 1.1. Trade Data System

The Trade Data System maintains a store of all trades made by the bank. It is already configured to generate a file-based XML export of trade data to a network share at the close of business at 5pm in New York. The export includes the following information for every trade made by the bank:

- Trade ID, Date, Current trade value in US dollars, Counterparty ID

### 1.2. Reference Data System

The Reference Data System stores all of the reference data needed by the bank. This includes information about counterparties (other banks). A file-based XML export is also generated to a network share at 5pm in New York, and it includes some basic information about each counterparty. A new reference data system is due for completion in the next 3 months, and the current system will eventually be decommissioned. The current data export includes:

- Counterparty ID, Name, Address, etc...

## 2. Functional Requirements

1. Import trade data from the Trade Data System.
2. Import counterparty data from the Reference Data System.
3. Join the two sets of data together, enriching the trade data with information about the counterparty.
4. For each counterparty, calculate the risk that the bank is exposed to.
5. Generate a report that can be imported into Microsoft Excel containing the risk figures for all counterparties known by the bank.
6. Distribute the report to the business users before the start of the next trading day (9am) in Singapore.
7. Provide a way for a subset of the business users to configure and maintain the external parameters used by the risk calculations.

## 3. Non-functional Requirements

### a. Performance

- Risk reports must be generated before 9am the following business day in Singapore.

### b. Scalability

- The system must be able to cope with trade volumes for the next 5 years.
  - The Trade Data System export includes approximately 5000 trades now and it is anticipated that there will be slow but steady growth of 10 additional trades per day.
  - The Reference Data System export includes approximately 20,000 counterparties and growth will be negligible.
- There are 40-50 business users around the world that need access to the report.

### c. Availability

- Risk reports should be available to users 24x7, but a small amount of downtime (less than 30 minutes per day) can be tolerated.

### d. Failover

- Manual failover is sufficient, provided that the availability targets can be met.

### e. Security

- This system must follow bank policy that states system access is restricted to authenticated and authorised users only.
- Reports must only be distributed to authorised users.
- Only a subset of the authorised users are permitted to modify the parameters used in the risk calculations.
- Although desirable, there are no single sign-on requirements (e.g. integration with Active Directory, LDAP, etc).
- All access to the system and reports will be within the confines of the bank's global network.

### f. Audit

- The following events must be recorded in the system audit logs:
  - Report generation.
  - Modification of risk calculation parameters.

### g. Fault Tolerance and Resilience

- The system should take appropriate steps to recover from an error if possible, but all errors should be logged.
- Errors preventing a counterparty risk calculation being completed should be logged and the process should continue.

### h. Internationalization and Localization

- All user interfaces will be presented in English only.
- All reports will be presented in English only.
- All trading values and risk figures will be presented in US dollars only.

### i. Monitoring and Management

- A Simple Network Management Protocol (SNMP) trap should be sent to the bank's Central Monitoring Service in the following circumstances:
  - When there is a fatal error with the system.
  - When reports have not been generated before 9am Singapore time.

### j. Data Retention and Archiving

- Input files used in the risk calculation process must be retained for 1 year.

### k. Interoperability

- Interfaces with existing data systems should conform to and use existing data formats.



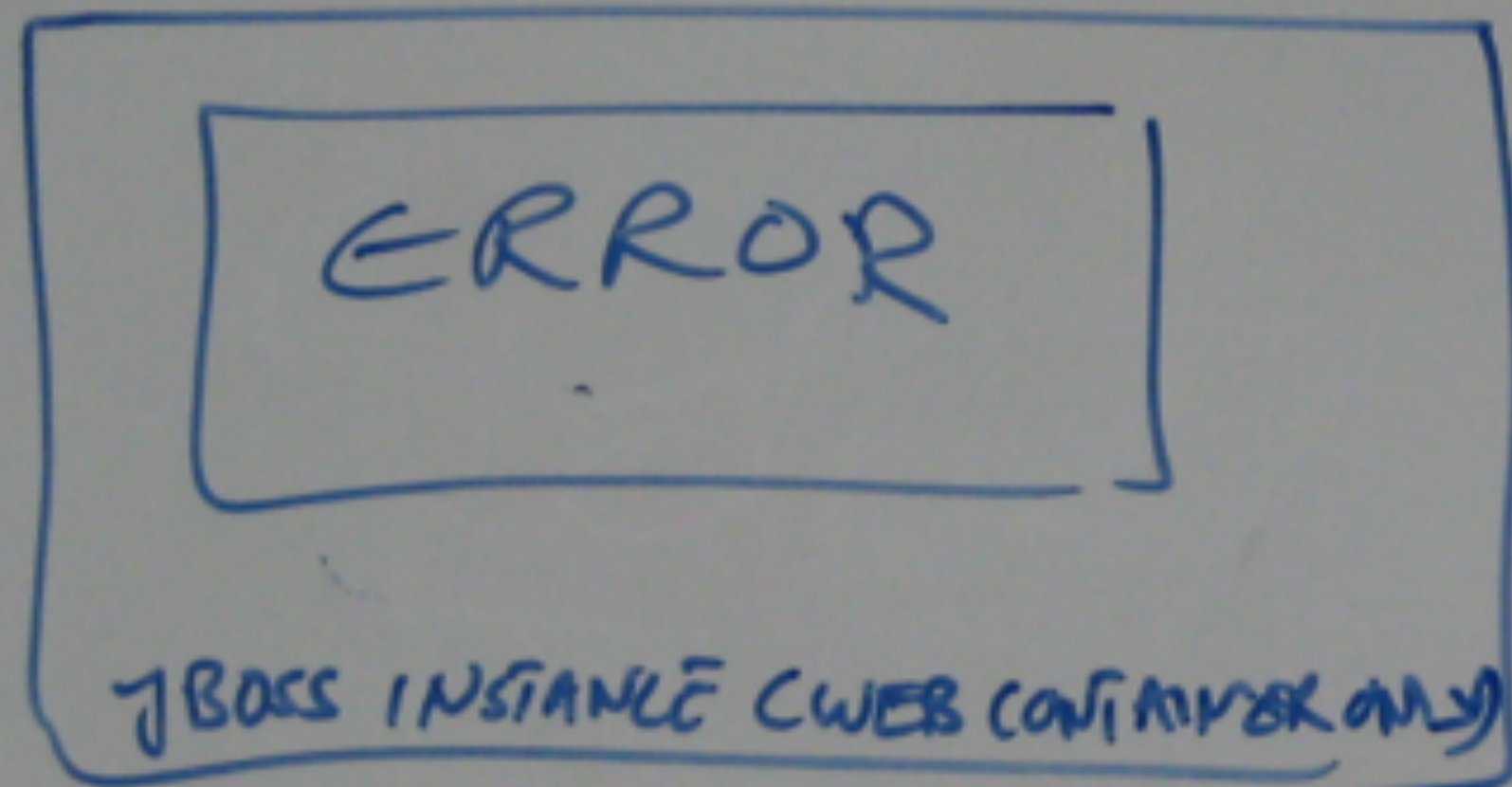
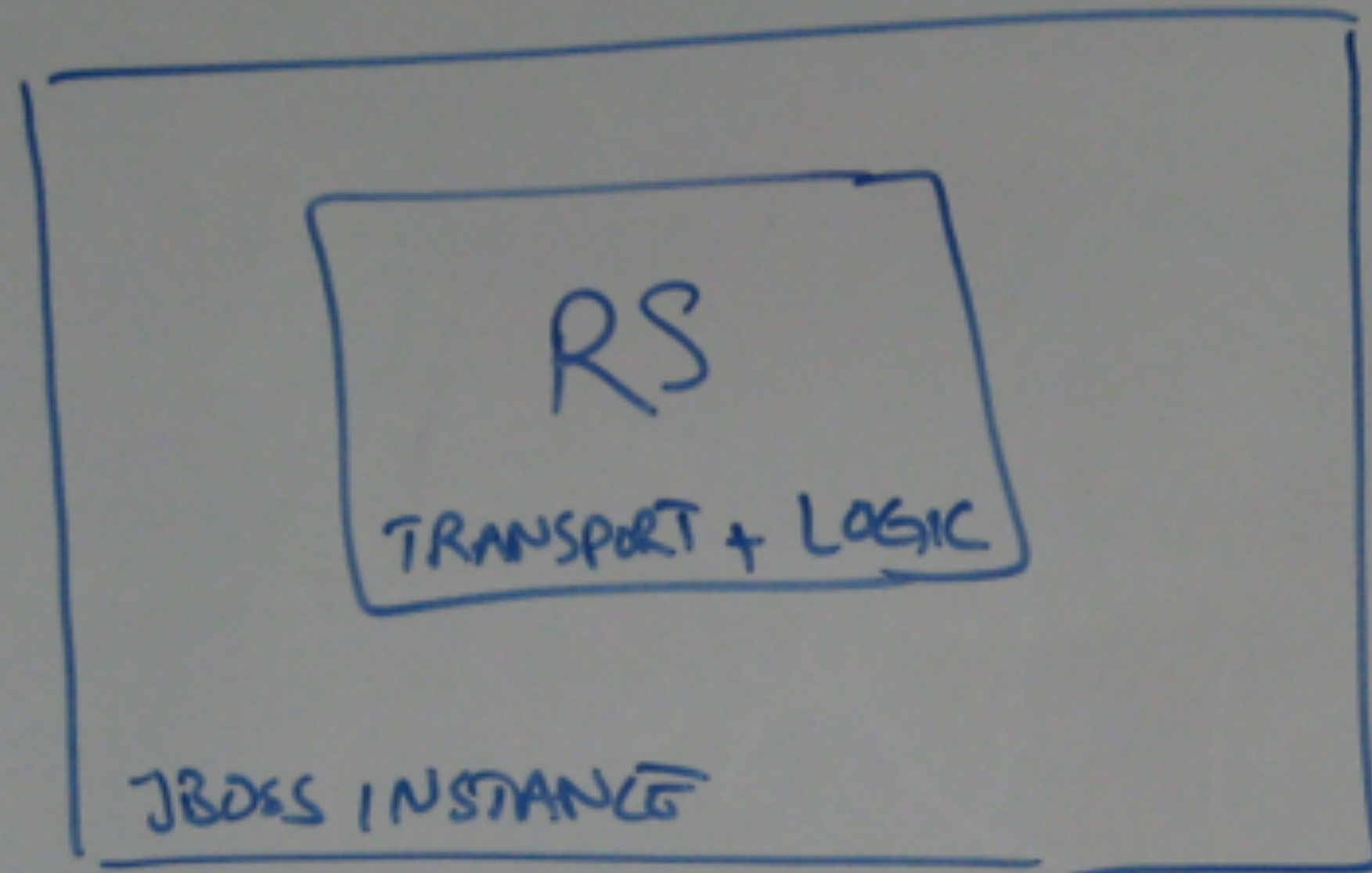
**Design** a software solution for the “Financial Risk System”, and **draw** one or more architecture diagrams to describe your solution



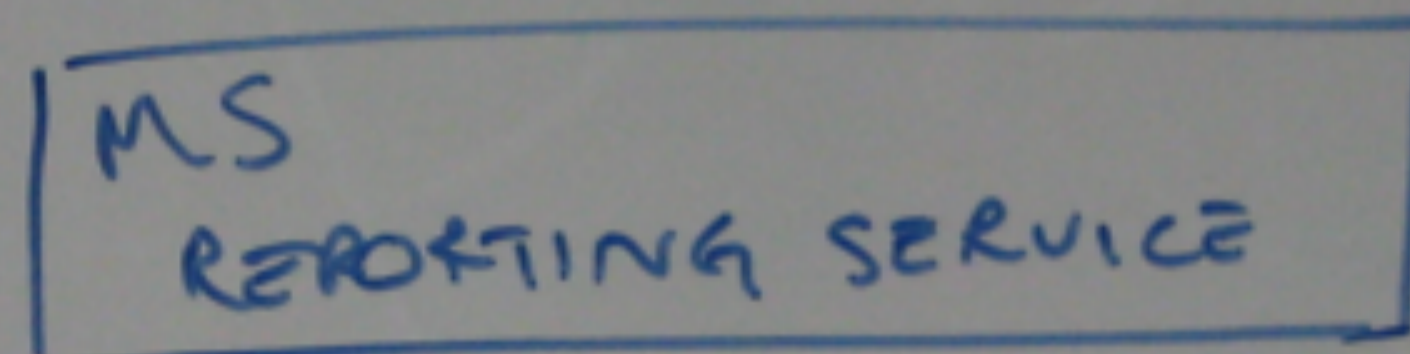
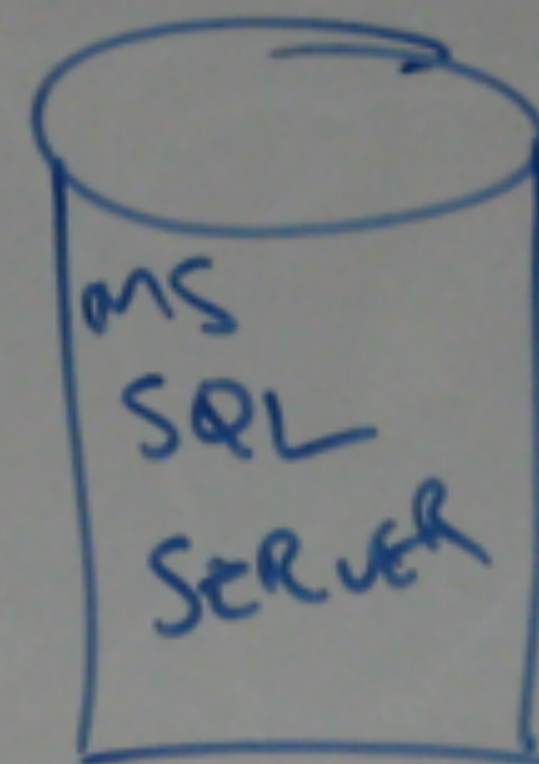
60-90 minutes



## UNIX BOX

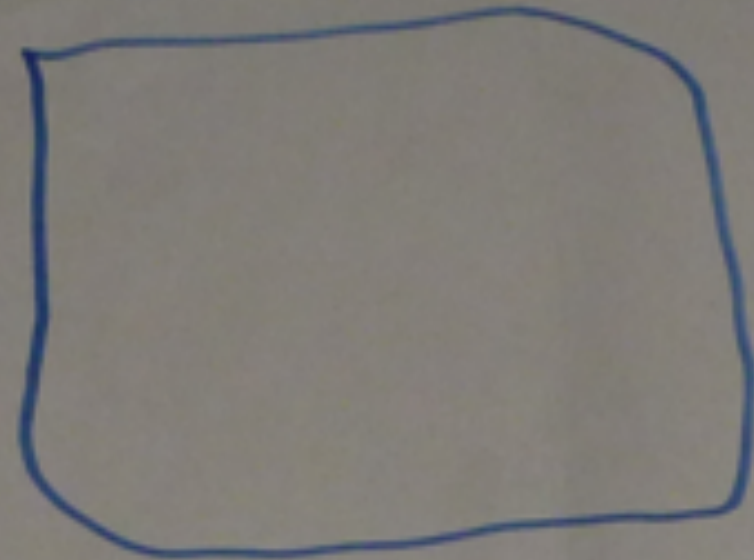


## WINDOWS BOX





ASP  
NET



LOGGING  
SERVICE

PARAMETER  
MANAGER

RISK  
CALCULATION

REPORT  
GENERATOR

DATA  
IMPORT

AUDITING

VALIDATION

server

TDS

RDS

~~INTER~~  
RISK  
DATA

PARAMS

SECURITY

AUDIT



# FUNCTIONAL VIEW

File Retriever

Scheduler

Auditing

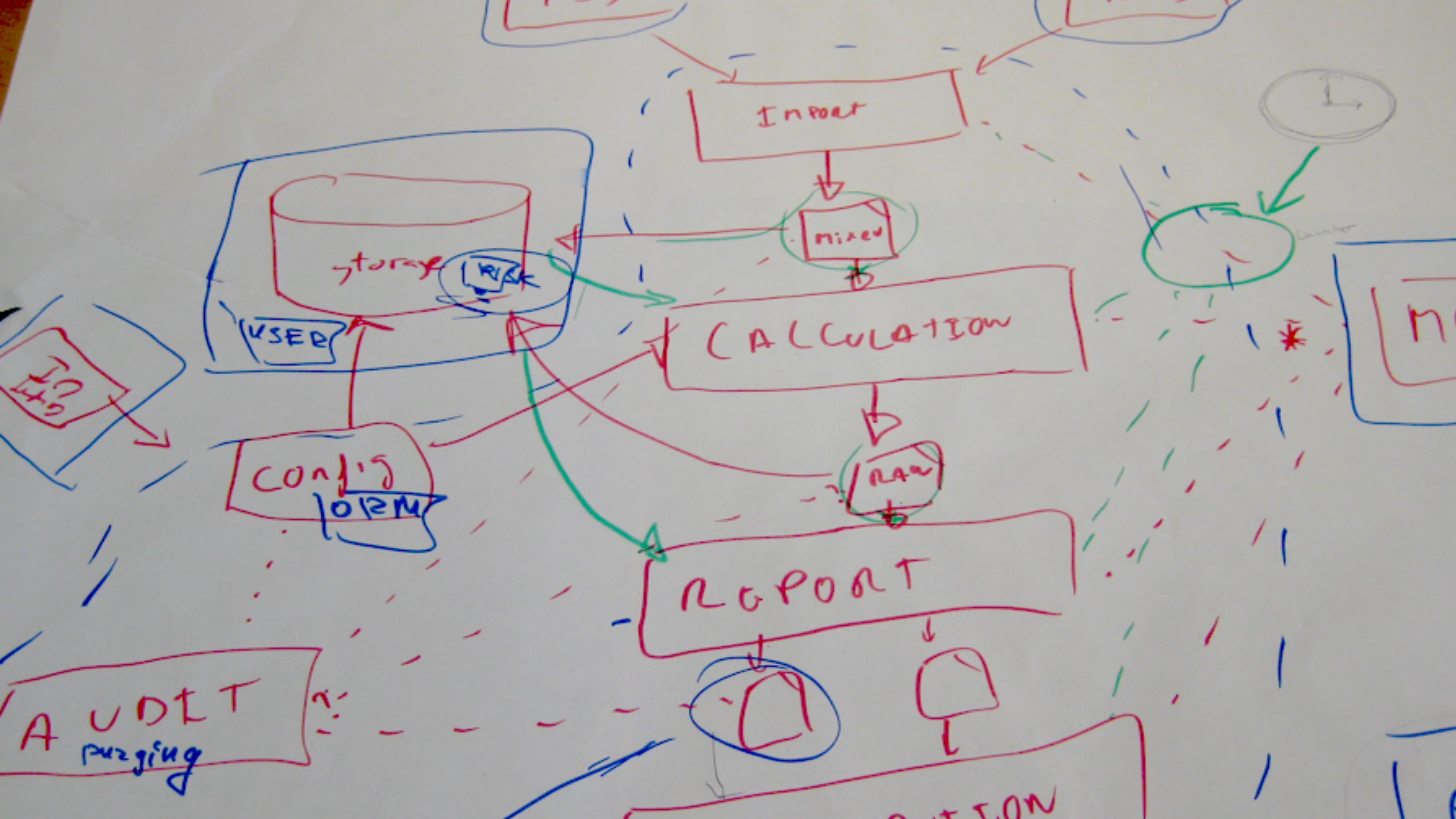
Reference  
Archiver

Risk Assessment  
Processor

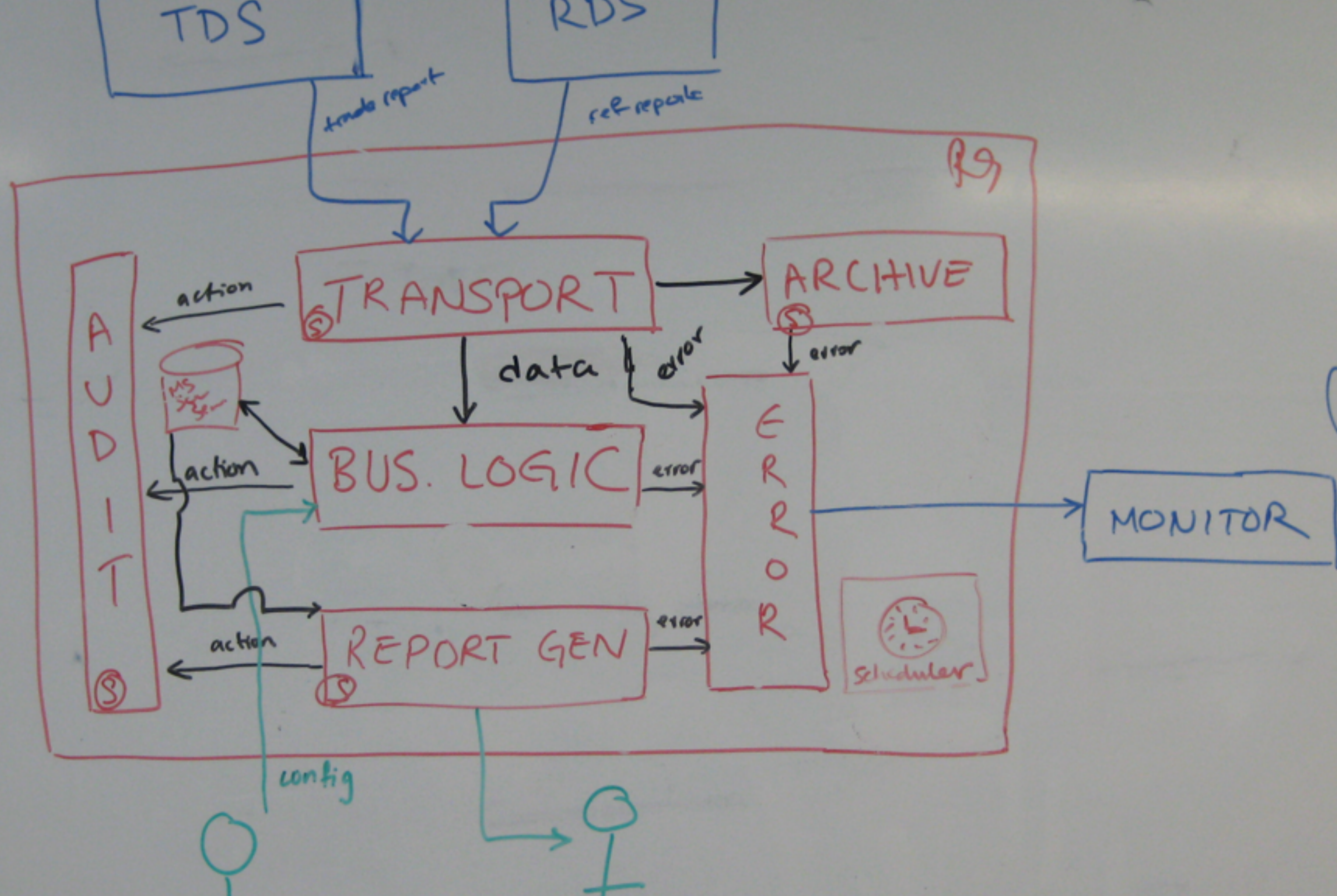
Risk Parameter  
Configuration

Report

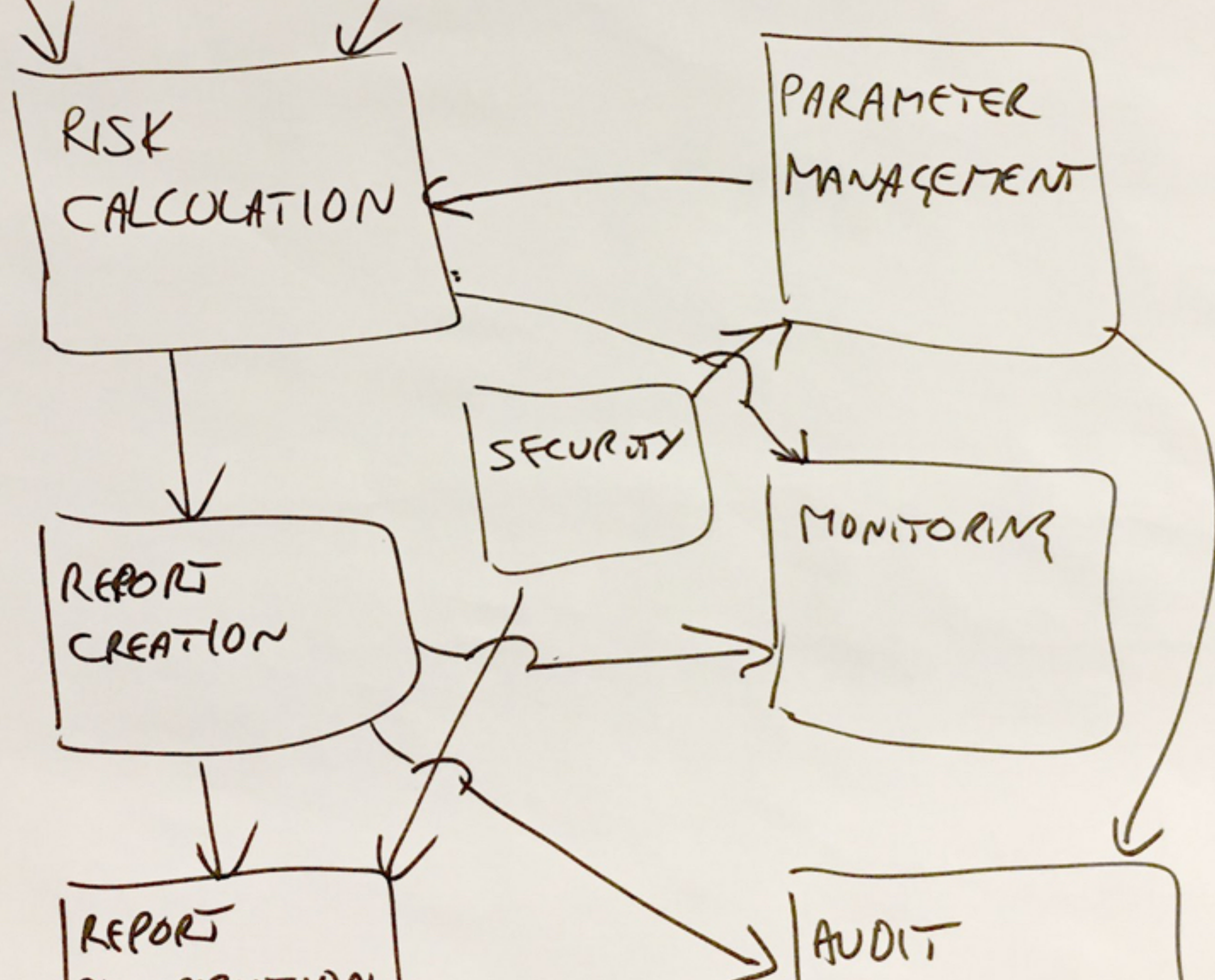




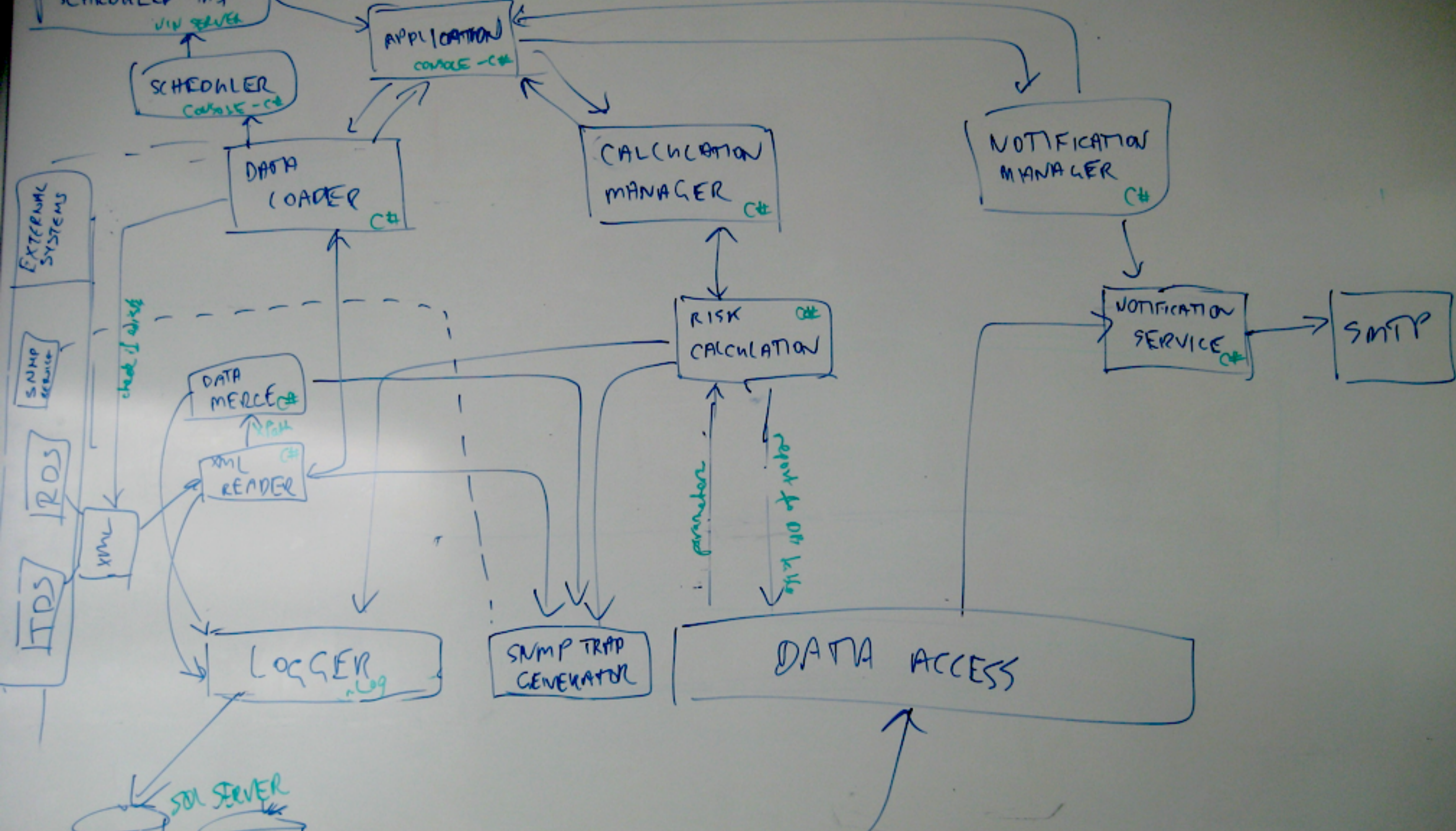




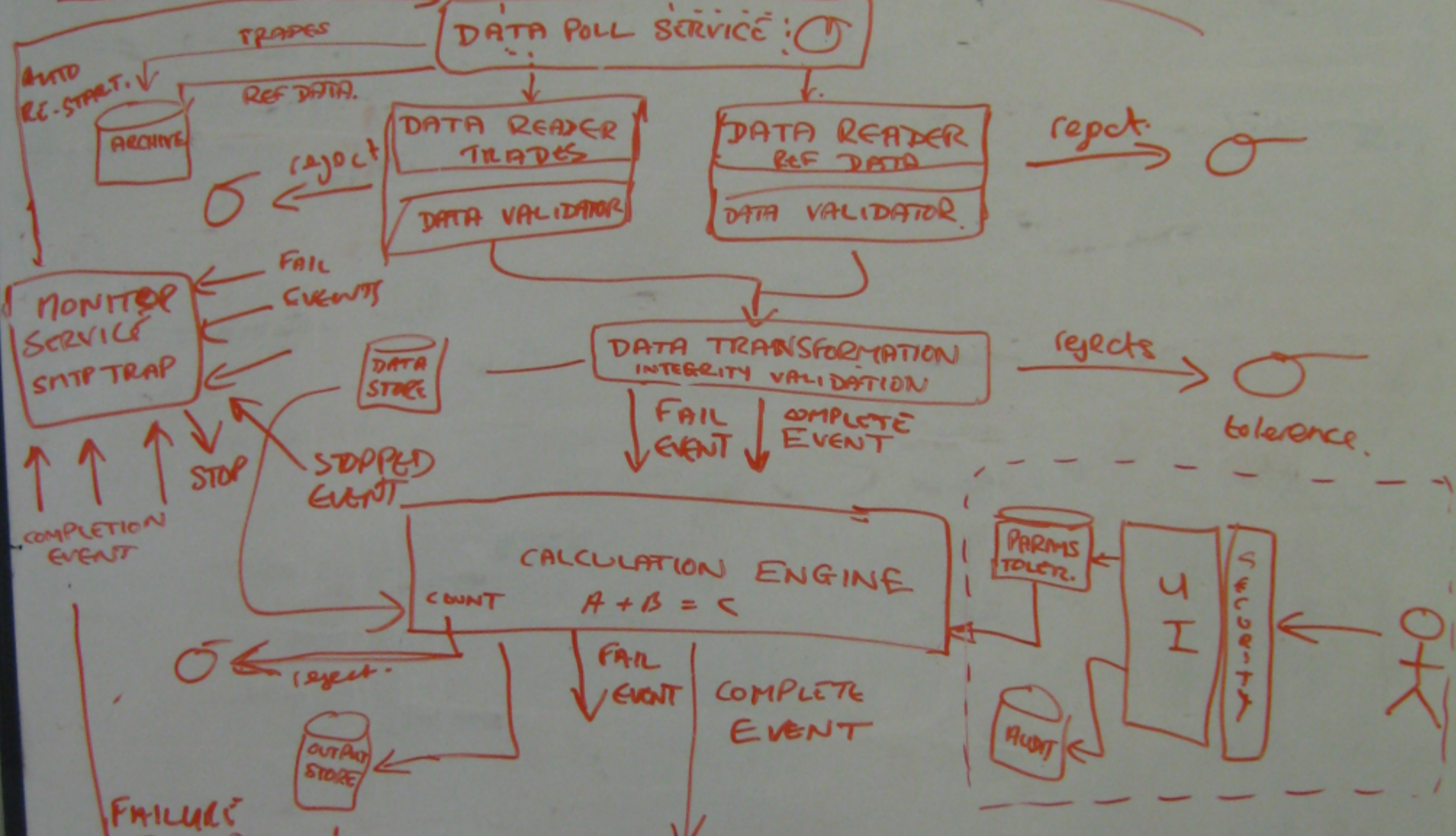




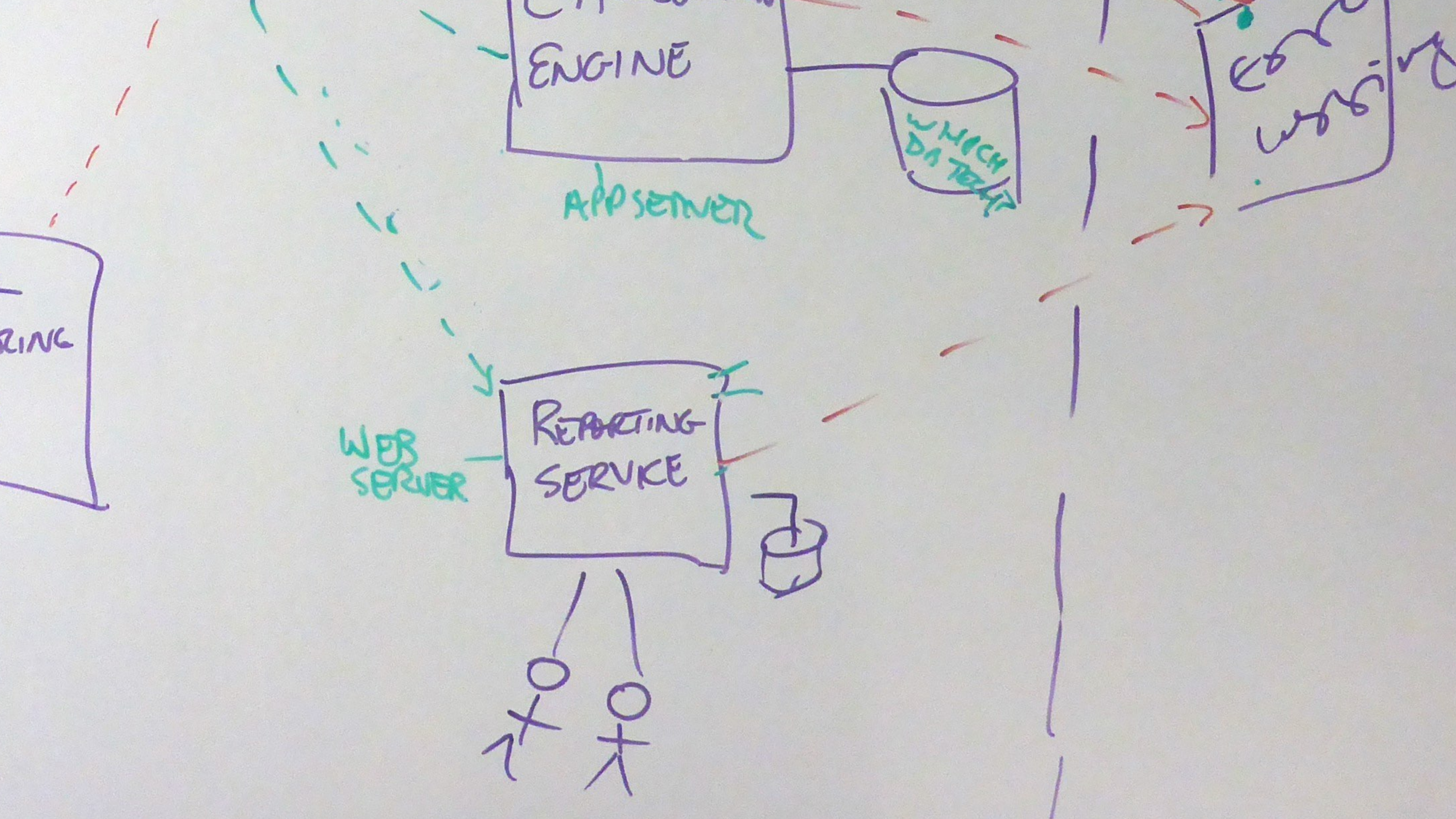


















Params

Calcs

Params

ret - client

ret - client

~~Calcs~~ Risk outputs

Flow App Log

EH?

App  
Flow Log  
Date  
- Risk Cell  
- calcs  
- Par

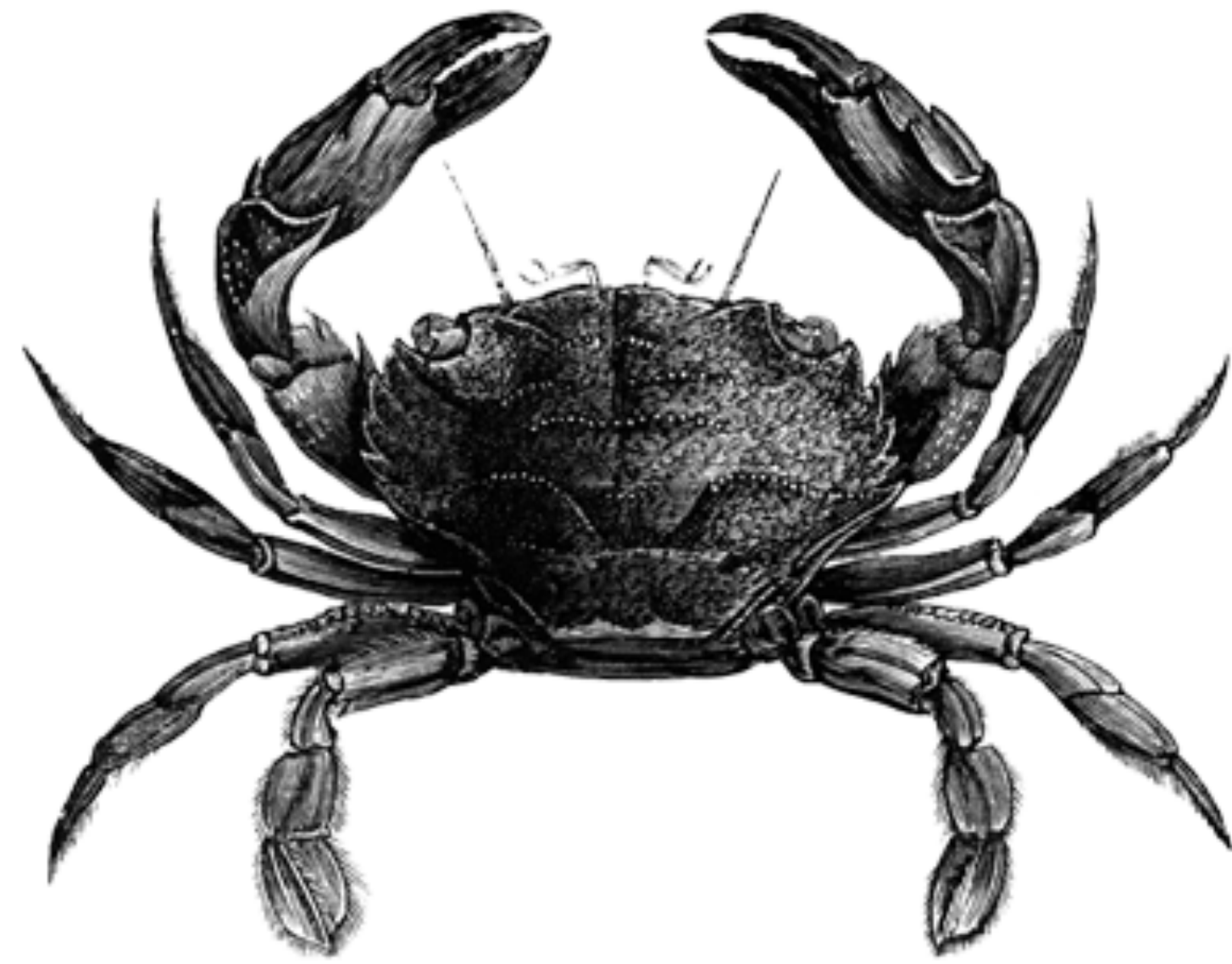
Params - Distinct

Batch

Batch  
B-id:  
cust id



# UML?



## 97 Ways to Sidestep UML

O RLY?

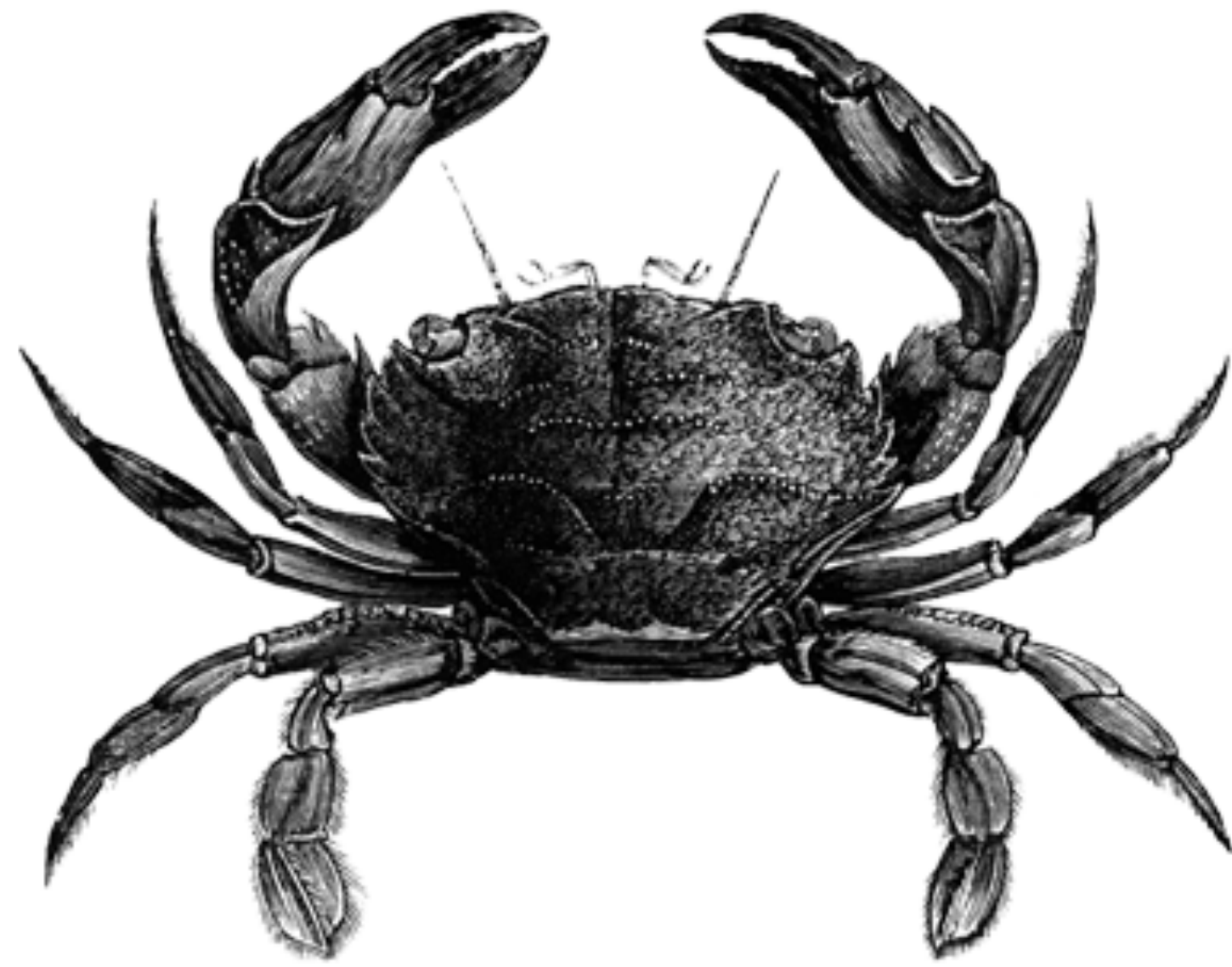
*Knowfa Mallity*

#3

“I’m the only  
person  
on the team  
who knows it.”



In my experience, optimistically,  
1 out of 10 people use UML



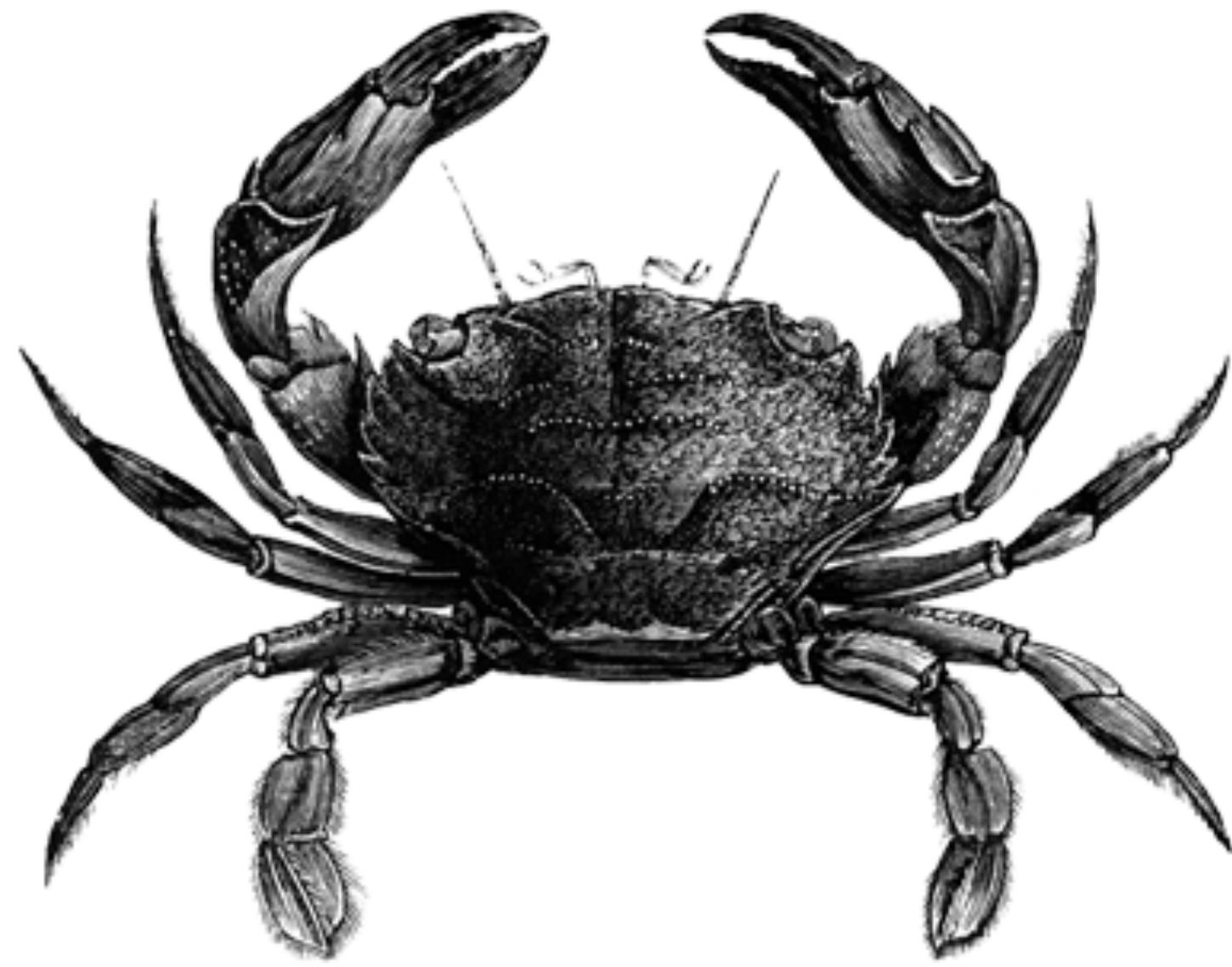
# 97 Ways to Sidestep UML

O RLY?

*Knowfa Mallity*

#36

“You’ll be seen as  
old.”



# 97 Ways to Sidestep UML

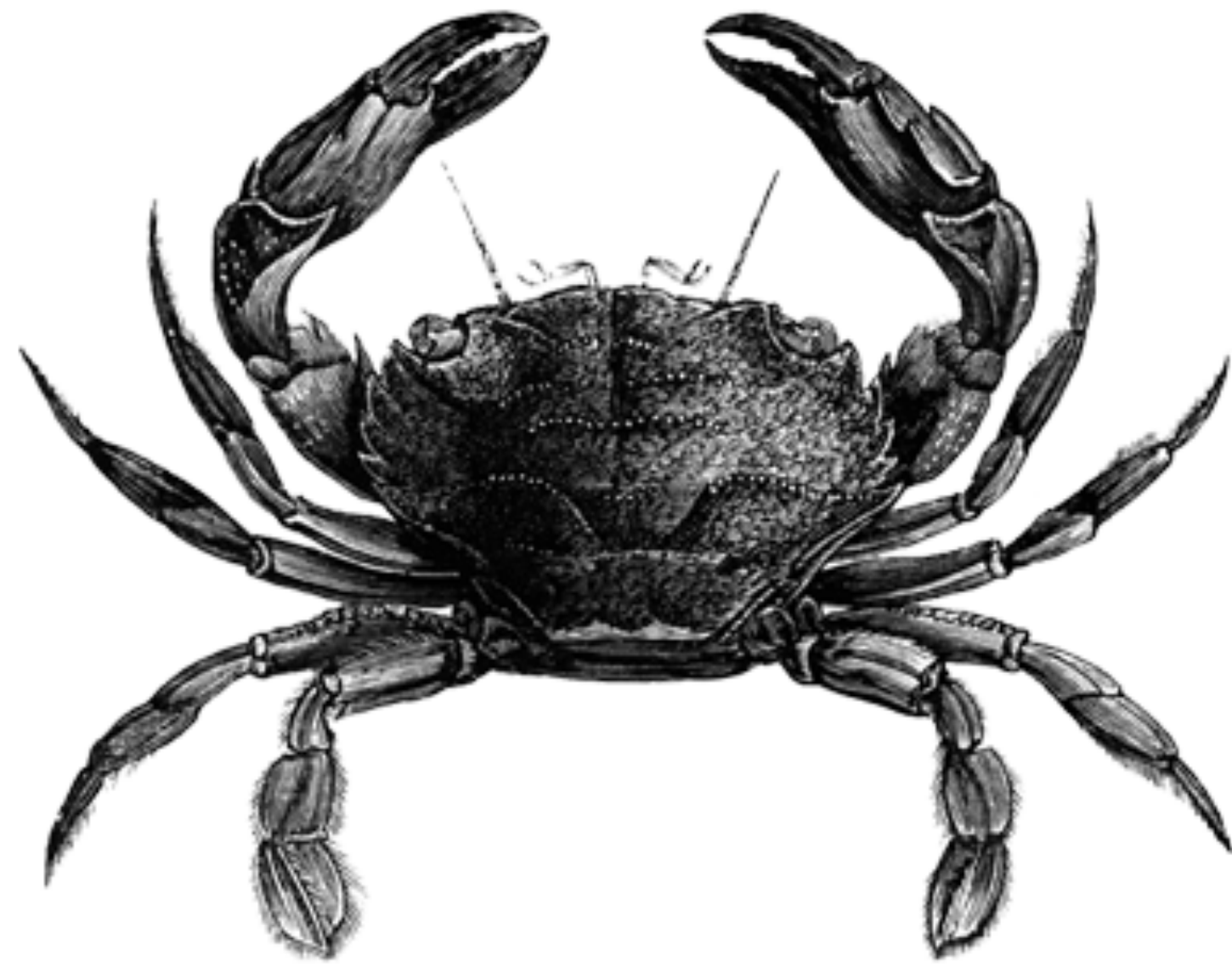
O RLY?

*Knowfa Mallity*

#37

“You’ll be seen as old-fashioned.”





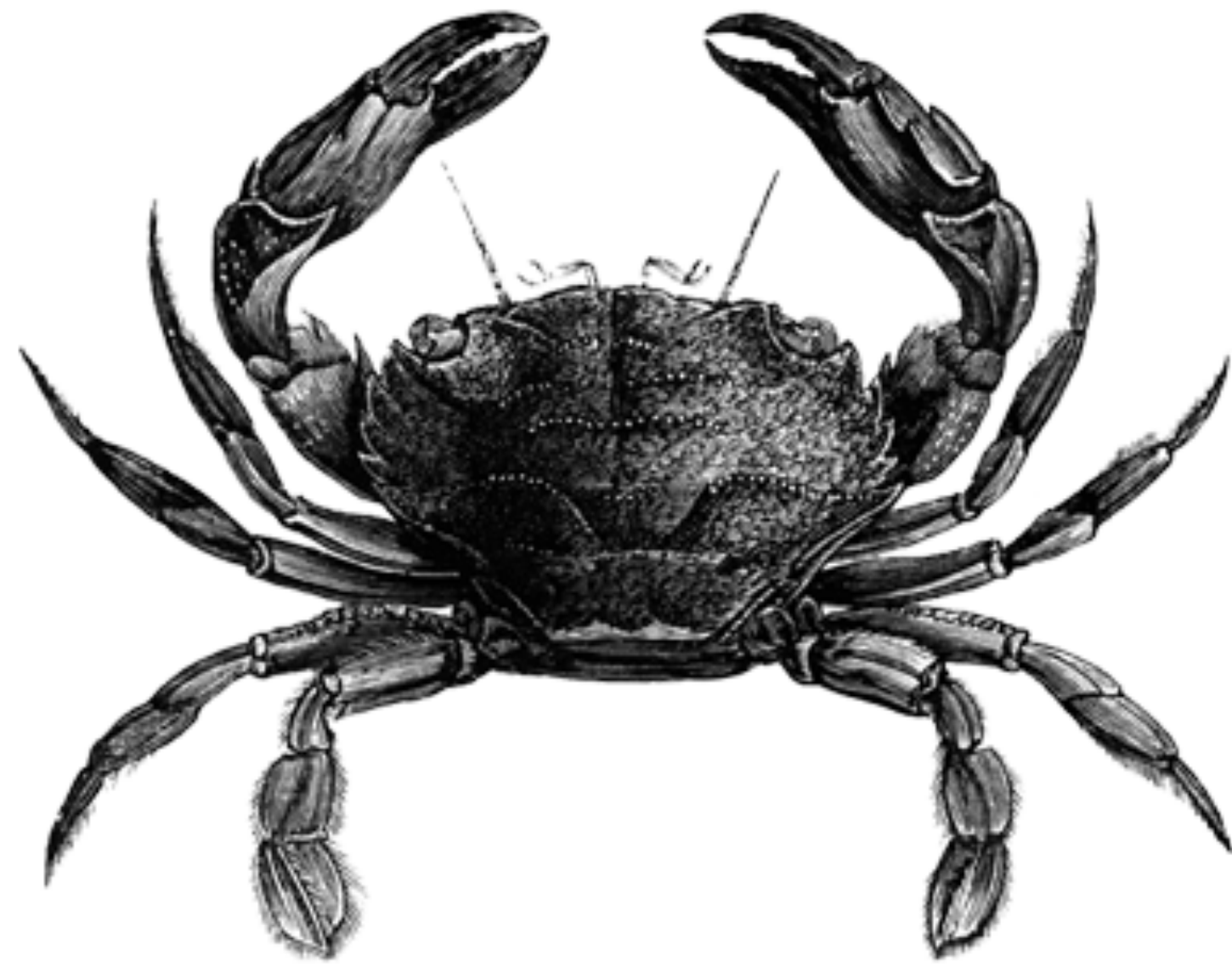
## 97 Ways to Sidestep UML

O RLY?

*Knowfa Mallity*

#46

“We don’t want to  
tell developers  
what to do.”



# 97 Ways to Sidestep UML

O RLY?

*Knowfa Mallity*

#80

“It’s too detailed.”





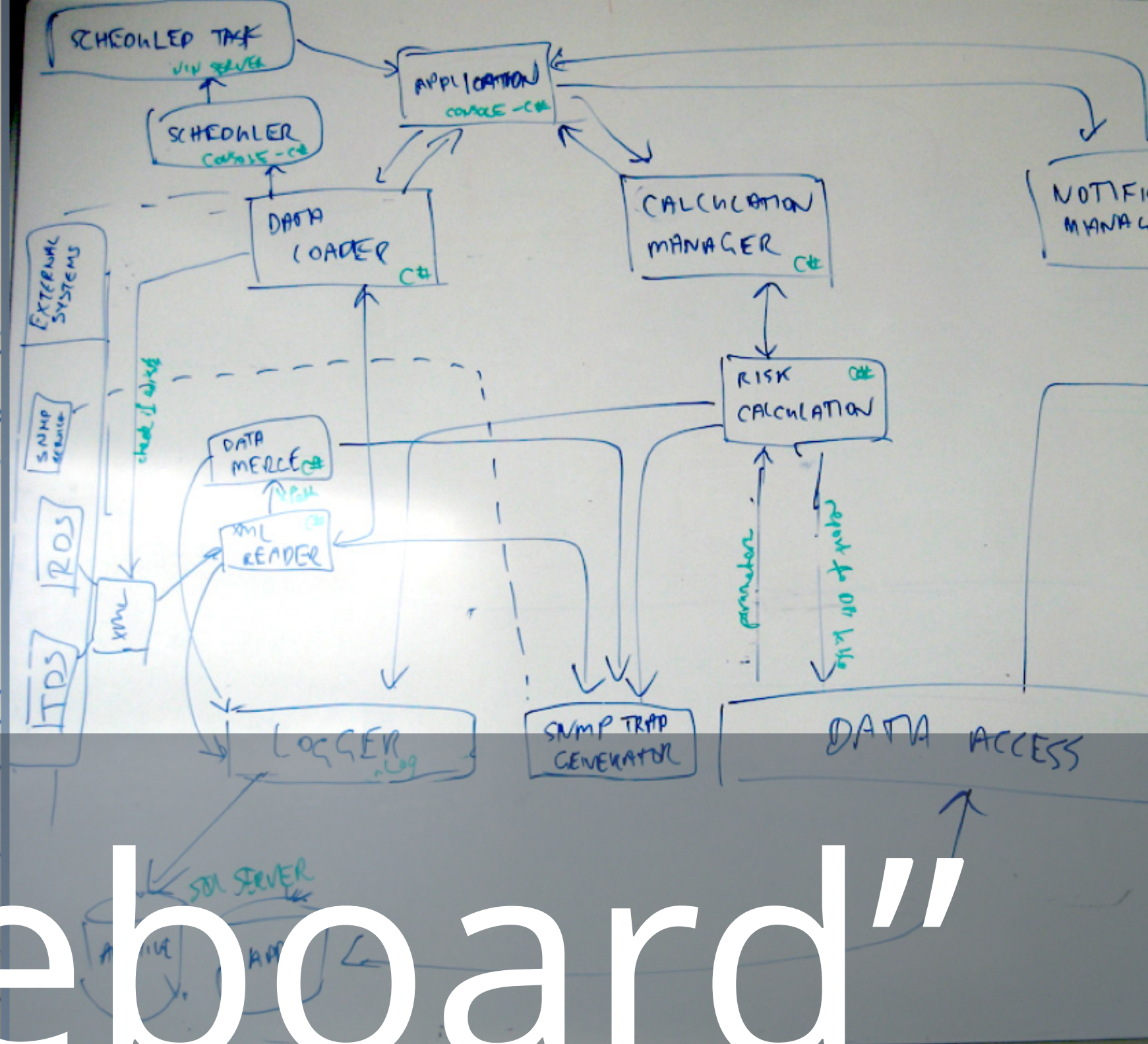
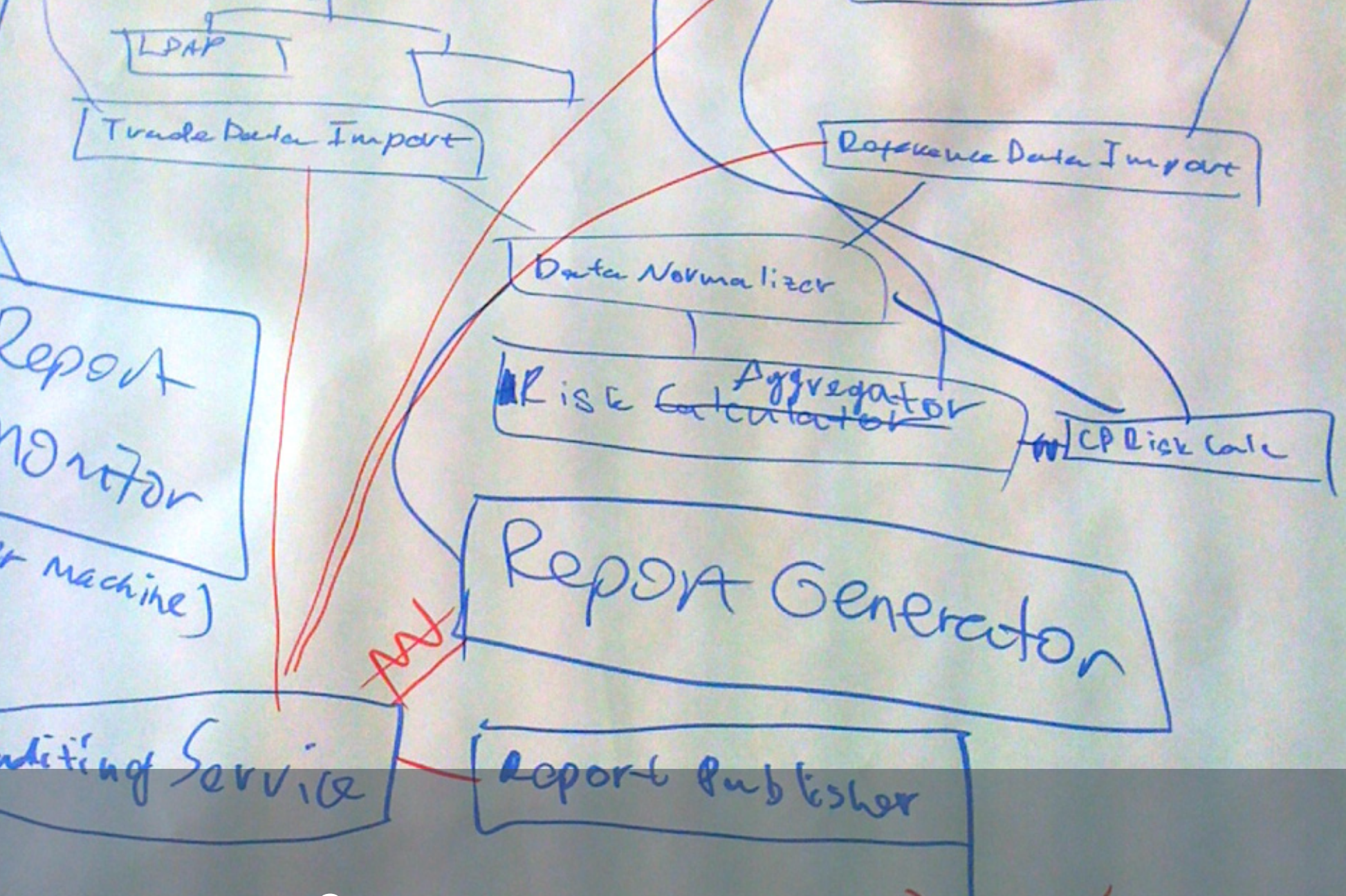




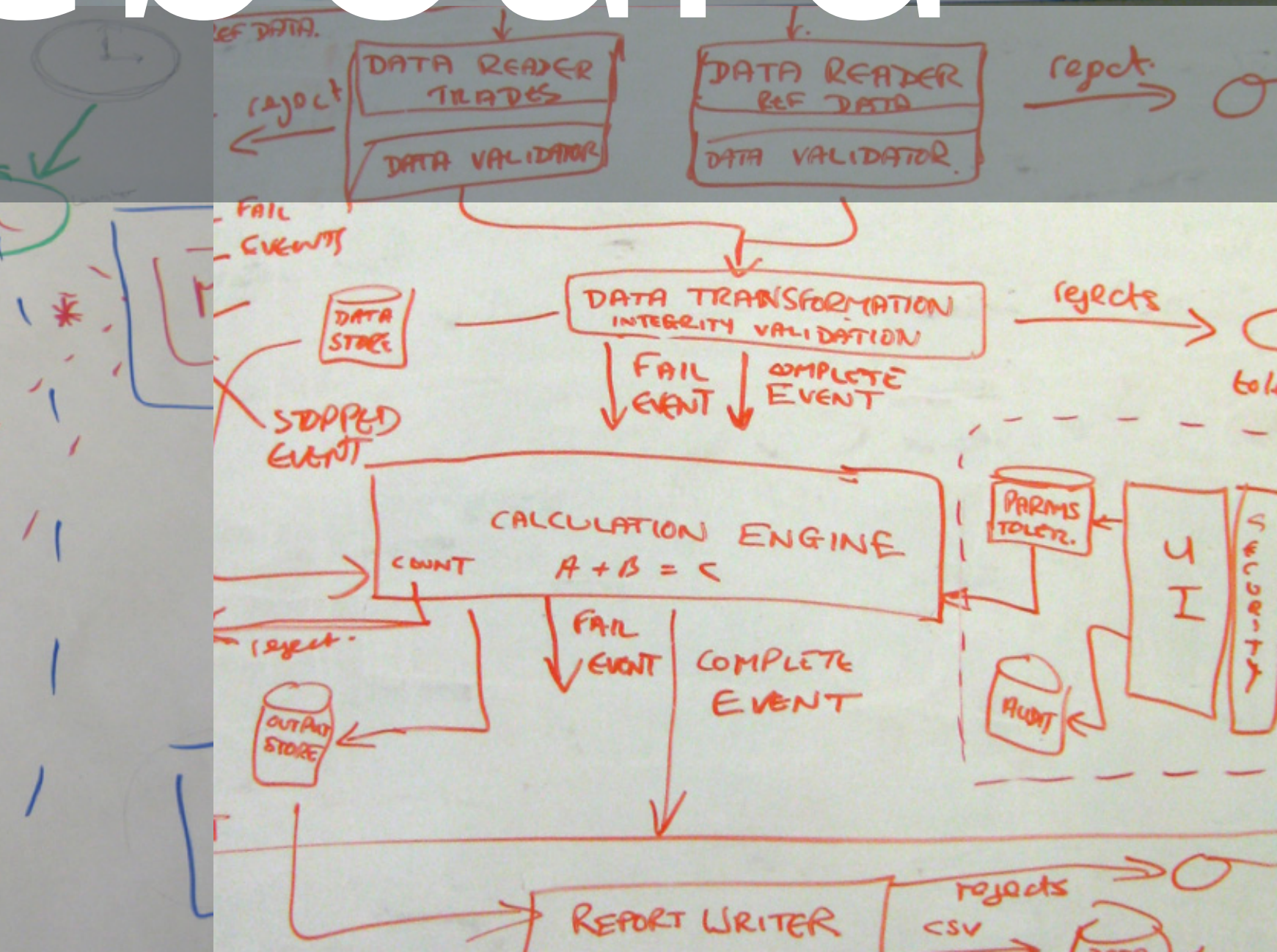
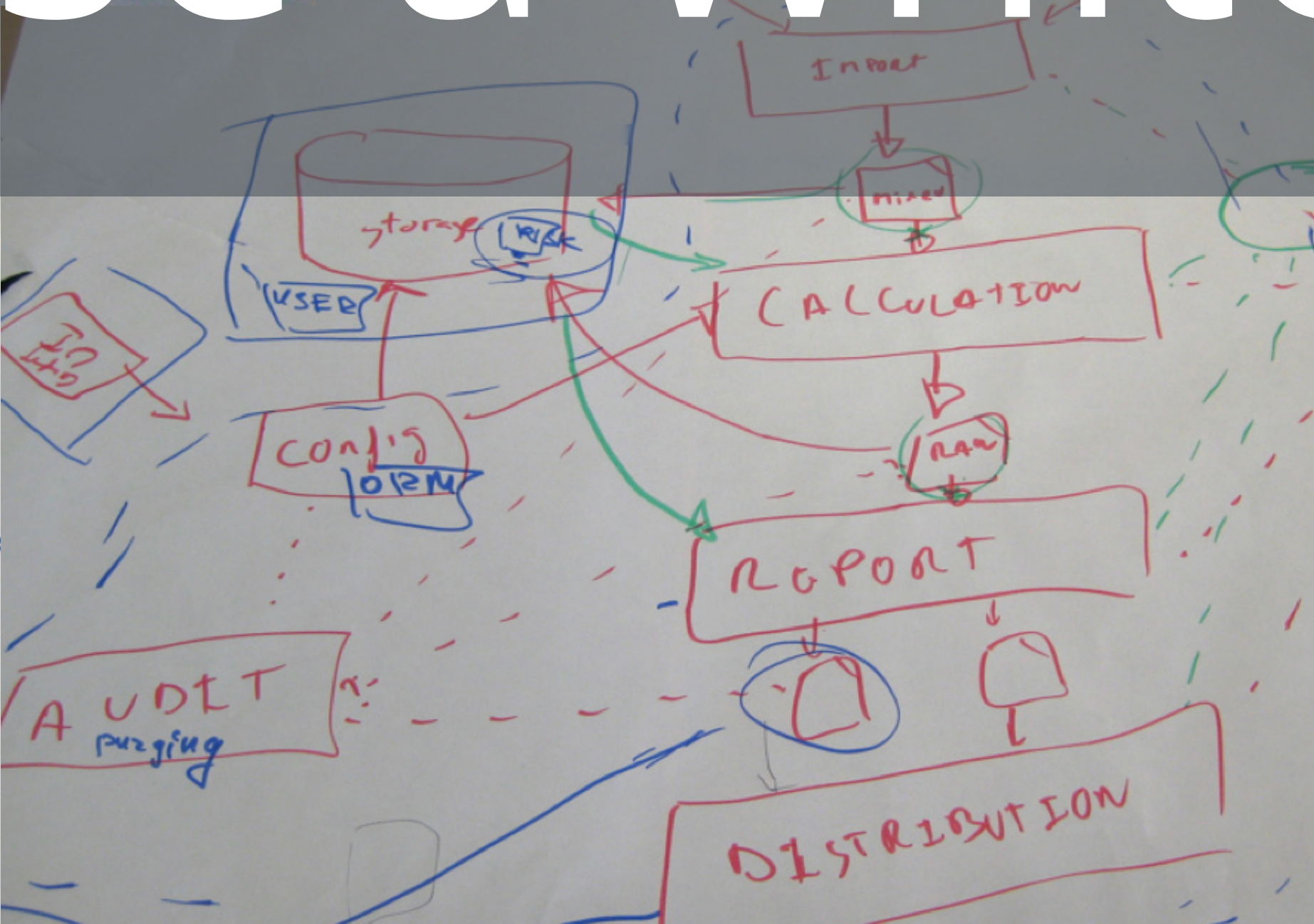
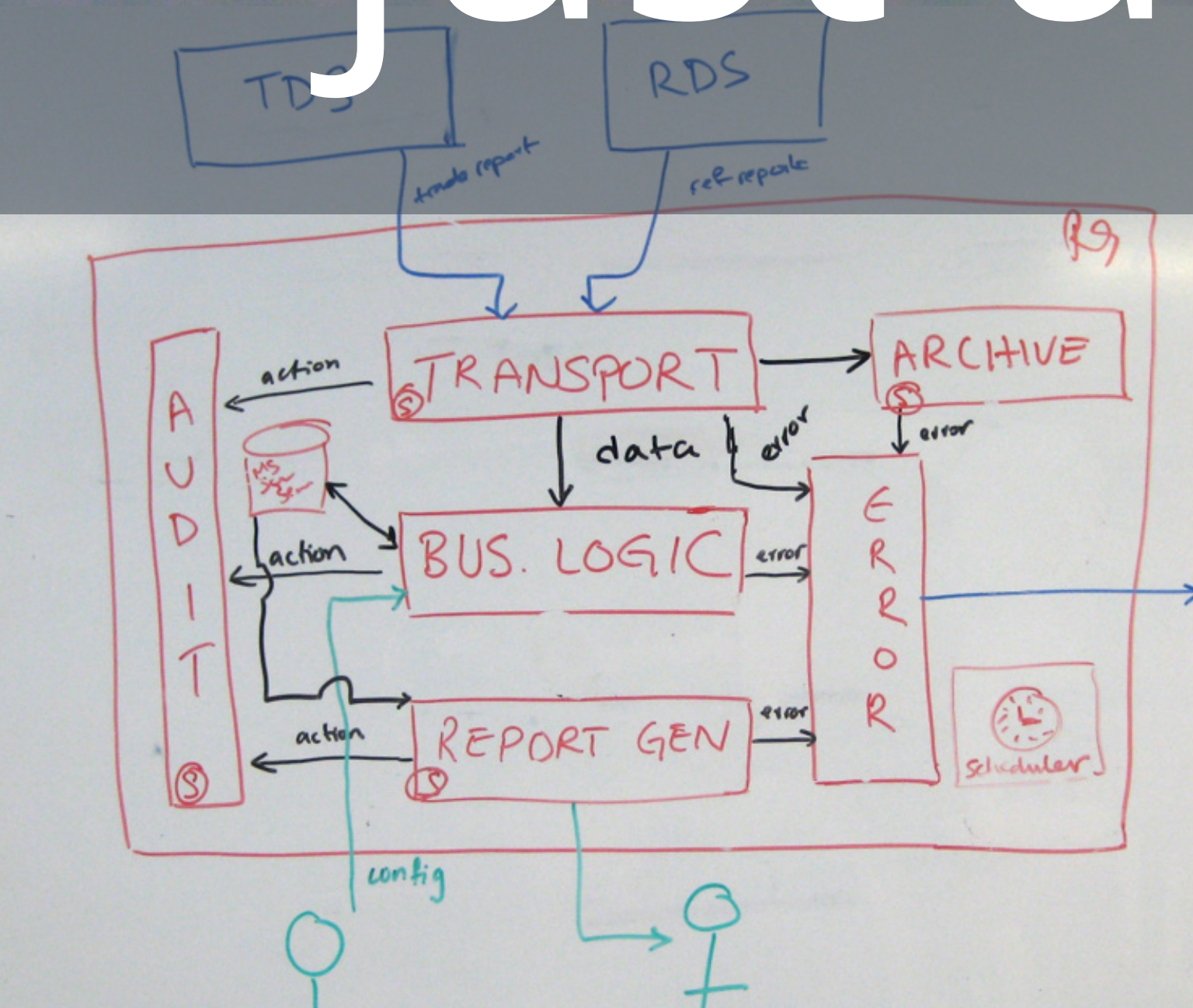
**Just use a whiteboard!**



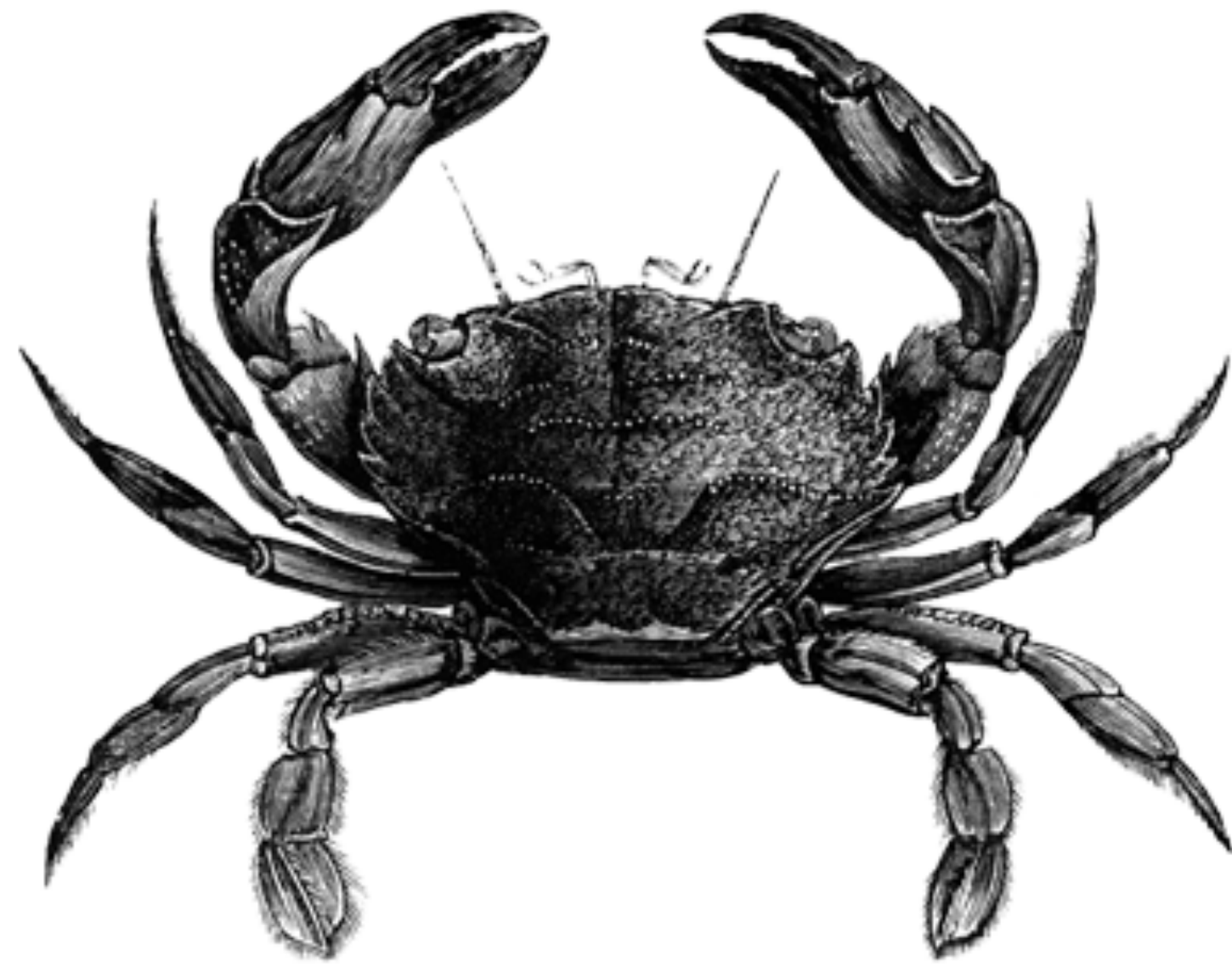




“just use a whiteboard”







# 97 Ways to Sidestep UML

O RLY?

*Knowfa Mallity*

#97

“The value is  
in the  
conversation.”

They are all excellent, as long as there is a conversation about their meaning and intent. It's the accompanying conversation that matters.

“the value is in the conversation”  
only works if you’re having  
**the right conversations**




What's wrong these diagrams?

# Swap and review your diagrams

1. Do the solutions satisfy the architectural drivers?
2. If you were the bank, would you buy this solution?



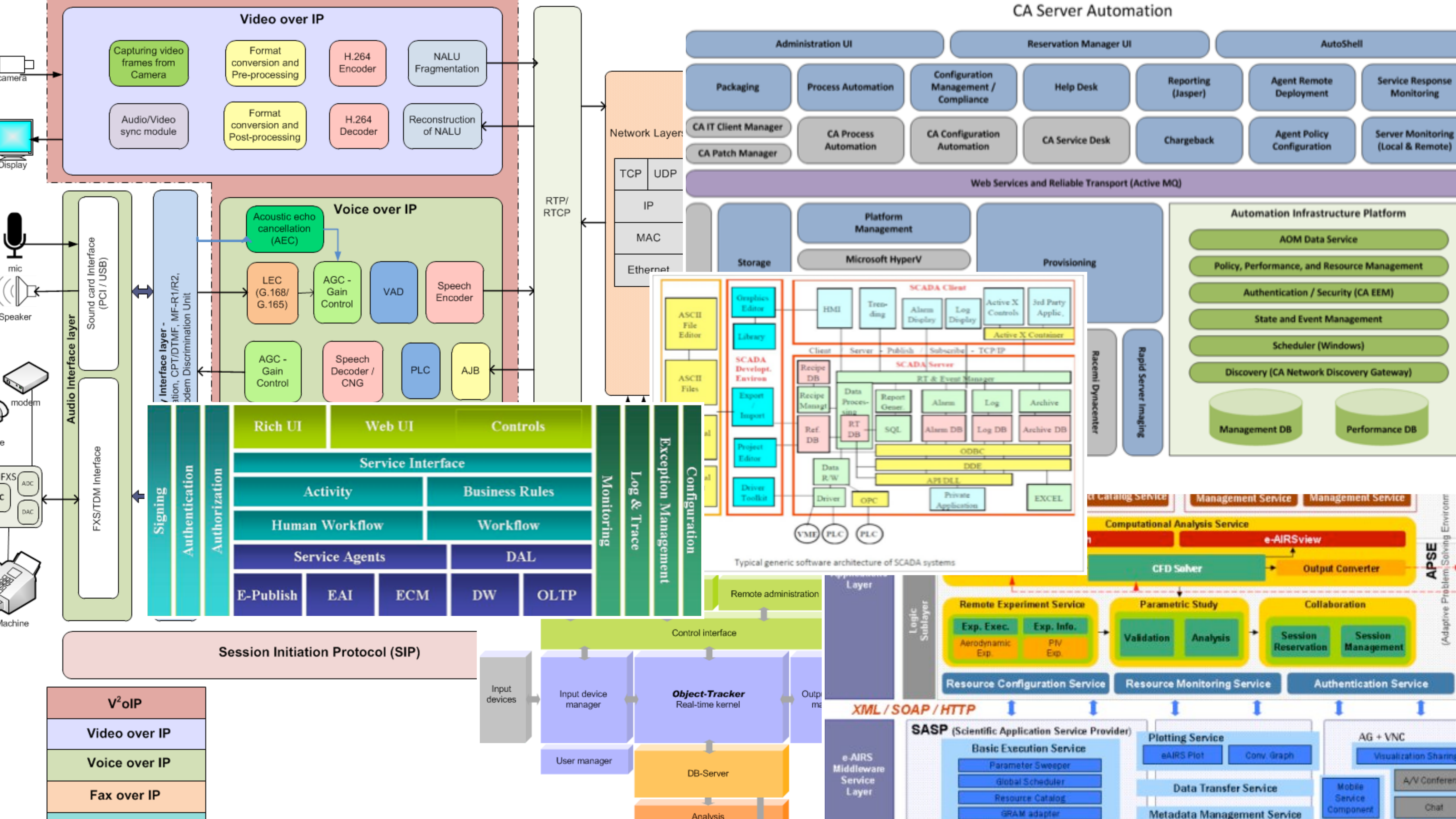
10 minutes

The background features four slanted, parallel blue bars on each side of the text, creating a sense of depth and framing.

It's impossible to  
answer those questions

If you can't see and understand  
a solution, you can't evaluate it







If you're going to use "boxes & lines",  
at least do so in a **structured way**,  
using a **self-describing notation**



To describe a software architecture,  
we use a model composed of  
multiple views or perspectives.

Architectural Blueprints - The “4+1” View Model of Software Architecture

Philippe Kruchten



The description of an architecture—the decisions made—can be organized around these four views, and then illustrated by a few selected *use cases*, or *scenarios* which become a fifth view. The architecture is in fact partially evolved from these scenarios as we will see later.

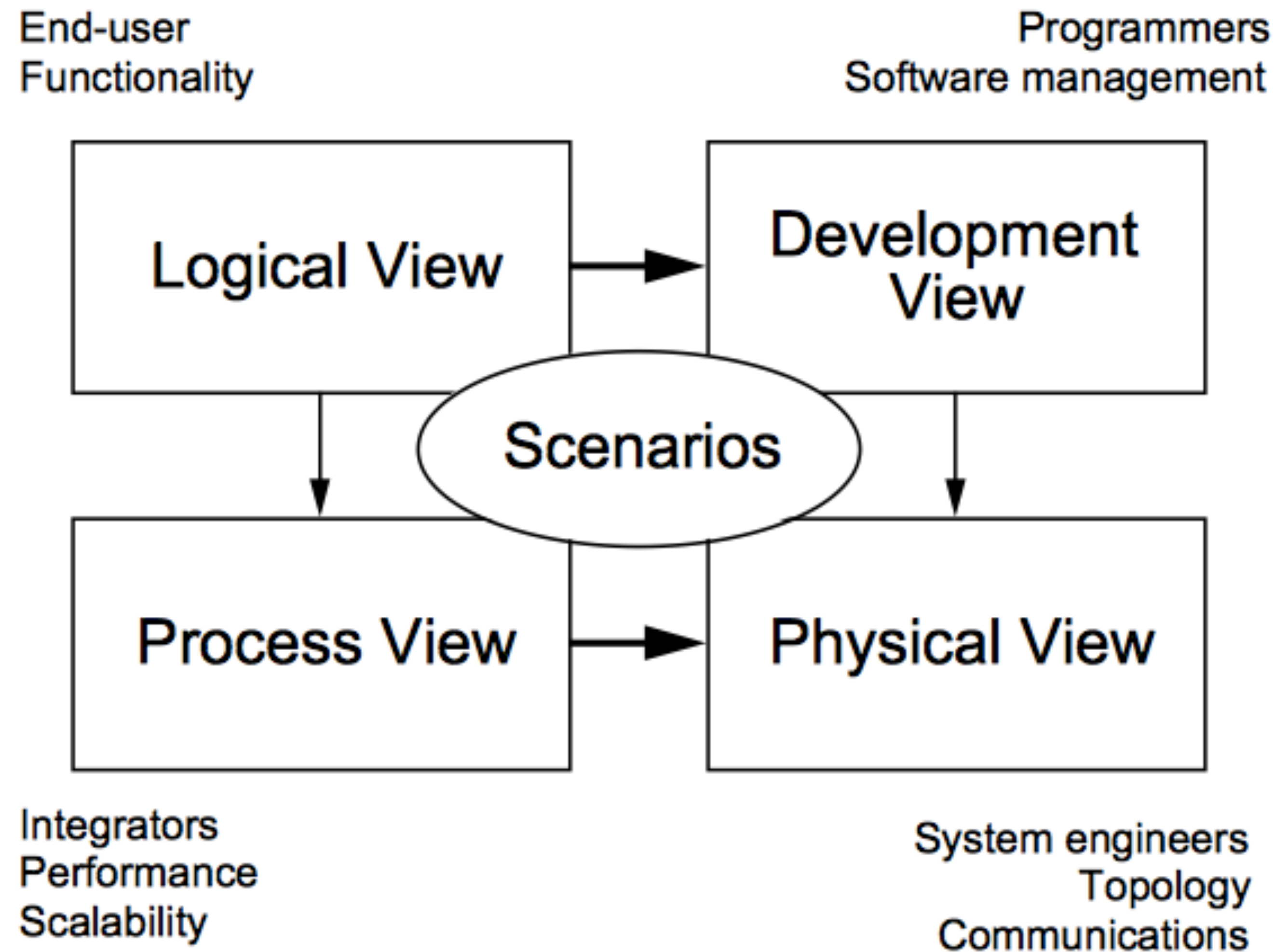


Figure 1 — The "4+1" view model



Why is there a separation  
between the **logical** and  
**development** views?



Our architecture diagrams  
don't match the code.



# JUST ENOUGH SOFTWARE ARCHITECTURE

A RISK-DRIVEN APPROACH

**GEORGE FAIRBANKS**

FOREWORD BY DAVID GARLAN



**Model-code gap.** Your architecture models and your source code will not show the same things. The difference between them is the *model-code gap*. Your architecture models include some abstract concepts, like components, that your programming language does not, but could. Beyond that, architecture models include intensional elements, like design decisions and constraints, that cannot be expressed in procedural source code at all.

Consequently, the relationship between the architecture model and source code is complicated. It is mostly a refinement relationship, where the extensional elements in the architecture model are refined into extensional elements in source code. This is shown in Figure 10.3. However, intensional elements are not refined into corresponding elements in source code.

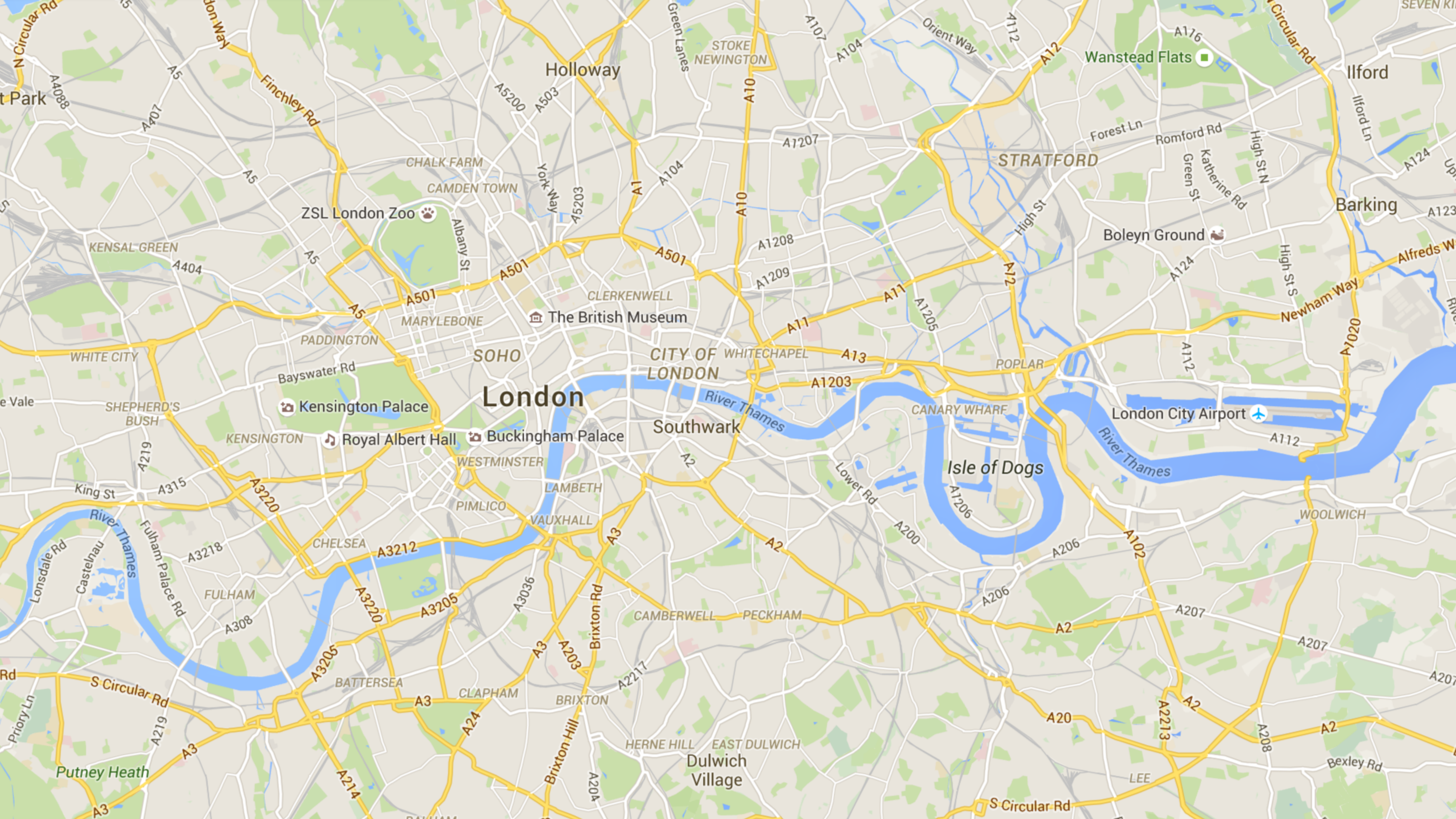
Upon learning about the model-code gap, your first instinct may be to avoid it. But reflecting on the origins of the gap gives little hope of a general solution in the short term: architecture models help you reason about complexity and scale because they are abstract and intensional; source code executes on machines because it is concrete and extensional.

# “model-code gap”

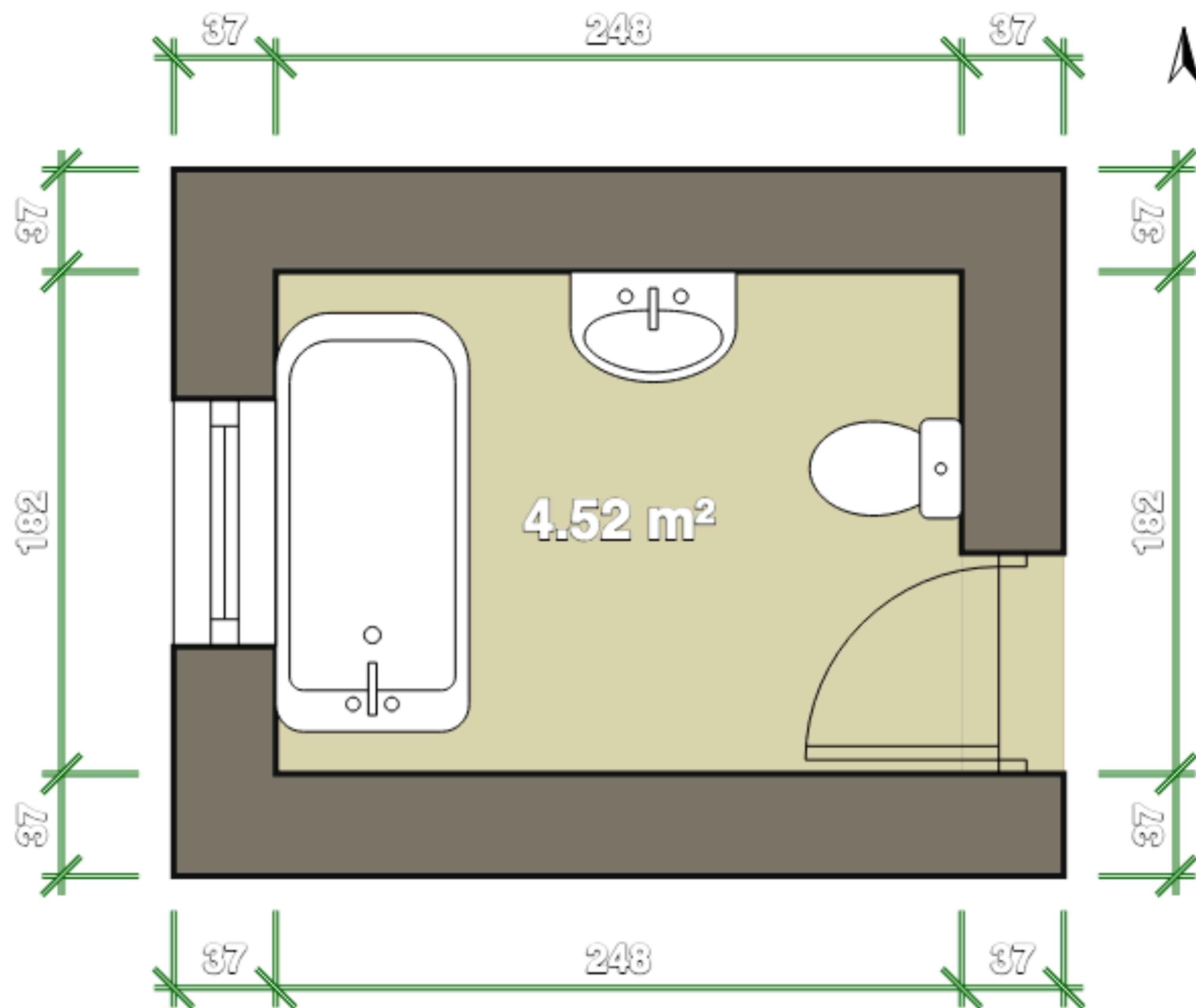


We lack a **common vocabulary**  
to describe software architecture











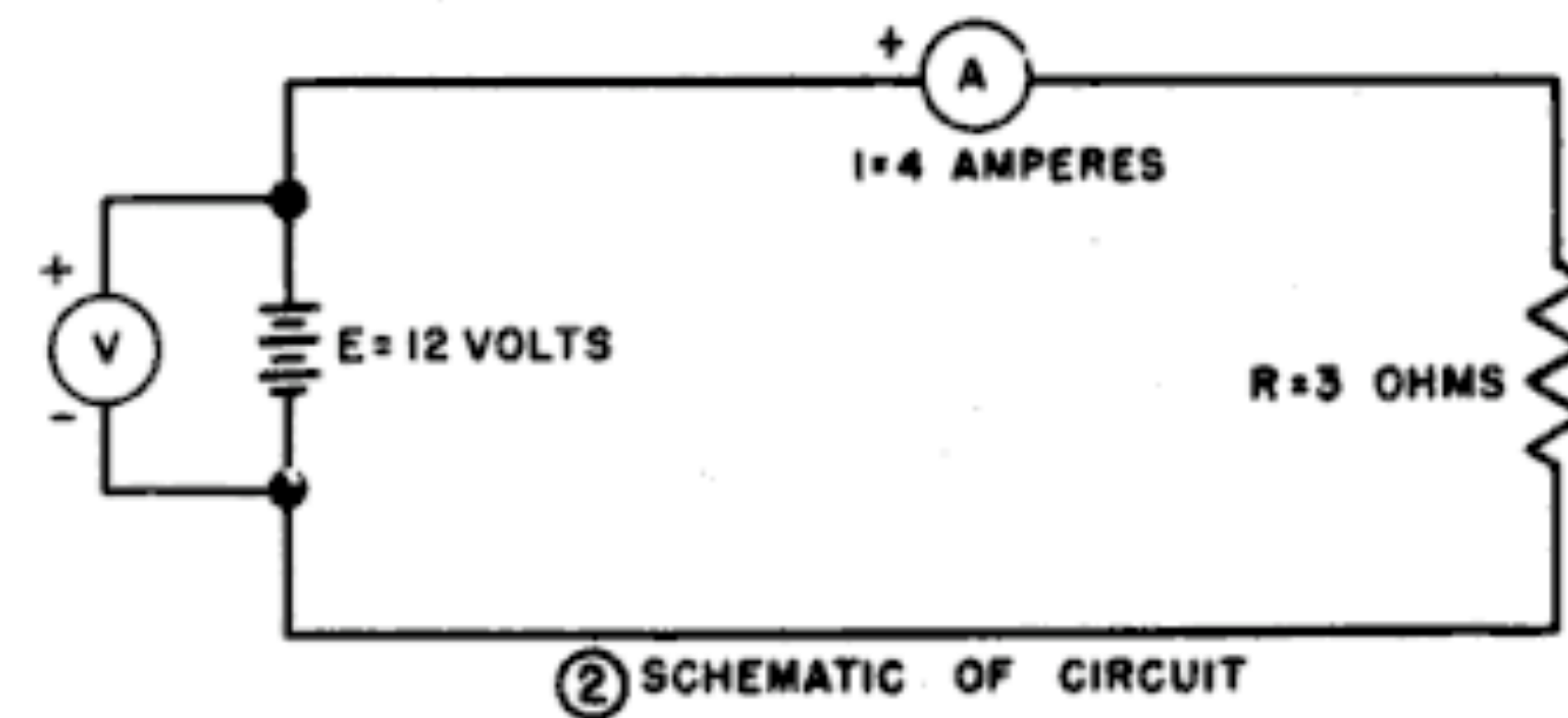
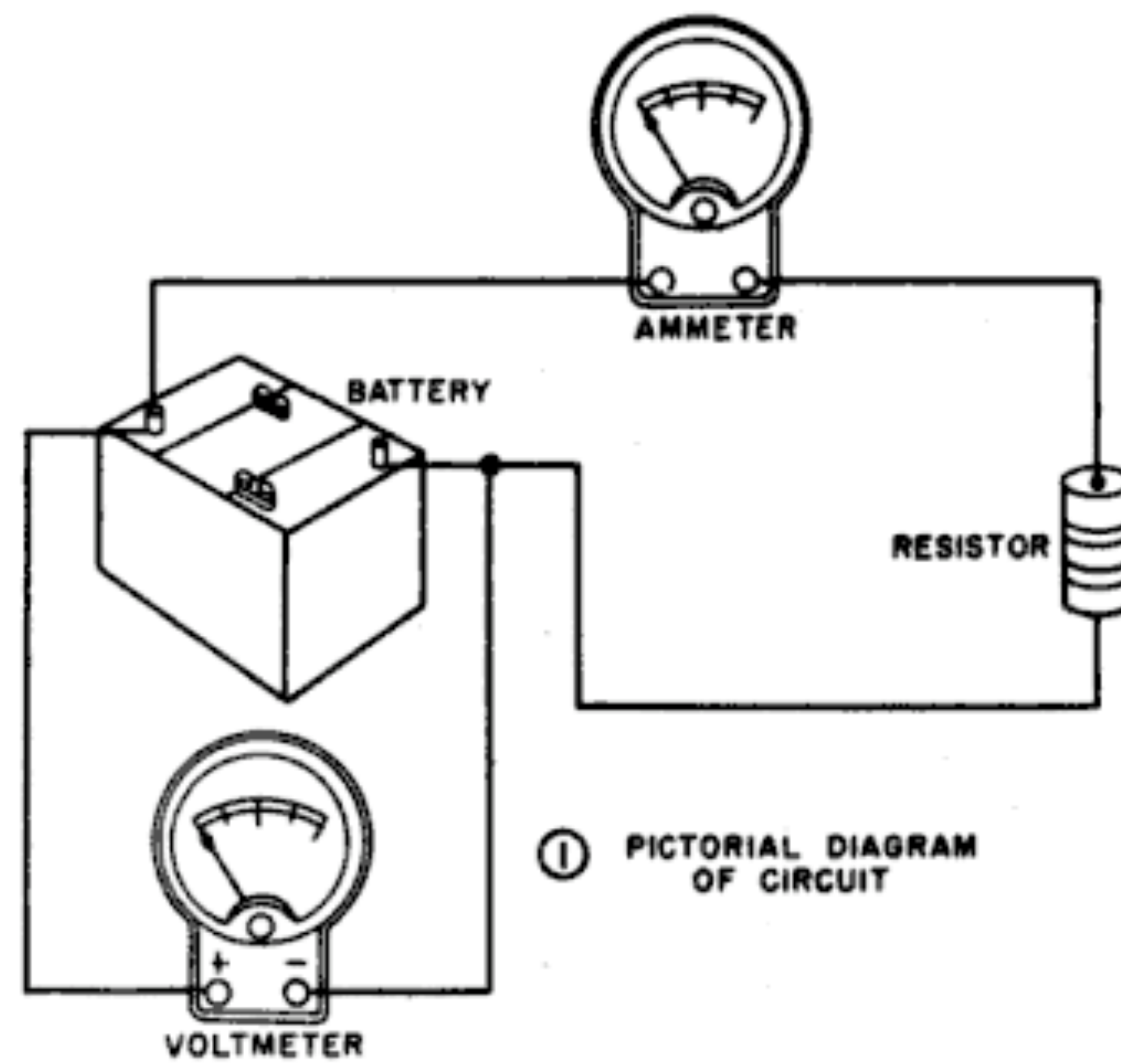
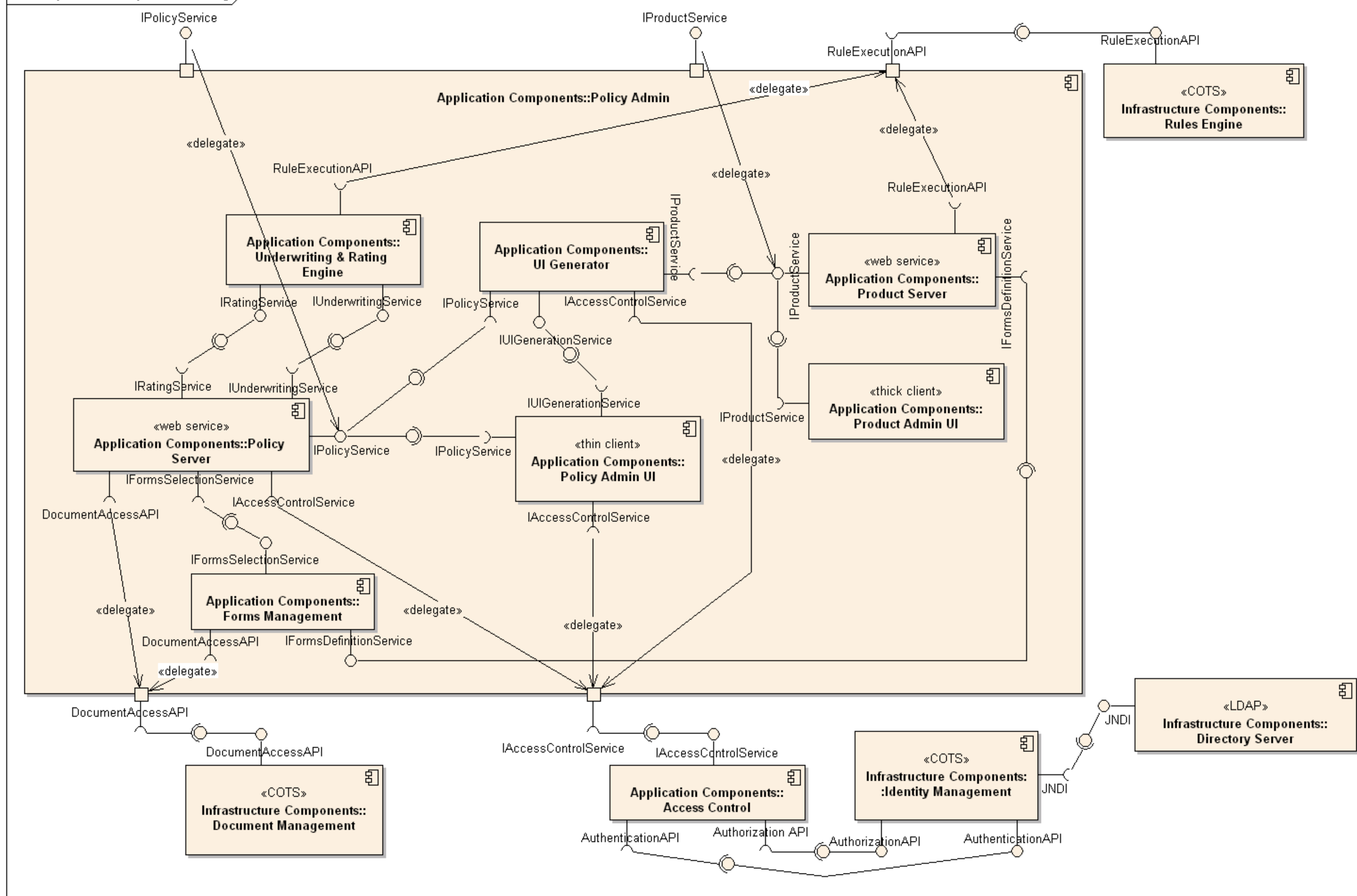


Figure 48. Diagram of a basic circuit.



# id Policy Admin Components Wiring





# Software System

## Web Application

Logging  
Component



Relational  
Database

# <sup>1</sup>component

*noun* | com·po·nent | \kəm-'pō-nənt, 'käm-, käm-'

## Simple Definition of COMPONENT

Popularity: Top 30% of words

: one of the parts of something (such as a system or mixture) : an important piece of something

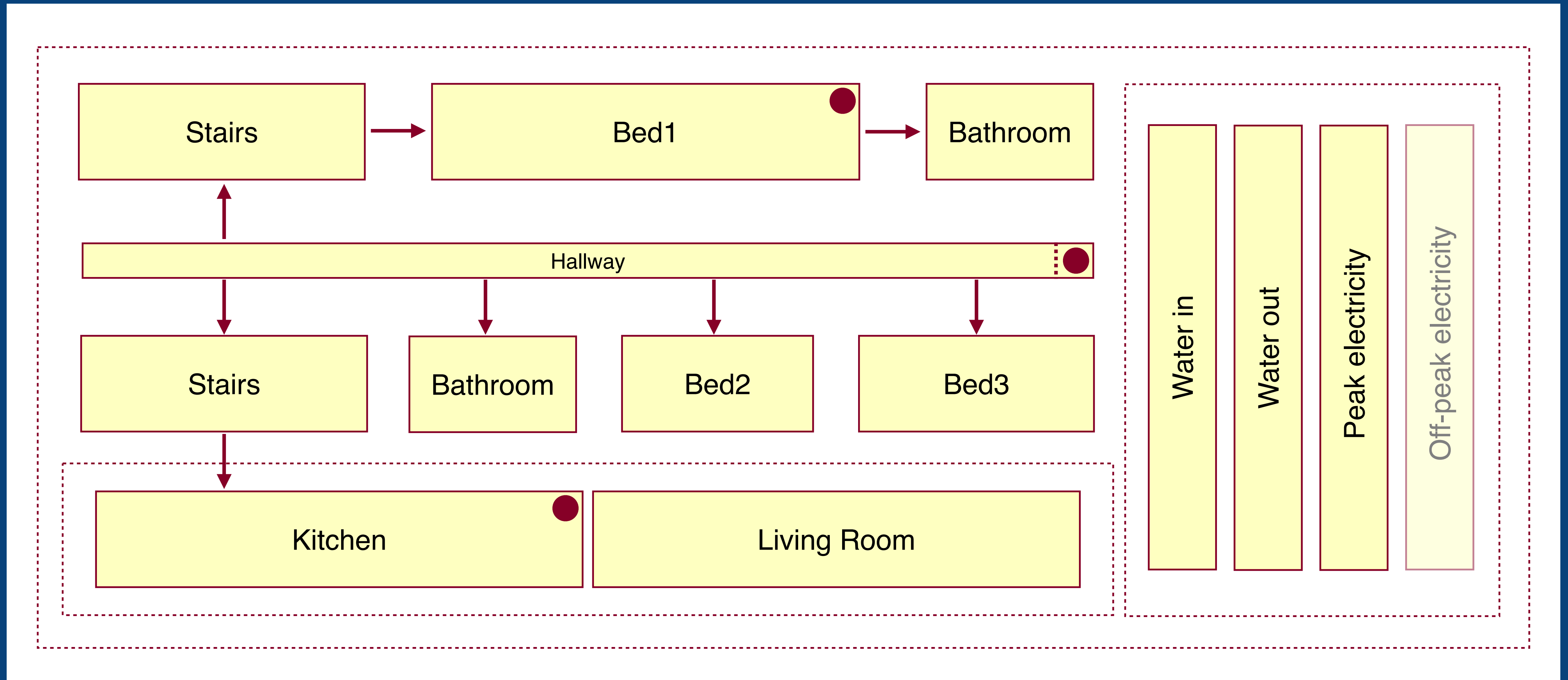
Source: Merriam-Webster's Learner's Dictionary



When drawing software  
architecture diagrams,  
think like a software developer



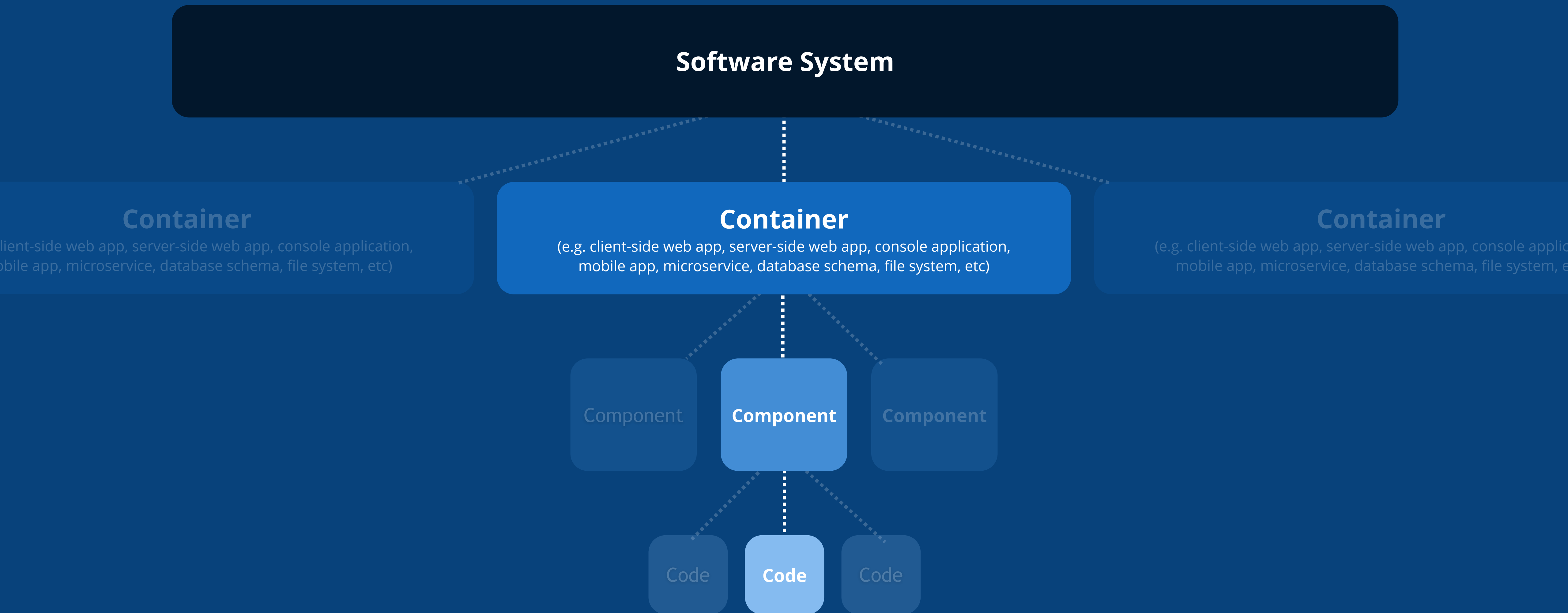
# If software developers created building architecture diagrams...





**A common set of abstractions**  
is more important  
than a common notation



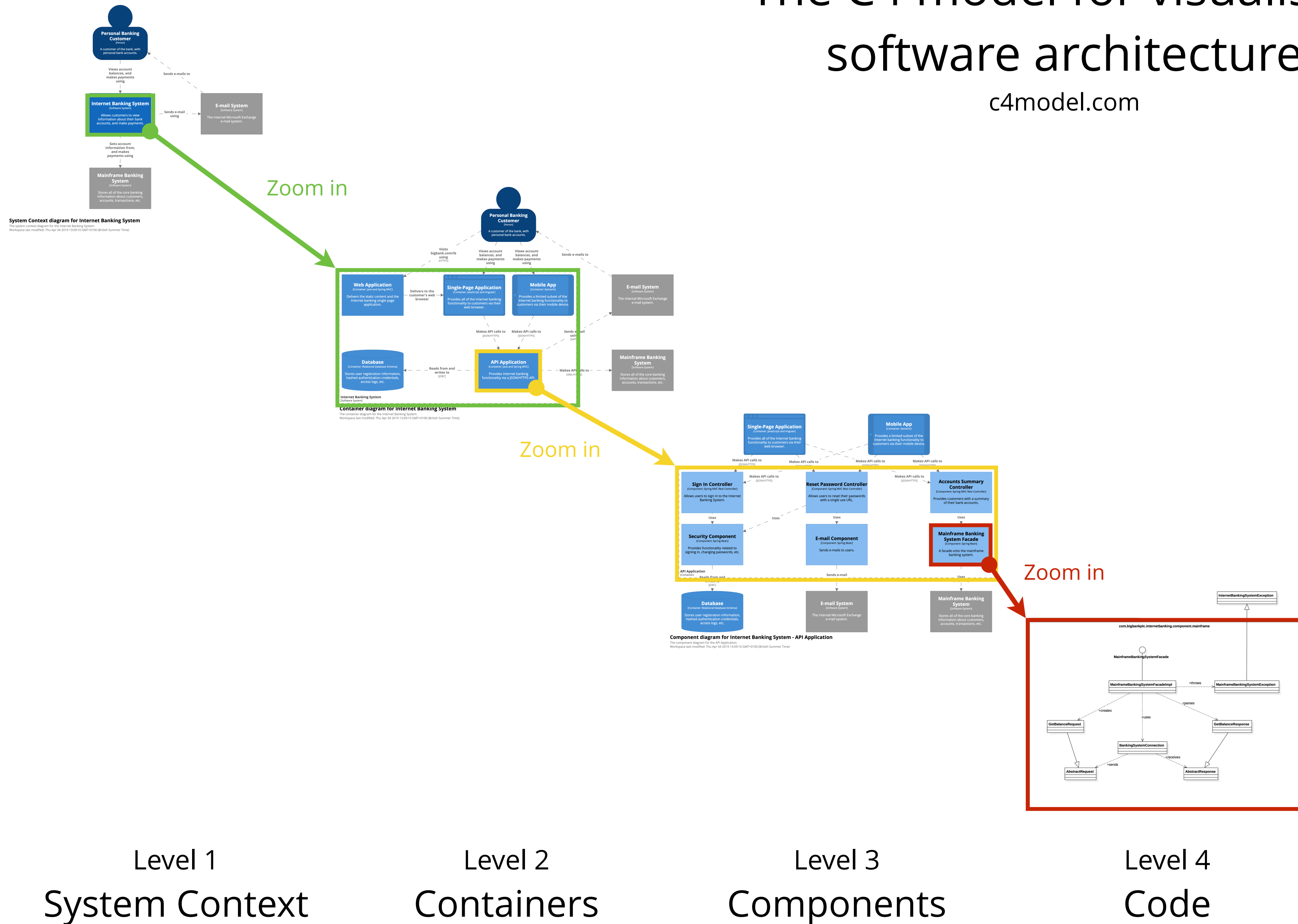


A **software system** is made up of one or more **containers**,  
each of which contains one or more **components**,  
which in turn are implemented by one or more **code elements**.

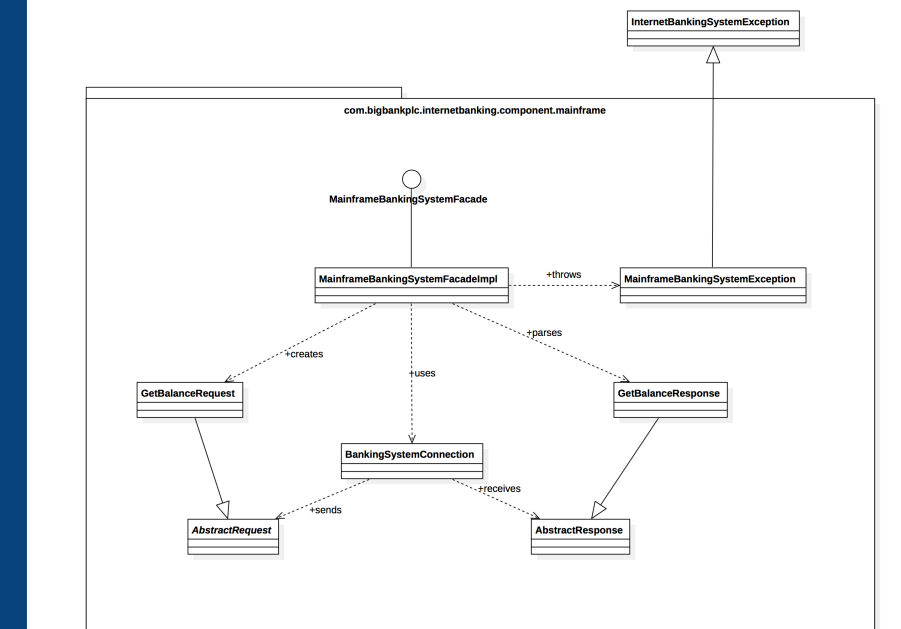
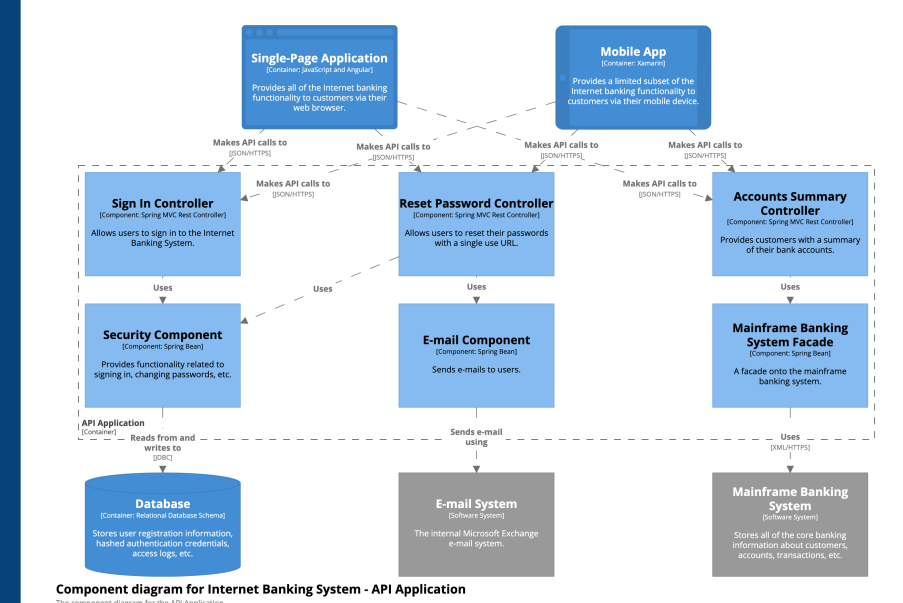
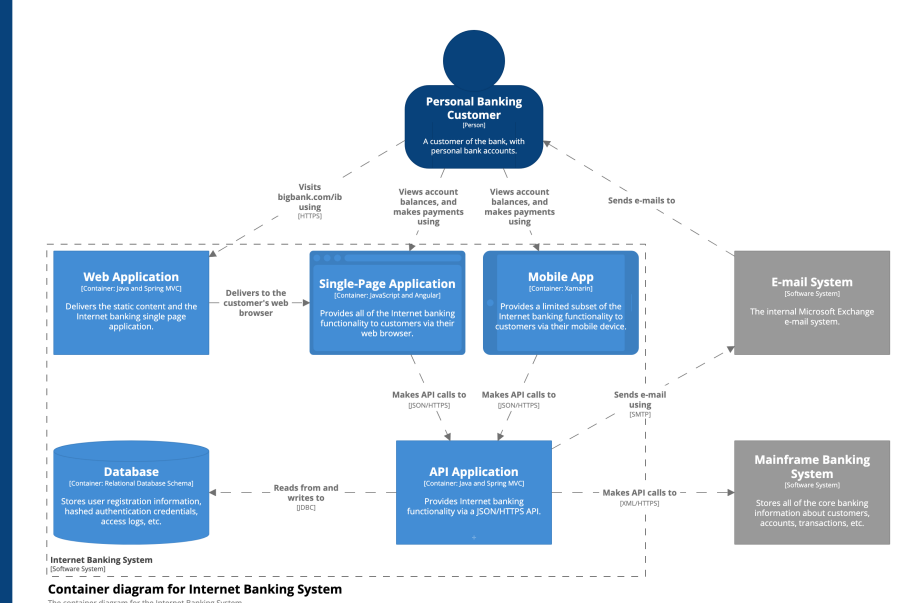
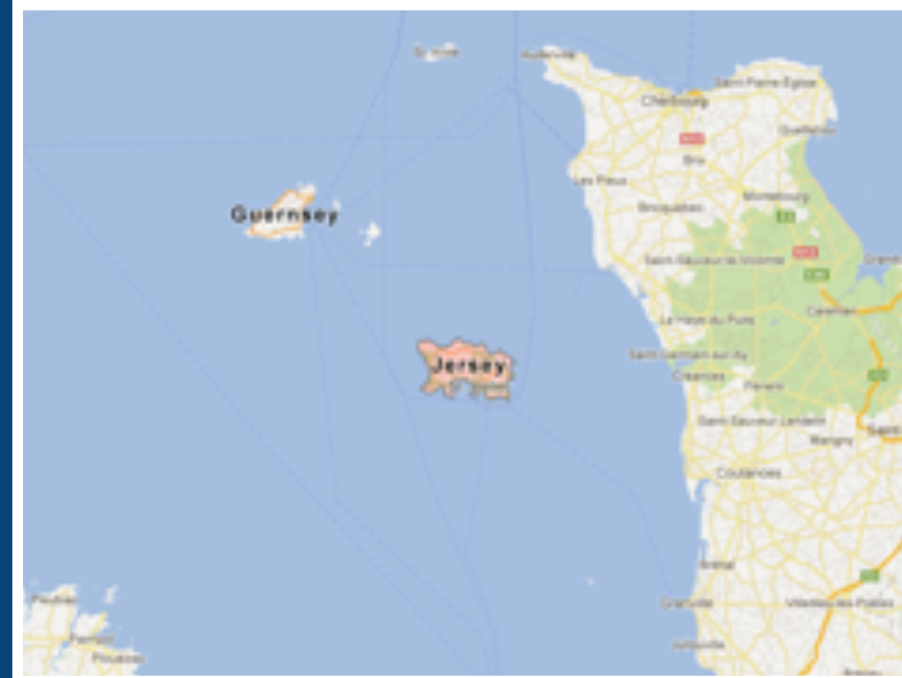
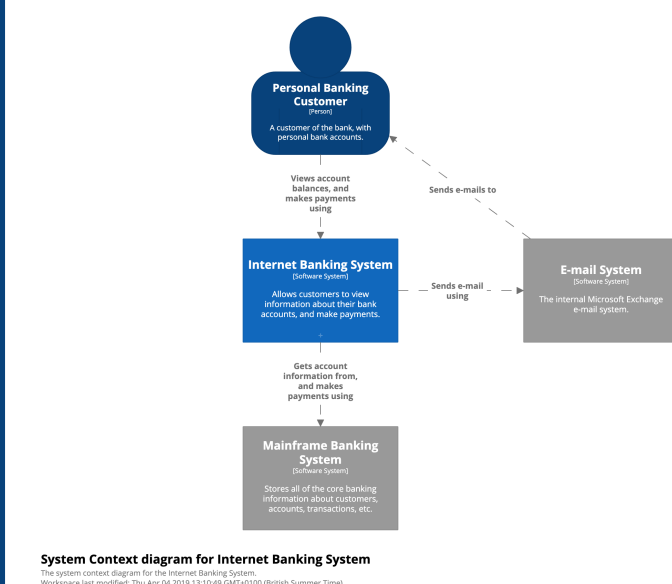
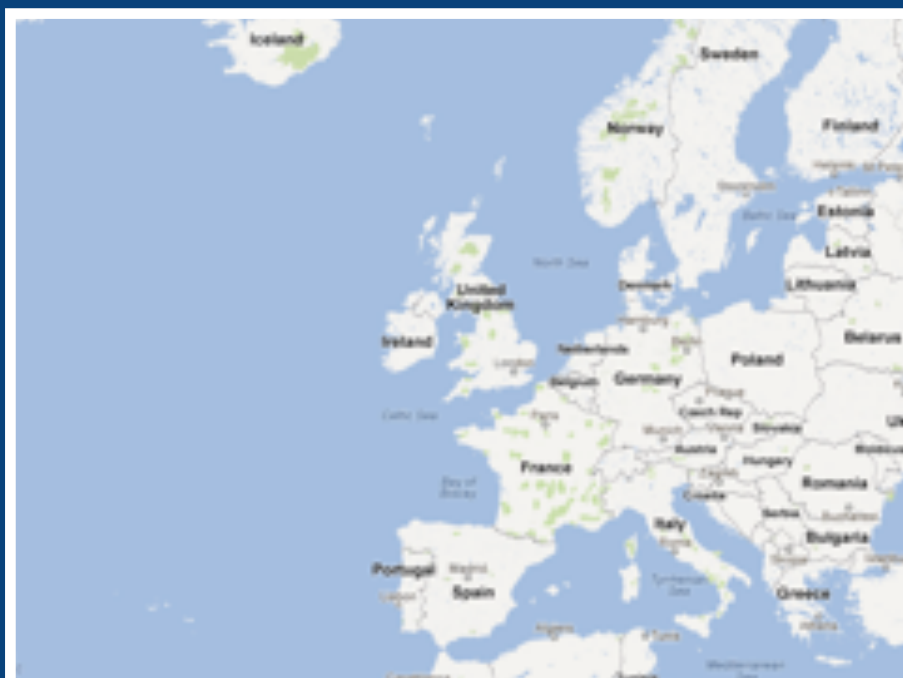


# The C4 model for visualising software architecture

c4model.com



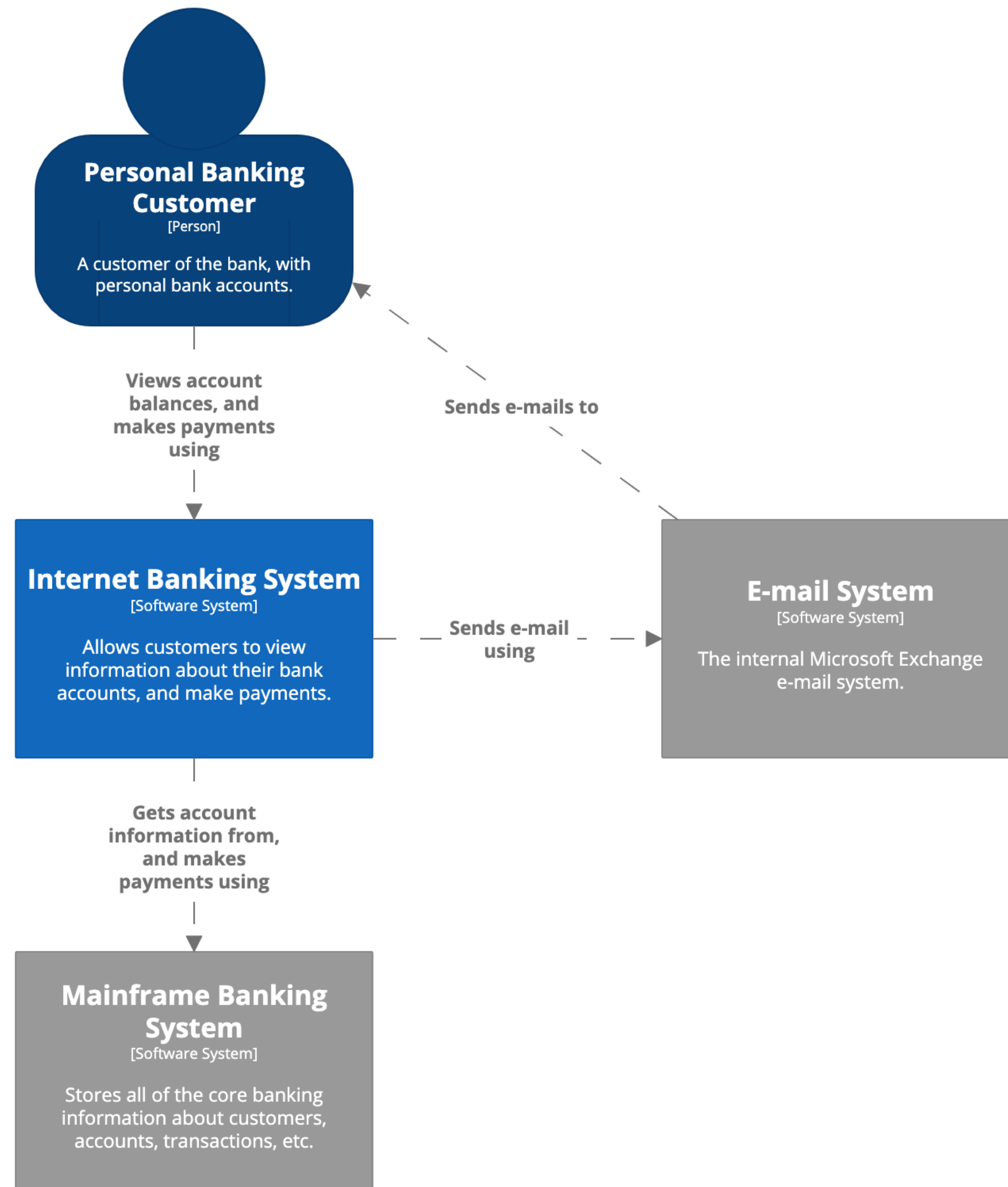




# Diagrams are maps

that help software developers navigate a large and/or complex codebase



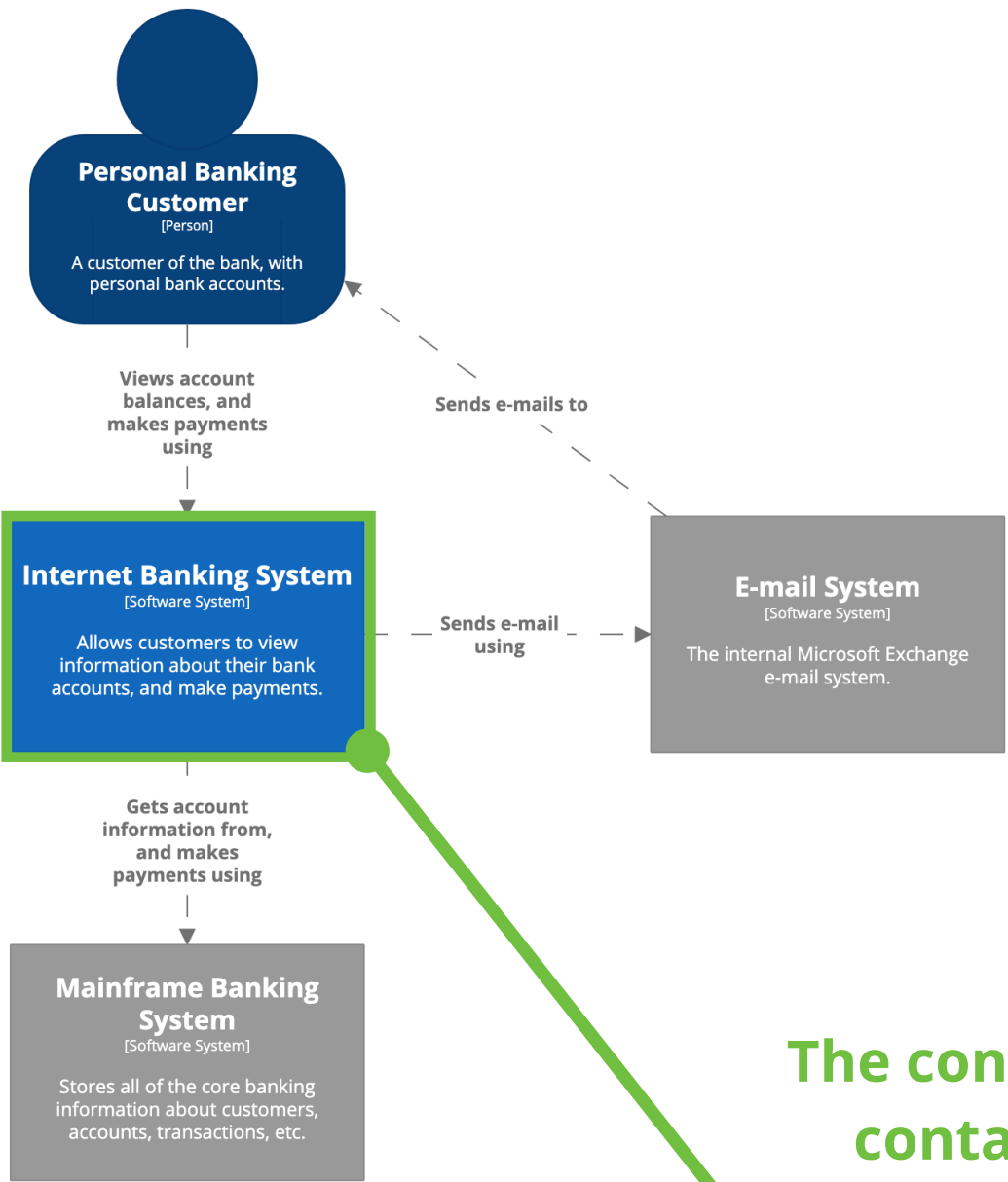


## System Context diagram for Internet Banking System

The system context diagram for the Internet Banking System.

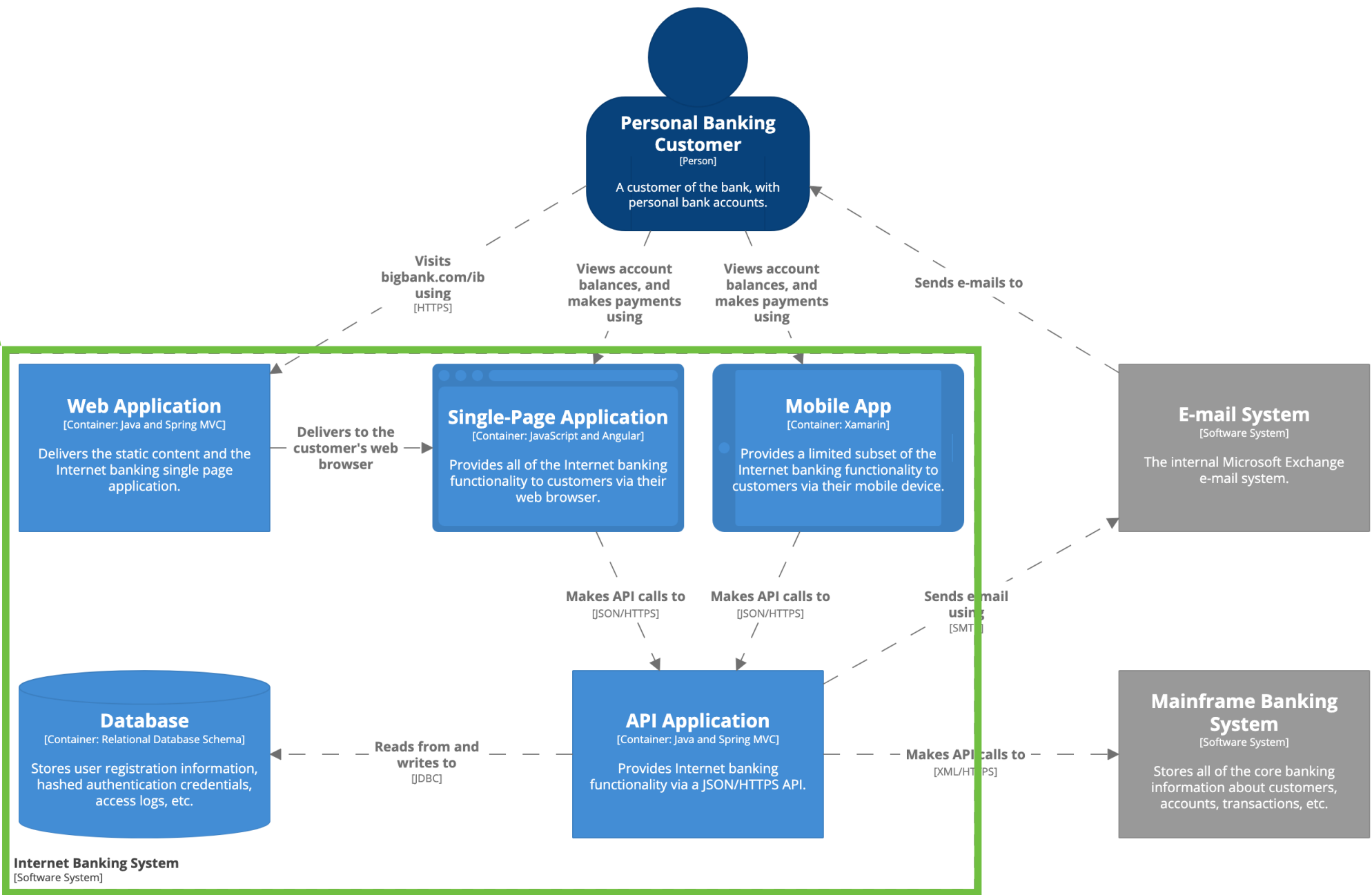
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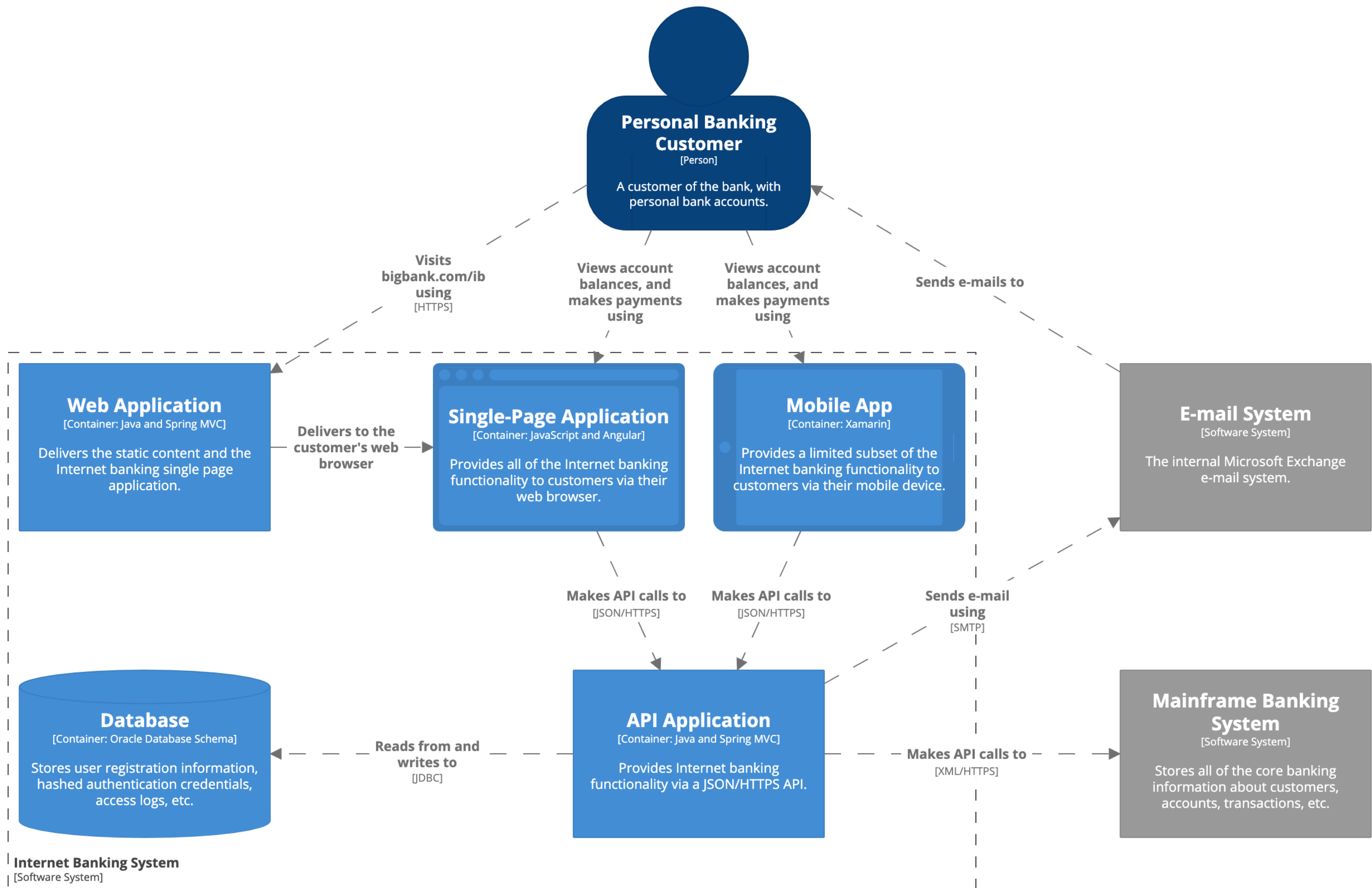
**System Context diagram for Internet Banking System**  
The system context diagram for the Internet Banking System.  
Workspace last modified: Thu Apr 04 2019 13:09:10 GMT+0100 (British Summer Time)

The container diagram shows the containers that reside inside the software system boundary



**Container diagram for Internet Banking System**  
The container diagram for the Internet Banking System.  
Workspace last modified: Thu Apr 04 2019 13:09:10 GMT+0100 (British Summer Time)



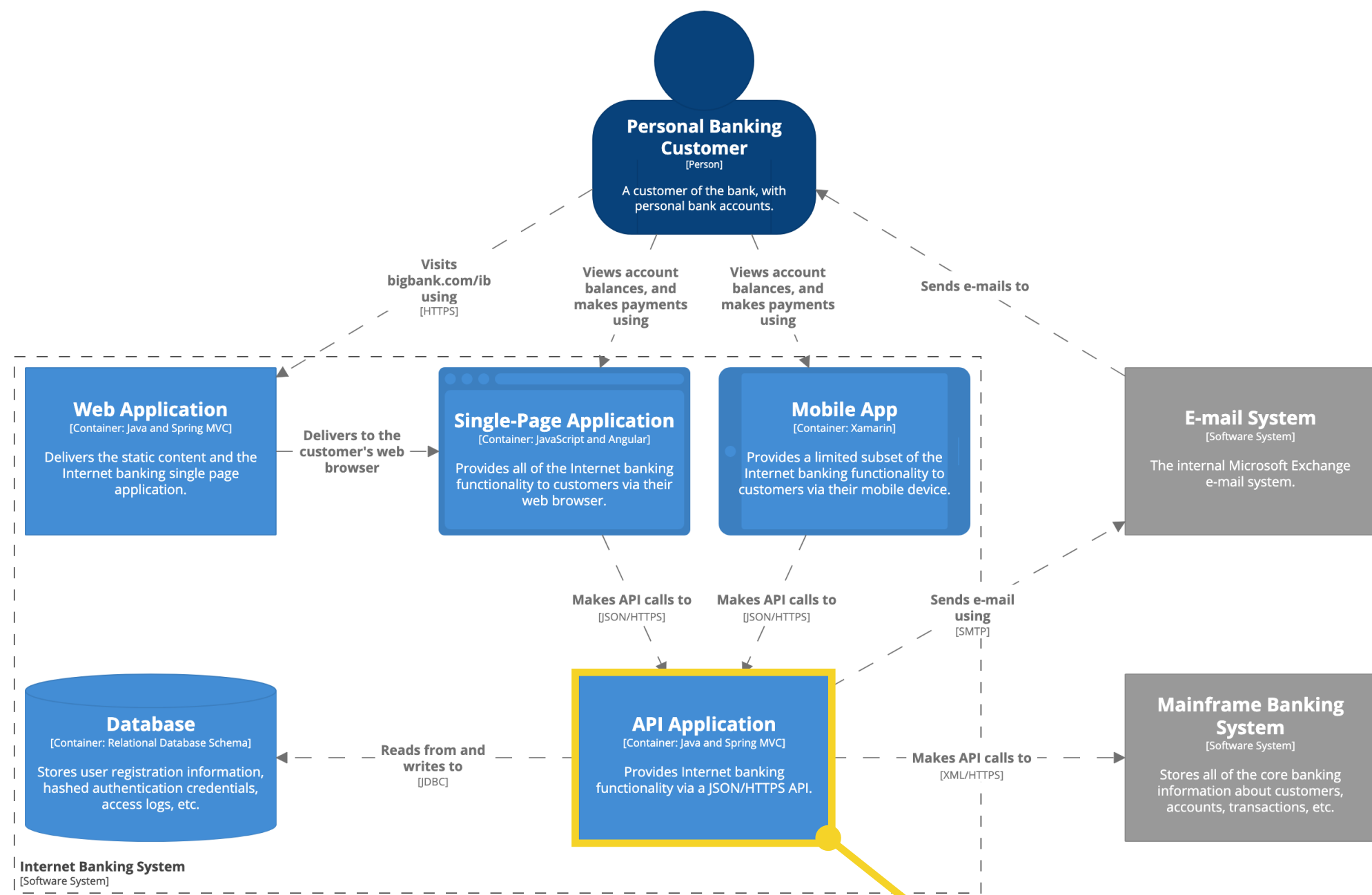


## Container diagram for Internet Banking System

The container diagram for the Internet Banking System.

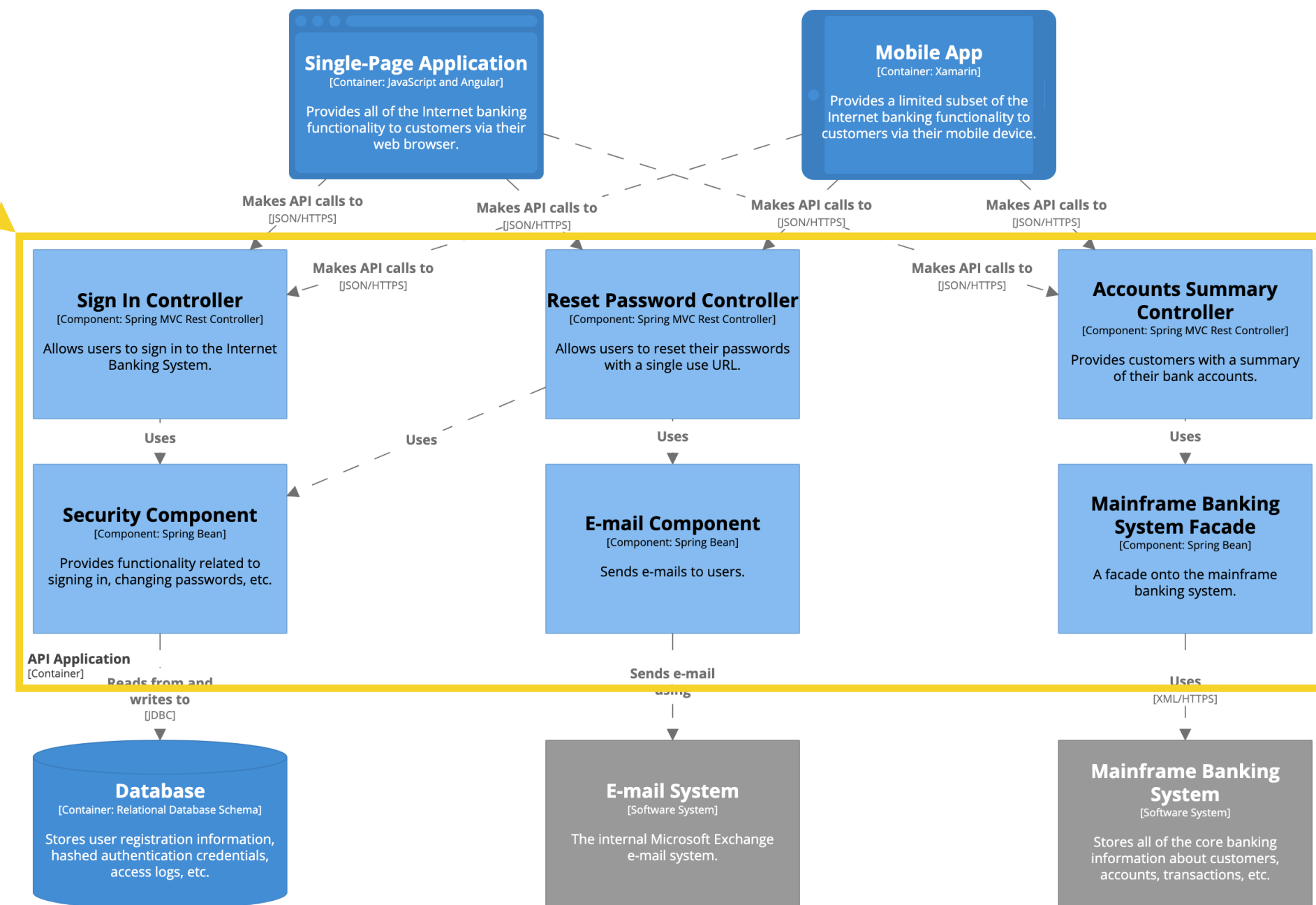
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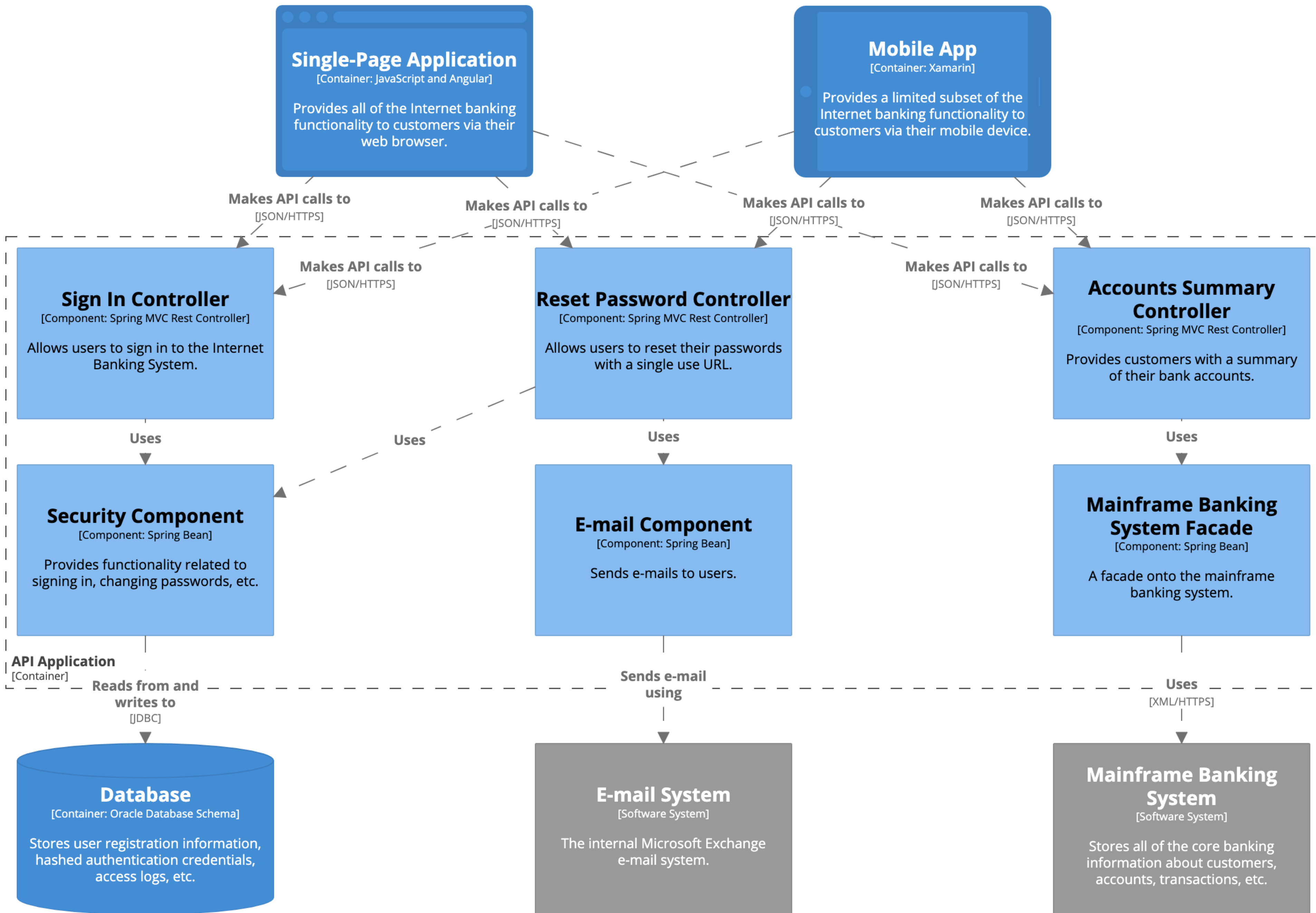
**Container diagram for Internet Banking System**  
The container diagram for the Internet Banking System.  
Workspace last modified: Thu Apr 04 2019 13:09:10 GMT+0100 (British Summer Time)

The component diagram shows the components that reside inside an individual container



**Component diagram for Internet Banking System - API Application**  
The component diagram for the API Application.  
Workspace last modified: Thu Apr 04 2019 13:09:10 GMT+0100 (British Summer Time)

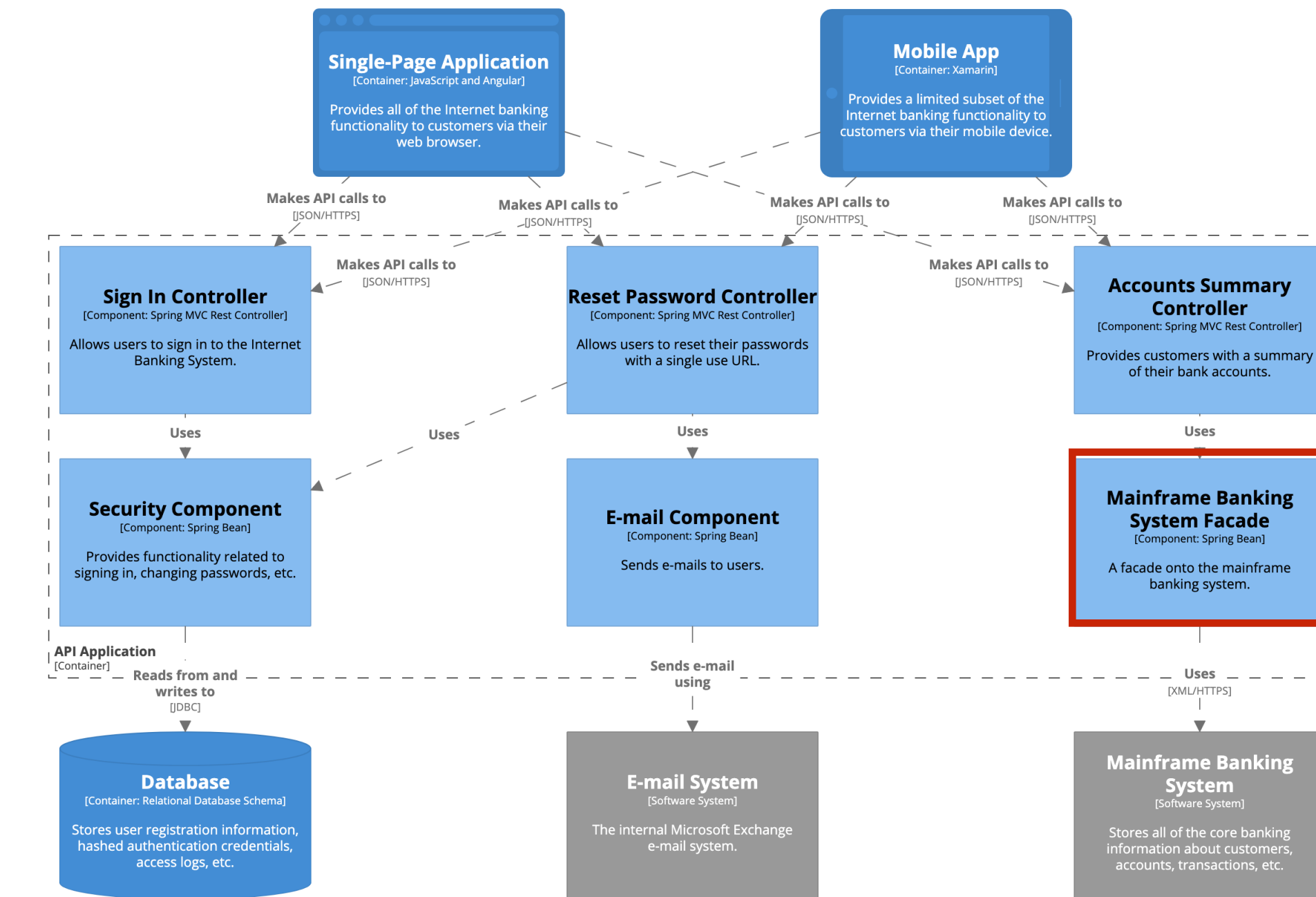




**Component diagram for Internet Banking System - API Application**

The component diagram for the API Application.  
Workspace last modified: Wed Feb 05 2020 09:33:36 GMT+0100 (Central European Standard Time)

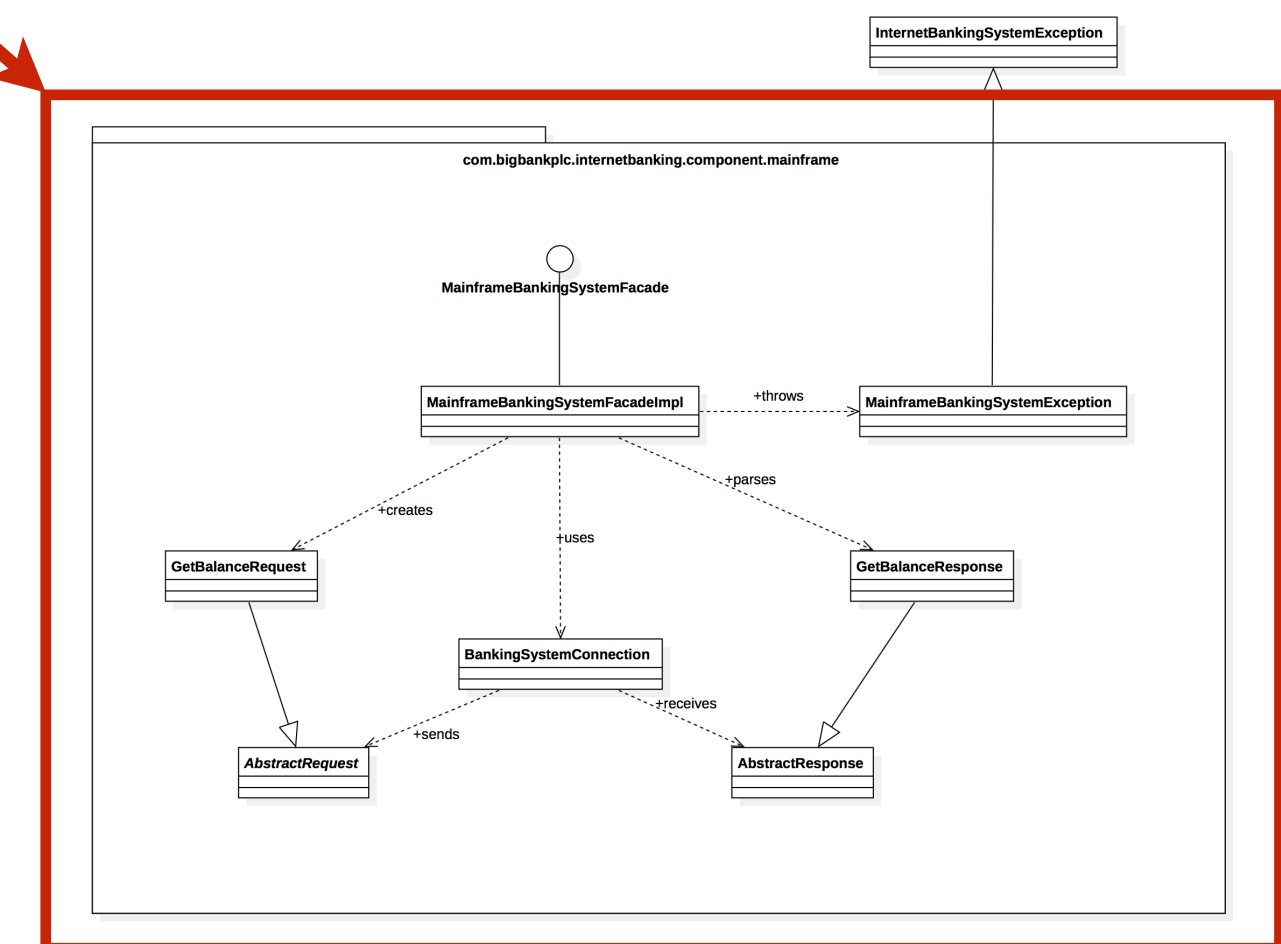




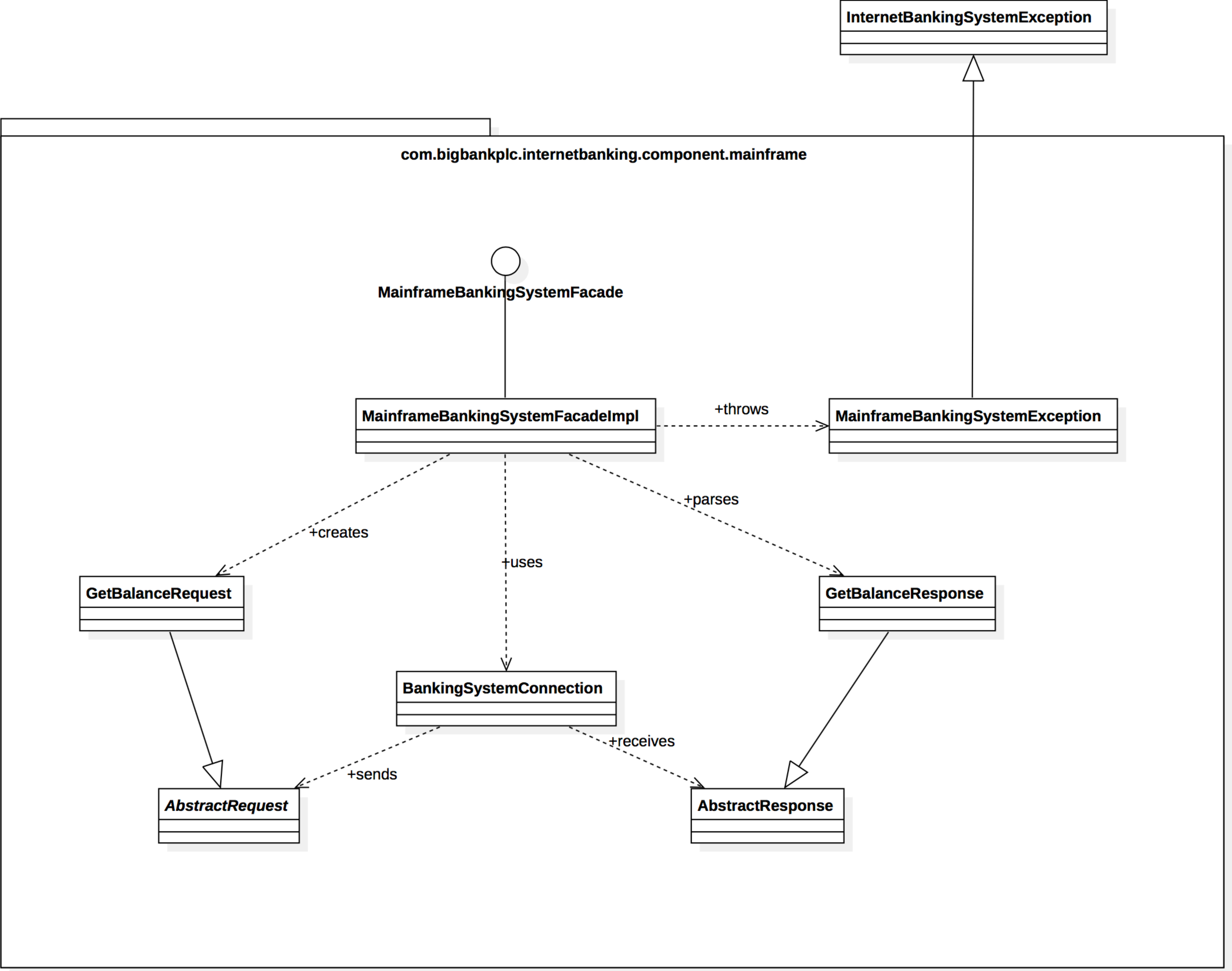
**Component diagram for Internet Banking System - API Application**

The component diagram for the API Application.  
Workspace last modified: Thu Apr 04 2019 13:09:10 GMT+0100 (British Summer Time)

The code level diagram shows the code elements that make up a component

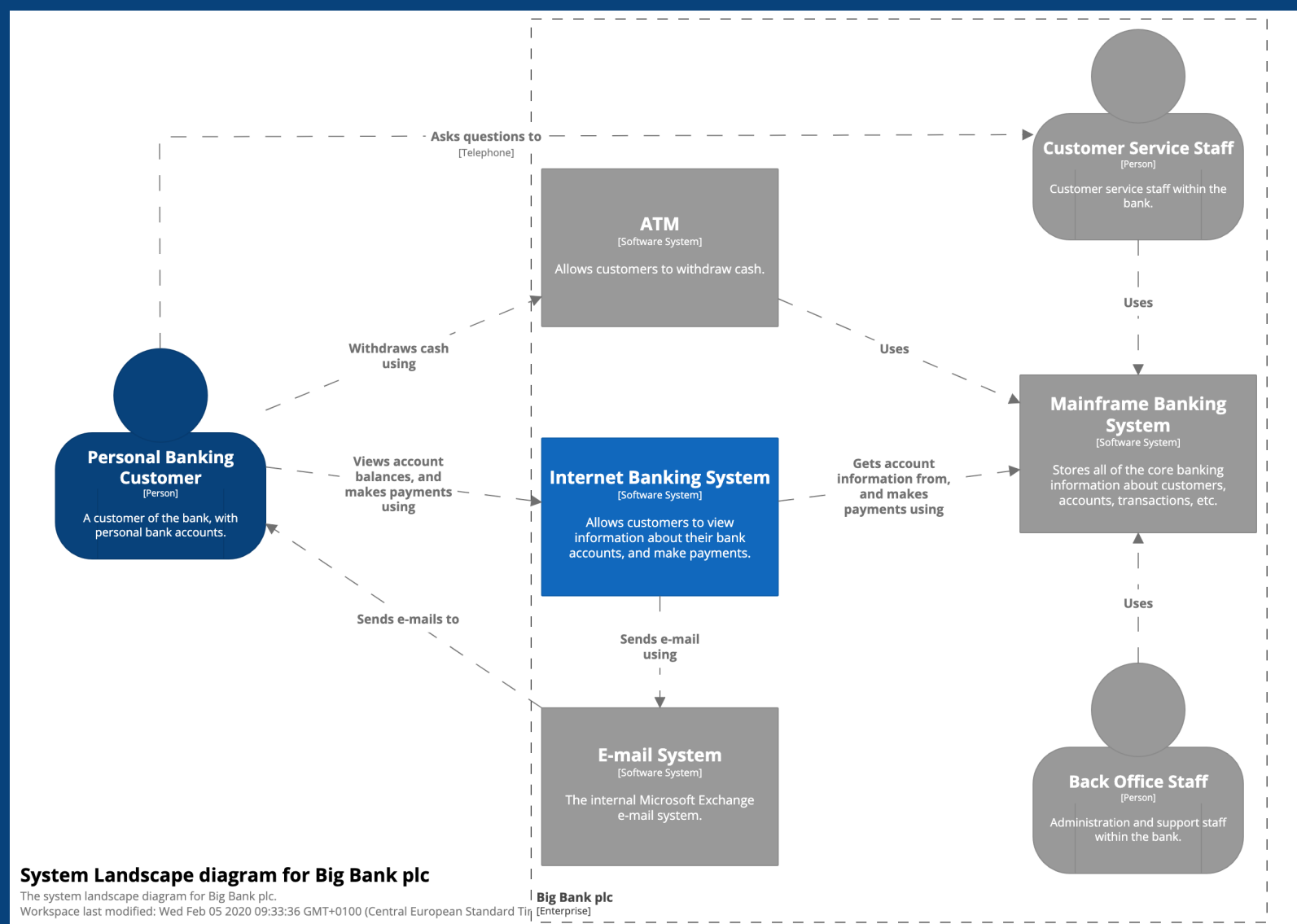




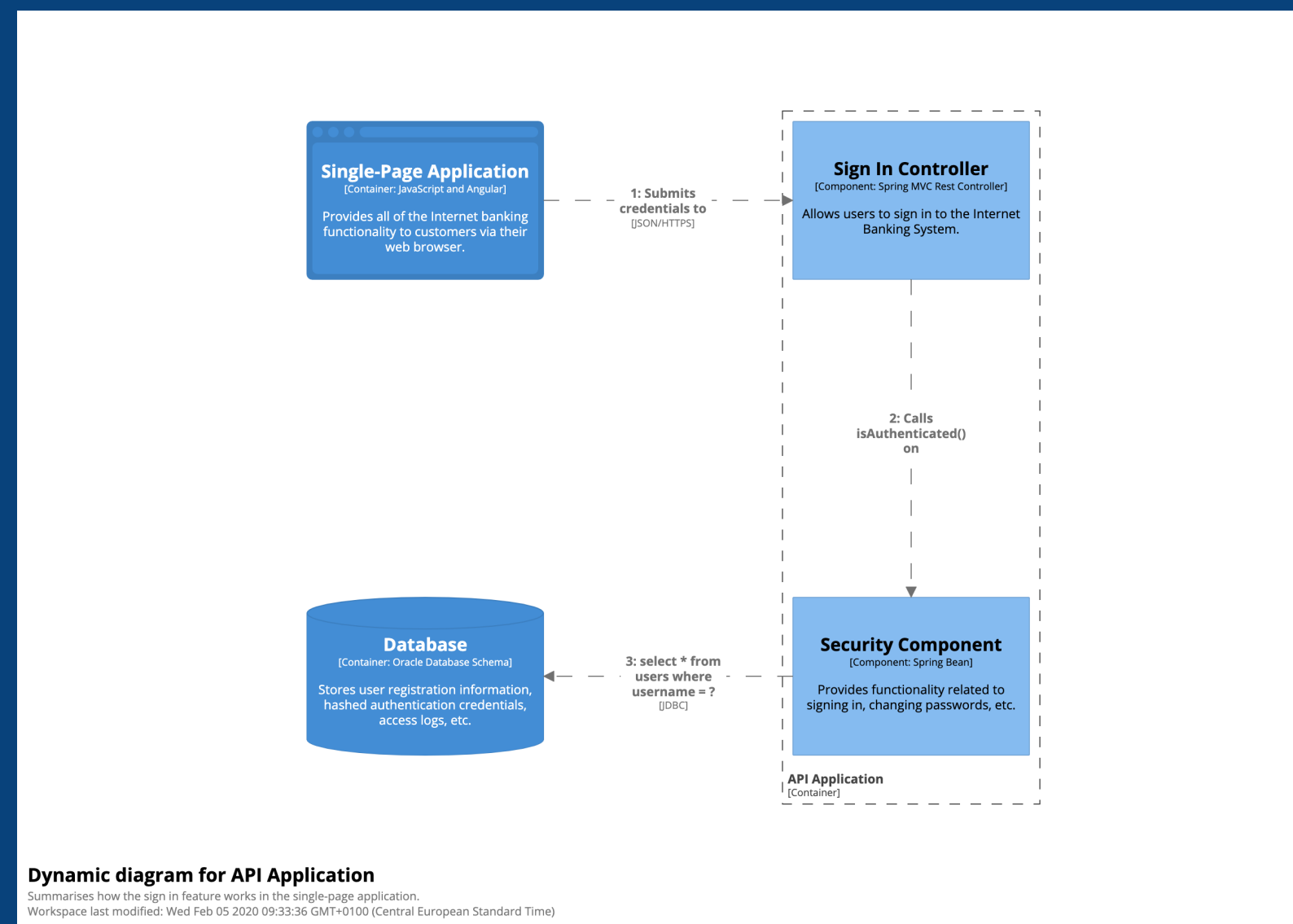




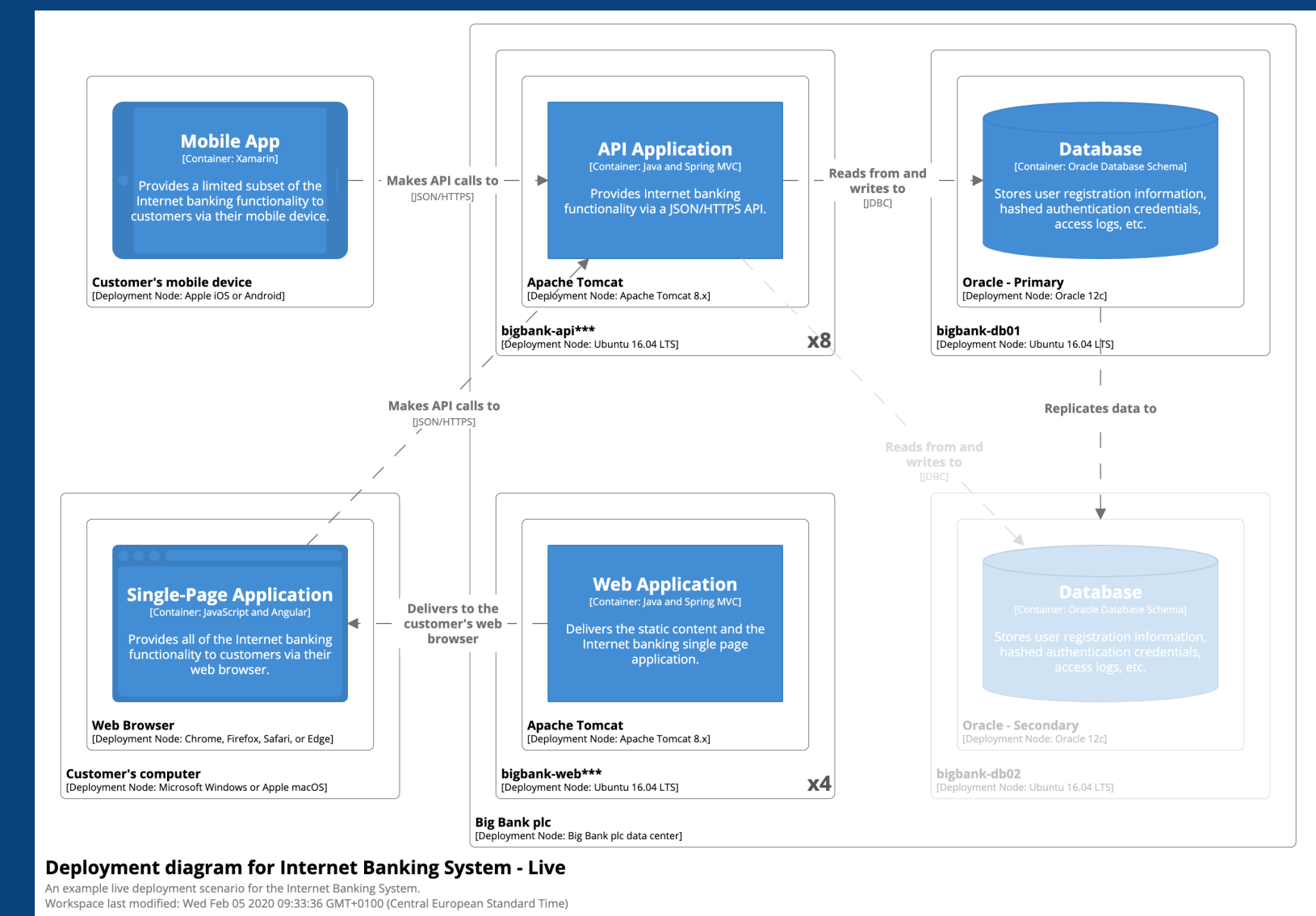
# Plus some supplementary diagrams...



System Landscape



Dynamic



Deployment

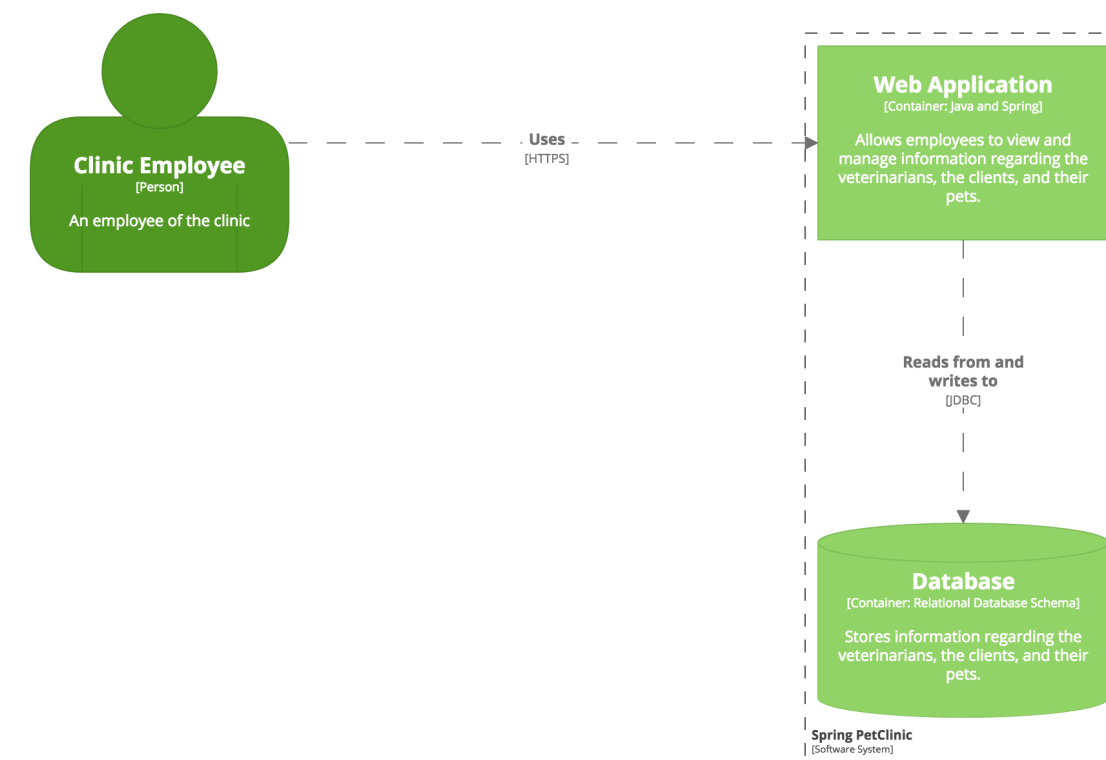


# Abstractions first, notation second

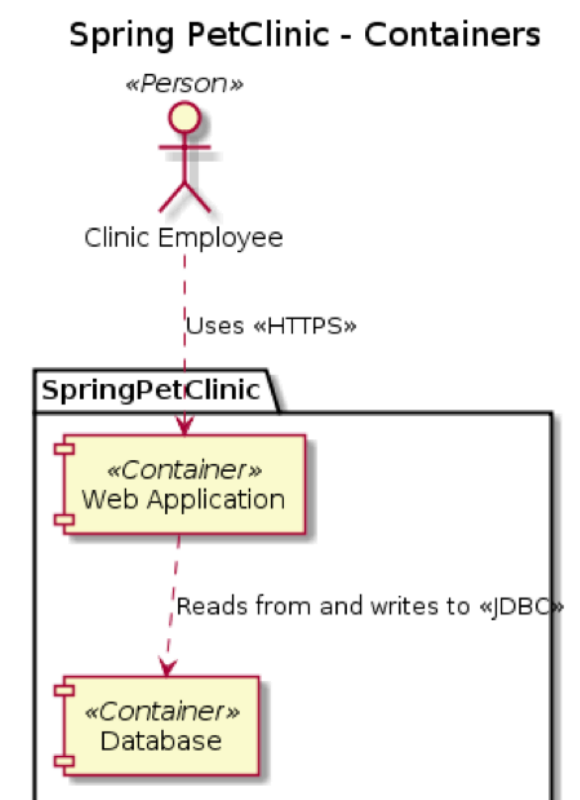
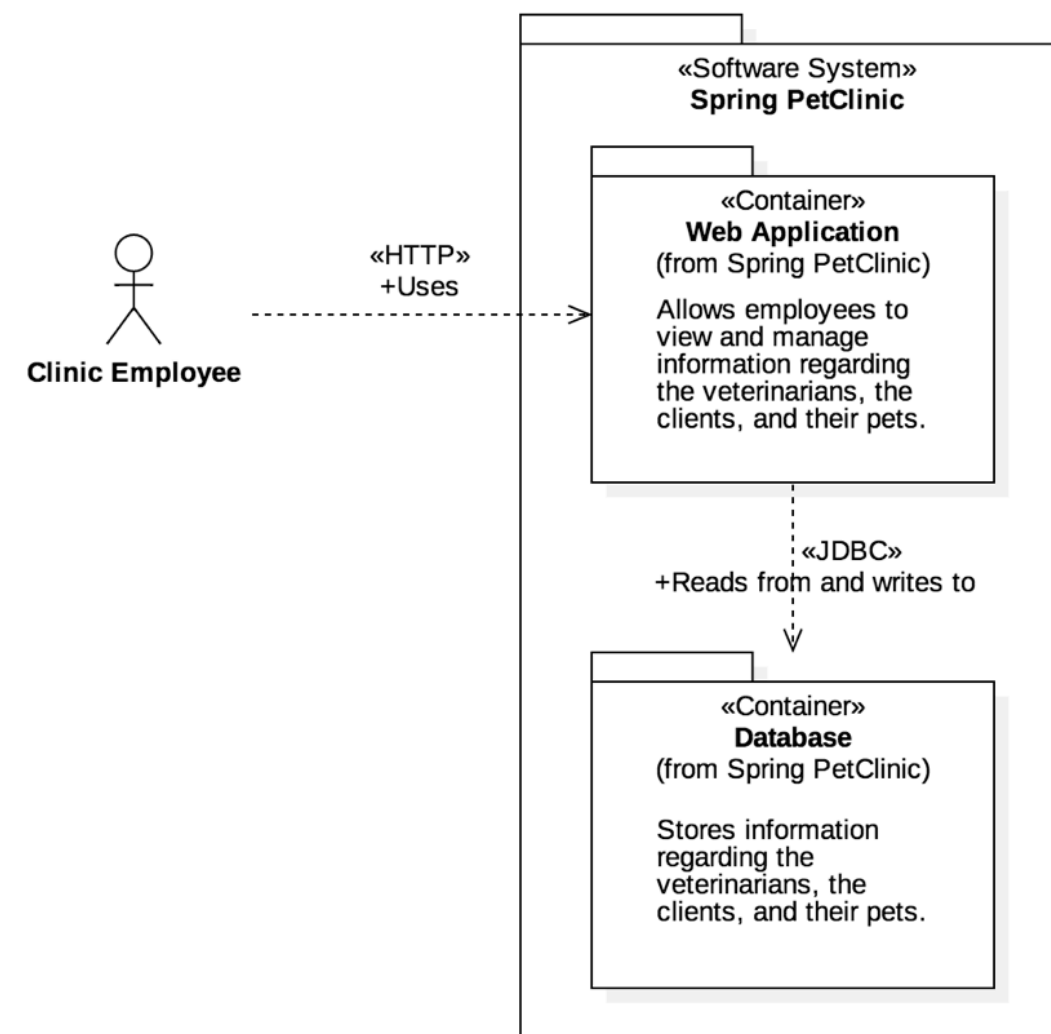
Ensure that your team has a ubiquitous language to describe software architecture



# The C4 model is notation independent

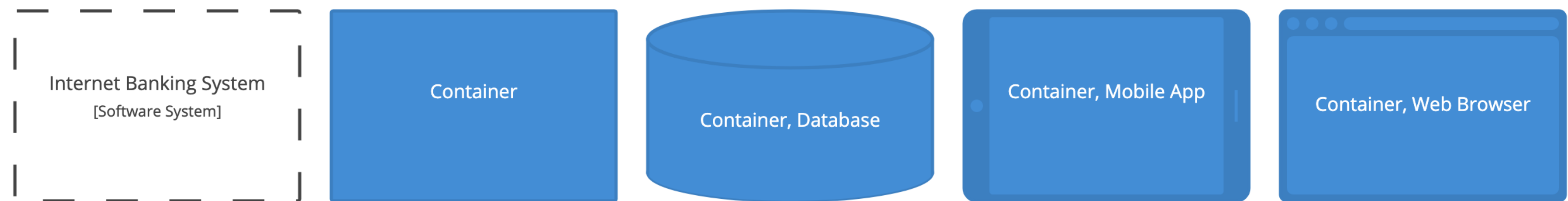
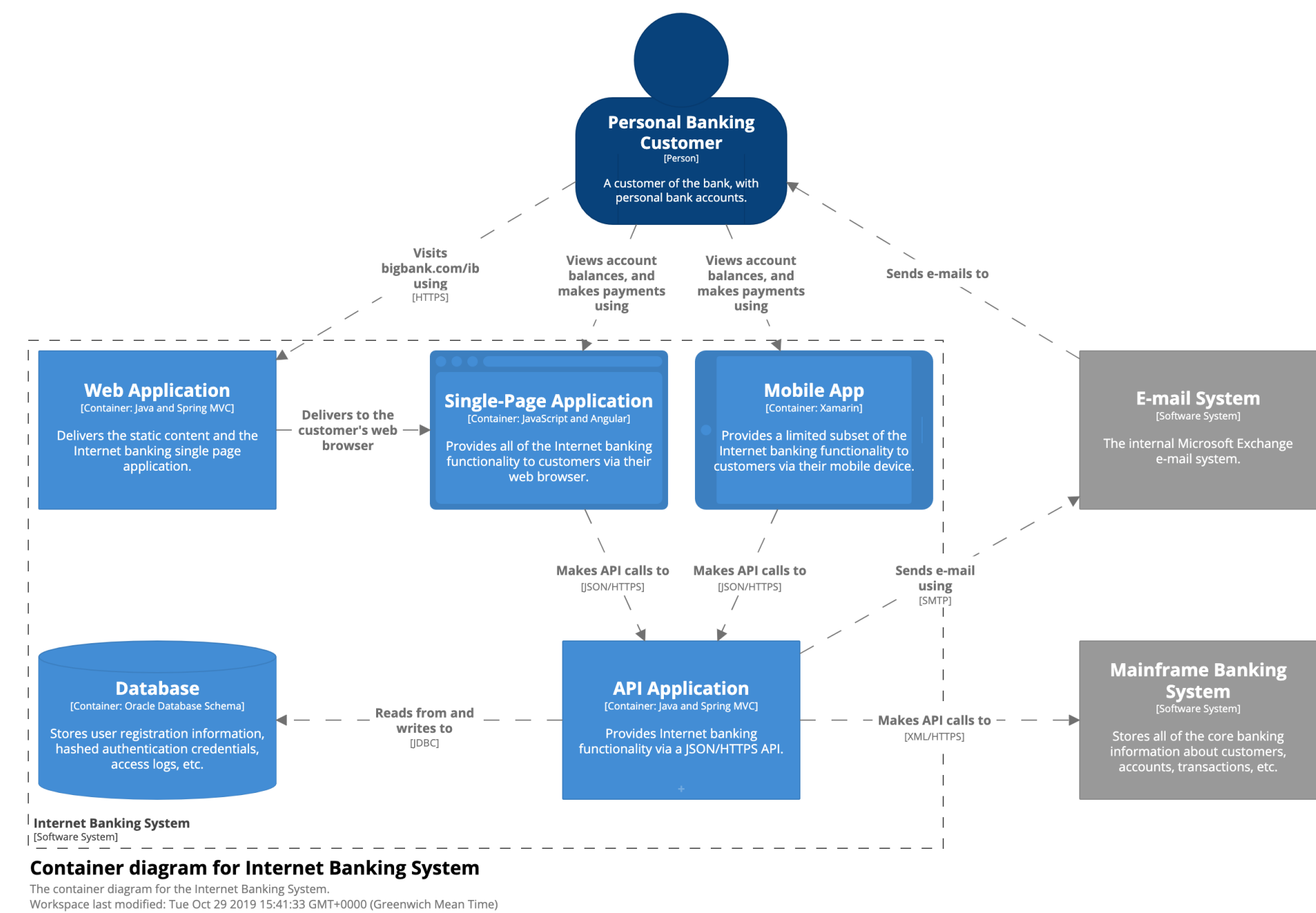


**Container diagram for Spring PetClinic**  
The Containers diagram for the Spring PetClinic system.  
Last modified: Thursday 17 August 2017 10:15 UTC | Version: 95de1d9f8b6f3560915331664b27a4a75ce1f1f6



The Container diagram for the Spring PetClinic system.







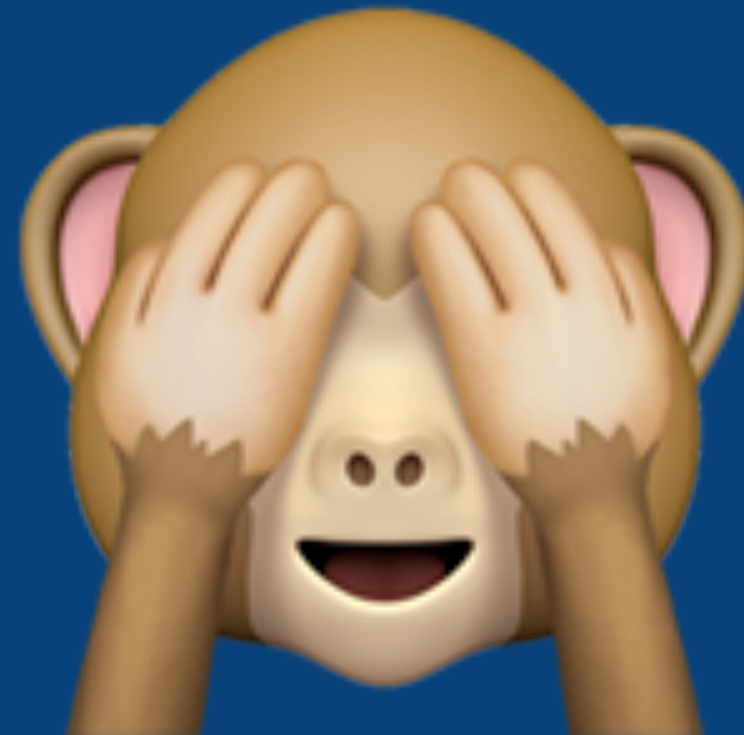
The lost art of  
software modelling?



How can we avoid copy-pasting  
elements across diagrams?



# Stop using Visio!





# TECHNOLOGY RADAR

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Techniques

Tools

Platforms

Languages &amp; Frameworks

## Techniques

### Trial ?

5. Continuous delivery for machine learning (CD4ML)

6. Data mesh

7. Declarative data pipeline definition

8. **Diagrams as code**

We're seeing more and more tools that enable you to create software architecture and other **diagrams as code**. There are benefits to using these tools over the heavier alternatives, including easy version control and the ability to generate the DSLs from many sources. Tools in this space that we like include **Diagrams**, **Structurizr DSL**,

**AsciiDoctor Diagram** and stables such as **WebSequenceDiagrams**, **PlantUML** and the venerable **Graphviz**. It's also fairly simple to generate your own SVG these days, so don't rule out quickly writing your own tool either.



Unable to find something you expected to see?

Each edition of the radar features blips reflecting what we came across during the previous six months. We might have covered



“Diagrams as code” is easy to author,  
diff, version control, collaborate on,  
integrate into CI/CD, etc



```
@startuml
title Software System - System Context

top to bottom direction

hide stereotype

rectangle "=="User\n<size:10>[Person]</size>" <<User>> as User
rectangle "=="Software System\n<size:10>[Software System]</size>" <<SoftwareSystem>> as SoftwareSystem

User ..> SoftwareSystem : "Uses"
@enduml
```

Domain language of diagramming  
(no rules, no guidance)



“Diagrams as code 2.0”  
makes this model based,  
separating content from presentation



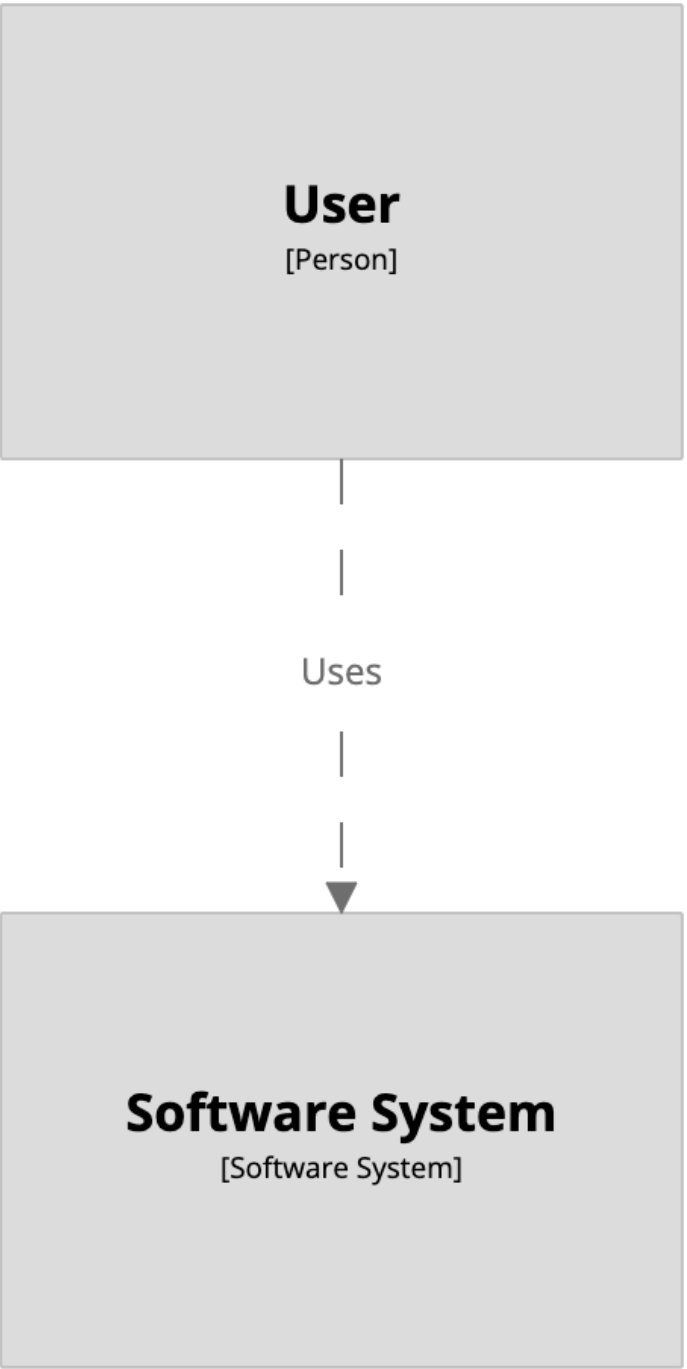
```
workspace {  
  
  model {  
    user = person "User"  
    softwareSystem = softwareSystem "Software System"  
  
    user -> softwareSystem "Uses"  
  }  
  
  views {  
    systemContext softwareSystem {  
      include *  
      autoLayout  
    }  
  }  
}
```

# Domain language of software architecture

(metamodel and rules)

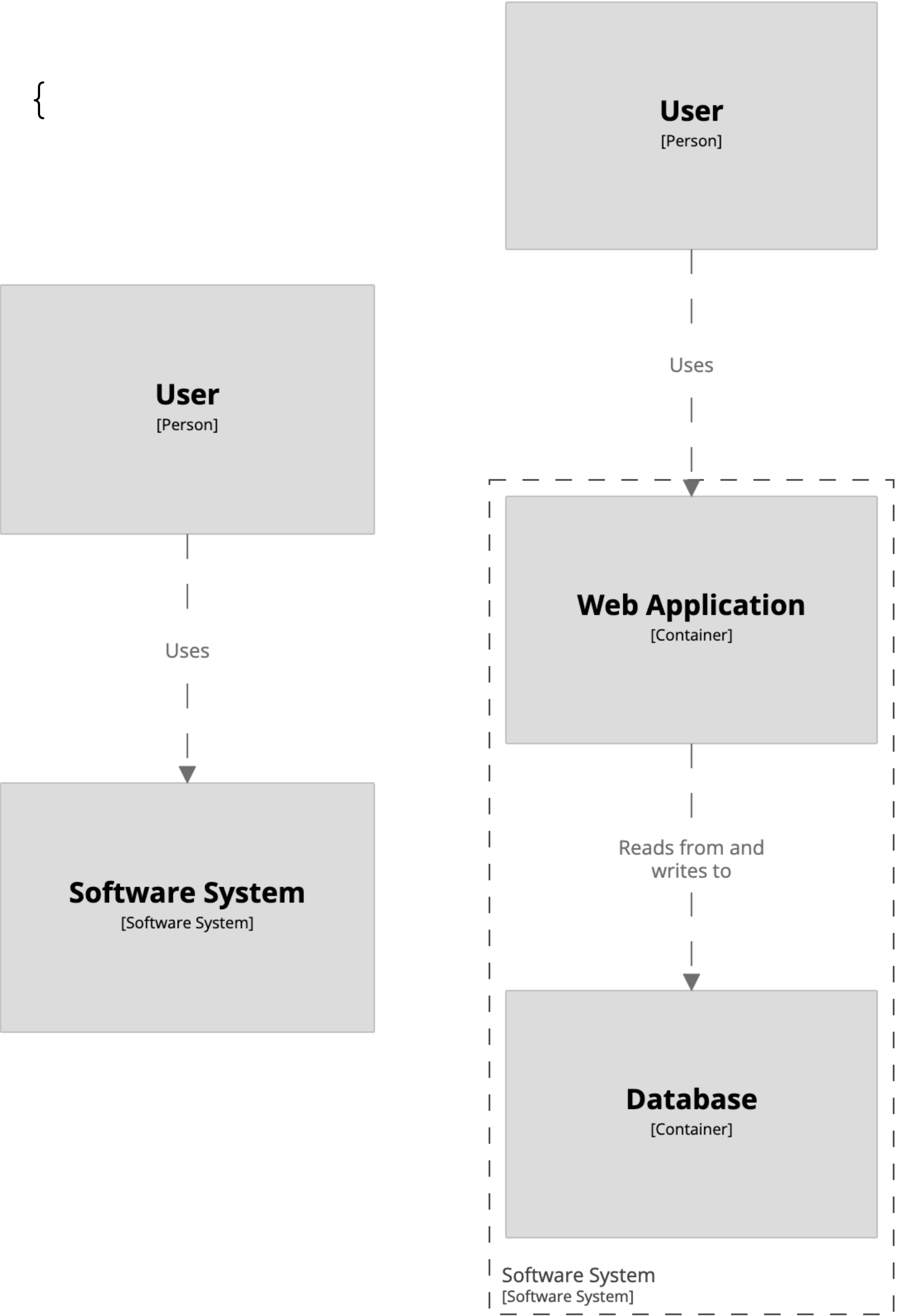


```
workspace {  
  
  model {  
    user = person "User"  
    softwareSystem = softwareSystem "Software System"  
  
    user -> softwareSystem "Uses"  
  
  }  
  
  views {  
    systemContext softwareSystem {  
      include *  
      autoLayout  
    }  
  
  }  
  
}
```

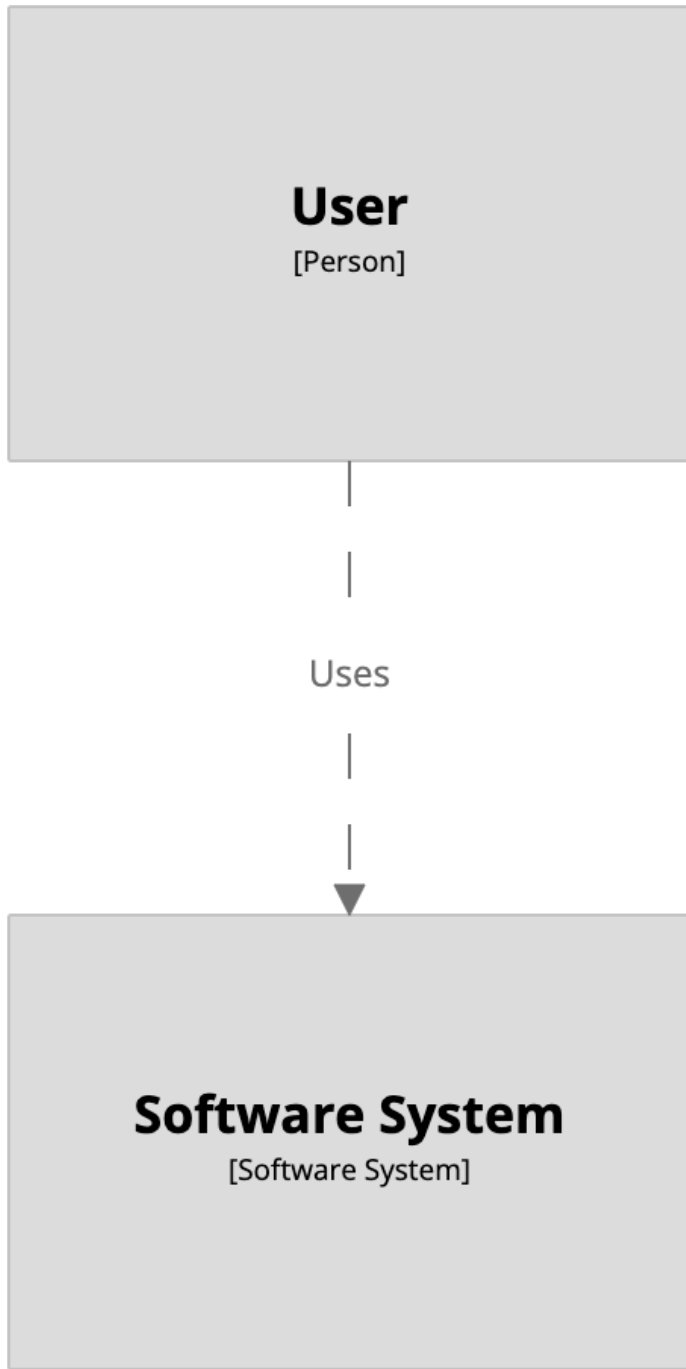




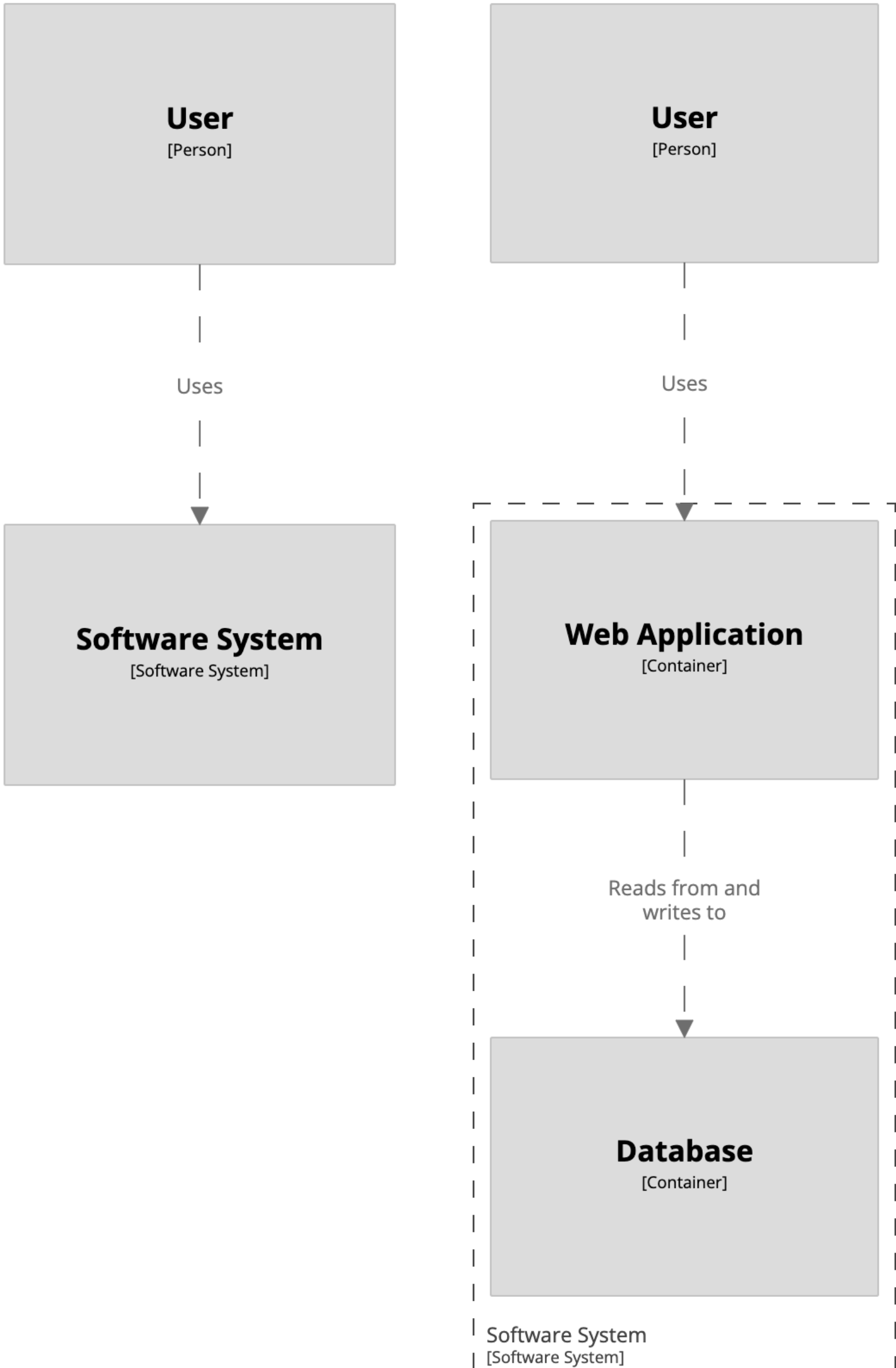
```
workspace {  
  
  model {  
    user = person "User"  
    softwareSystem = softwareSystem "Software System" {  
      webapp = container "Web Application"  
      database = container "Database"  
    }  
  
    user -> webapp "Uses"  
    webapp -> database "Reads from and writes to"  
  }  
  
  views {  
    systemContext softwareSystem {  
      include *  
      autoLayout  
    }  
  
    container softwareSystem {  
      include *  
      autolayout  
    }  
  }  
}
```



user -> softwareSystem "Uses"

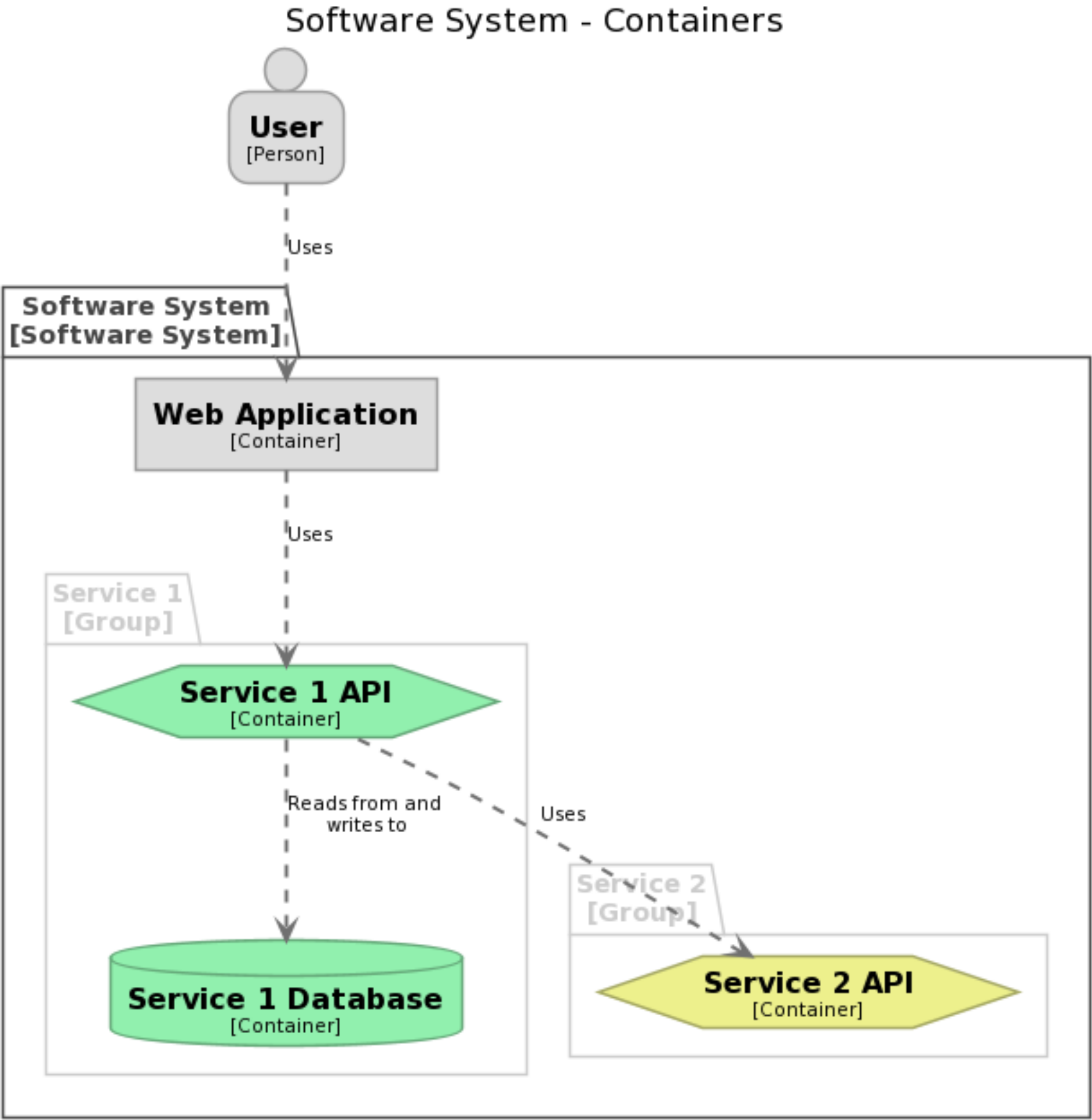
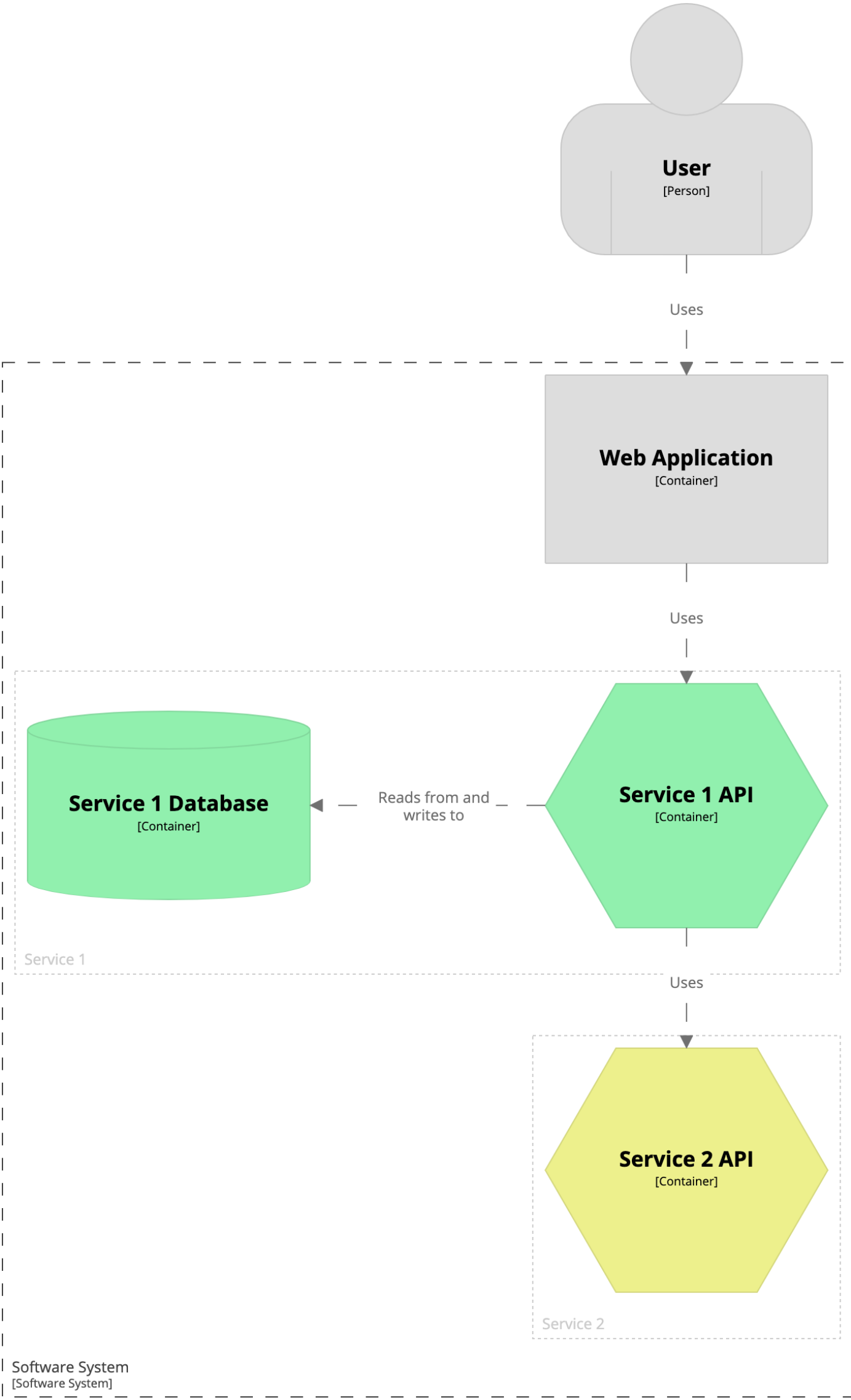


user -> webapp "Uses"  
webapp -> database "Reads from and writes to"





```
container softwareSystem {
  include user ->service1->
  autolayout
}
```



How can we avoid our diagrams becoming out of sync when we make changes to our code?



# Authoring tool

Create diagrams as code (Java, .NET, TypeScript, Python, PHP, etc) or text (DSL, YAML) via a number of different authoring tools.

```
private static final long WORKSPACE_ID = 25441;
private static final String API_KEY = "";
private static final String API_SECRET = "";

public static void main(String[] args) throws Exception {
    // all software architecture models belong to a workspace
    Workspace workspace = new Workspace("Getting Started", "This is a model of my software system.");
    Model model = workspace.getModel();

    // create a model to describe a user using a software system
    Person user = model.addPerson("User", "A user of my software system.");
    SoftwareSystem softwareSystem = model.addSoftwareSystem("Software System", "My software system.");
    user.uses(softwareSystem, "Uses");

    // create a system context diagram showing people and software systems
    ViewSet views = workspace.getViews();
    SystemContextView contextView = views.createSystemContextView(softwareSystem, "SystemContext", "An example of contextView.addSoftwareSystems();
    contextView.addSoftwareSystems();
    contextView.addPeople();

    // add some styling to the diagram elements
    Styles styles = views.getConfiguration().getStyles();
    styles.addElementStyle(Tags.SOFTWARE_SYSTEM).background("#1168bd").color("#ffffff");
    styles.addElementStyle(Tags.PERSON).background("#08427b").color("#ffffff").shape(Shape.Person);

    // upload to structurizr.com (you'll need your own workspace ID, API key and API secret)
    StructurizrClient structurizrClient = new StructurizrClient(API_KEY, API_SECRET);
    structurizrClient.putWorkspace(WORKSPACE_ID, workspace);
}
```

```
workspace "Getting Started" "This is a model of my software system." {
    model {
        user = person "User" "A user of my software system."
        softwareSystem = softwareSystem "Software System" "My software system."

        user -> softwareSystem "Uses"
    }

    views {
        systemContext softwareSystem "SystemContext" "An example of a System Context diagram." {
            include *
        }

        styles {
            element "Software System" {
                background #1168bd
                color #ffffff
            }
            element "Person" {
                shape person
                background #08427b
                color #ffffff
            }
        }
    }
}
```

# Workspace

A workspace is the wrapper for a software architecture model and views, described using the C4 model and an open JSON data format.

Creates

Renders

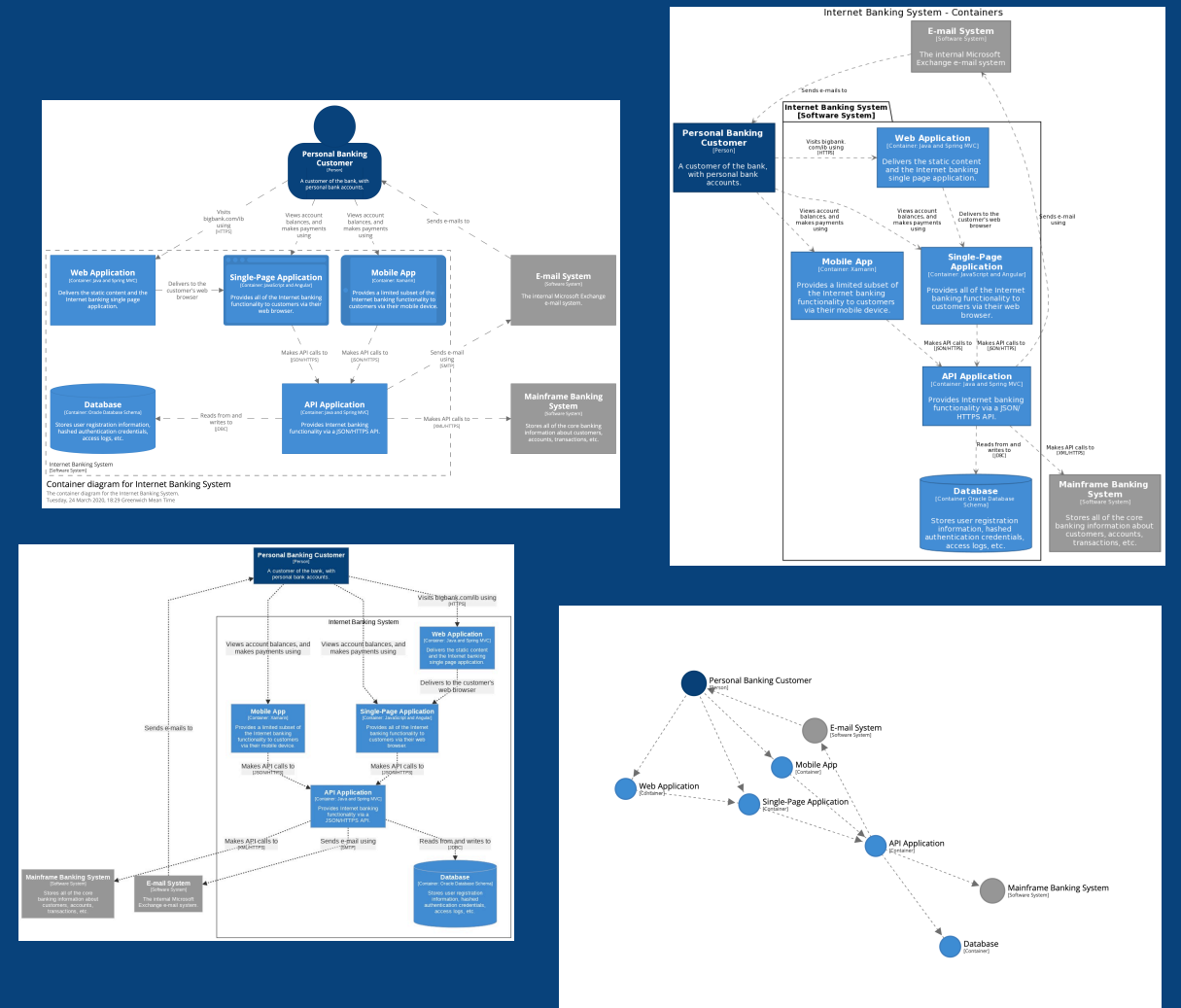
Consumes

# Custom tool

Your own tooling to parse the model and views; for integration into other rendering tools, dashboards, service catalogs, etc.

# Rendering tool

Render views using multiple diagramming tools and formats (Structurizr cloud service/on-premises installation/Lite, PlantUML, Mermaid, WebSequenceDiagrams, Ilograph, etc).



Diagramming tools are still the first choice for most teams, but some are starting to adopt modelling tools to improve consistency and enable diagram automatic generation



Abstractions first,  
notation second

# Thank you!



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