

# **Personalising and Scaling Mental Health Care and Mental Health Research by Digital Means**

**Prof. Dr. Harald Baumeister**

Keynote Presentation

ICT4AWE

Angers, France, April 24th 2024



# **Ulm University – Faculty of Engineering, Computer Science and Psychology**

## **Faculty Research Priorities:**

- **Cognitive Systems &  
Human-Technology**
- **Smart Sensing Systems**

## **Department of Clinical Psychology and Psychotherapy:**

- **Digital Behavioral and Mental Health**
- **Psychotherapy Process Research, Somato-Psychology, Health  
Services Research**
- **Part of German Centres for Mental Health (DZP) and Child and  
Adolescent Health (DZKJ)**

## **Psychology Research Priorities:**

- **Human & Health**
- **Human Behavior in  
Social Context**



# Digital Mental Health

## Basic Research

- Etiology and Pathology
- Nosology and Classification
- Epidemiology

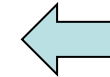
## Diagnostics, Intervention, Health Services Research

### Diagnostics

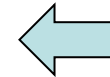
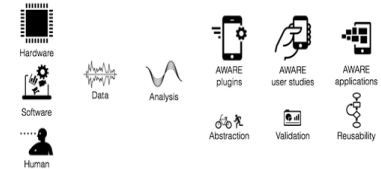
- Patient Reported Outcome/Experience (PROM/PREM)
- Screening of Mental Disorders
- Psycho-Social State/Traits
- RDoC / HiTOP

### Interventions

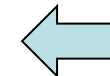
- Mental Burden and Disorders
- Behavioral Medicine
- Prevention and Health Promotion
- Competence and Skill Training
- Complex Interventions



**Digital Phenotyping / EMA /  
Smart Sensing**



**Server-based PROM/PREM  
Computer-adaptive Testing (CAT)  
Clinical Decision Support Systems  
(CDSS)**



**Internet- und mobile-based (IMI)  
Blended Care  
Virtual Reality  
AI-based Innovations  
Videoconference-based Therapy**

# Digital (Self-Help) Health Interventions

- Acceptable, efficacious and effective for a multitude of mental disorders and somatic diseases as well as in the context of prevention and health promotion
- Opens new ways of health promotion and mental health treatments
- Additional health care access for difficult to reach populations and those who refrain from on-site treatment for various reasons
- Scalable approach



# German S3-Guideline Depression

## – Digital Interventions –

### Mild Depression:

First Level Recommendation next to Psychotherapy and to be preferred over Psychopharmacotherapy

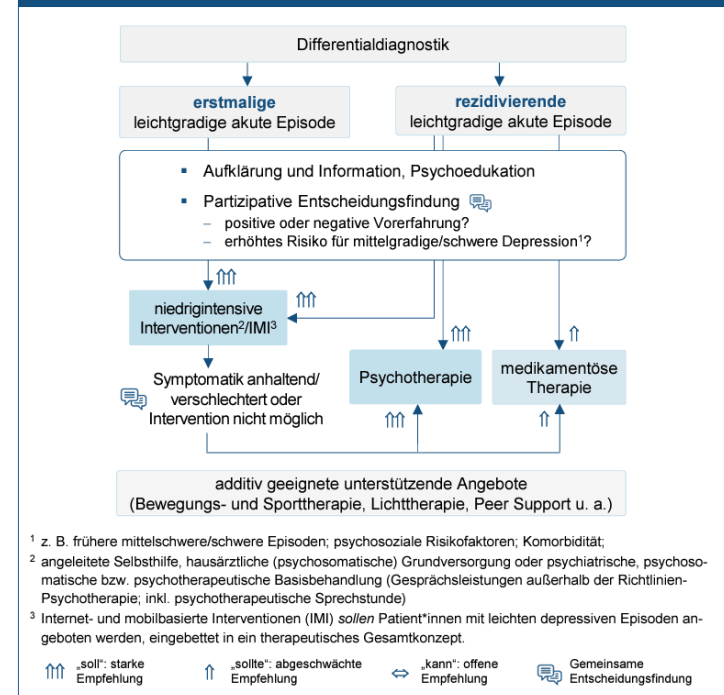
### Moderate-Severe

In addition to on-site psychotherapy and/or psychopharmacotherapy

NVL Unipolare Depression  
Kurzfassung – Version 3.2

NL NATIONALE  
VERSORGUNGS  
LEITLINIEN

Abbildung 11: Akuttherapie leichtgradiger depressiver Störungen



# BfArM DIGA Register

(N=63, 35 permanent, 22 preliminary, 6 delisted; April. 2024)

[illegible]

# Complex Intervention Example: Blended Outpatient Psychotherapy

## PSYCHOnlineTHERAPY

as blended therapy approach  
for outpatient psychotherapy

Funded by Innovationsausschuss  
(GBA)



### Website:

→ <https://www.psychonlinetherapie.de>



### eSano Online-Intervention:

PSYCHOnlineTHERAPY  
Examined for Depression and Anxiety  
Realised for ICD-10 F1-F6

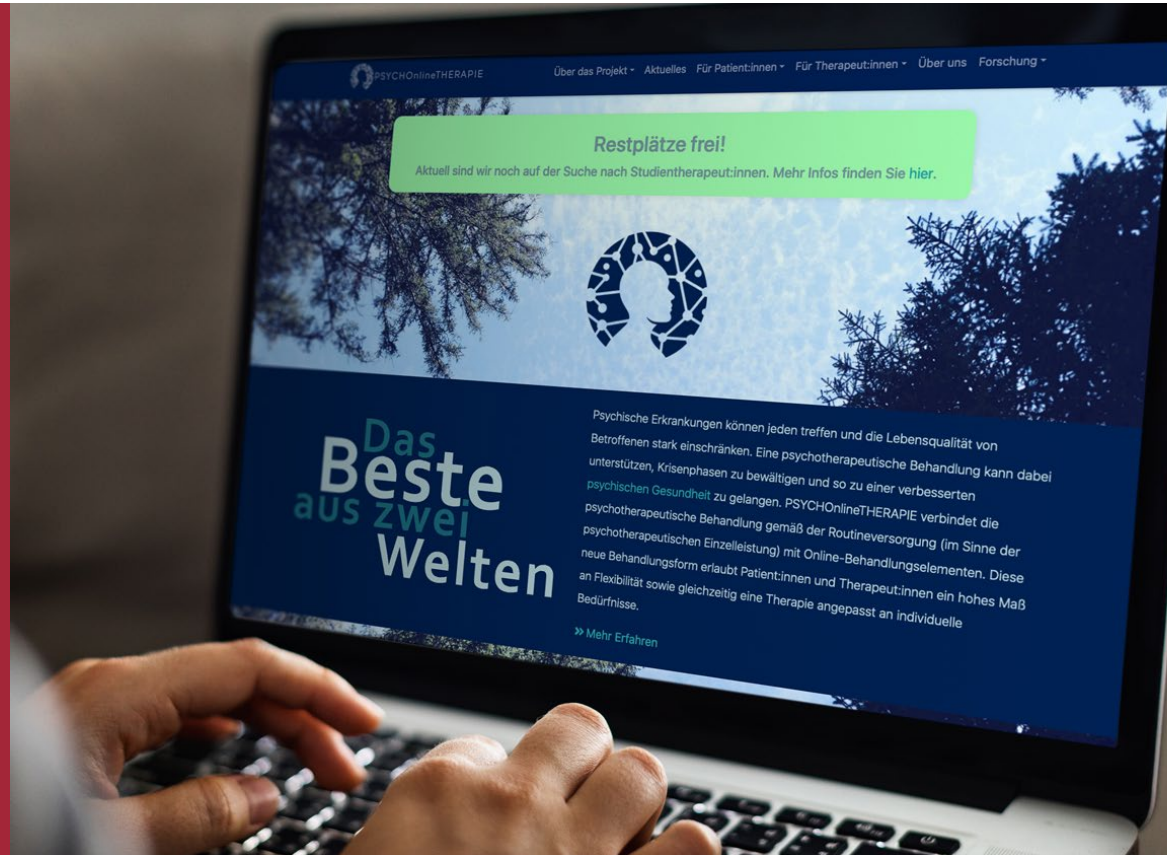


### Social Media:

Facebook/Instagram  
@psychonlinetherapie



Twitter  
@psychONtherapie



# Intervention Examples: Children and Adolescents

## iChimps

Cognitive-behavior therapy (CBT) and depth psychology therapy based intervention for CaA of parents with mental disorders



## ProTransition

CBT-based intervention to support health-care system transition of youth with mental disorders

**youthCOACH<sub>CD</sub>:** CBT-based intervention for adolescents with somatic diseases and depression / anxiety





# Intervention Examples: Somatic Diseases

**ACTonDiabetes:**  
Acceptance and Commitment  
Therapy (ACT)-based intervention  
for people with diabetes mellitus



**ACTonPain**  
ACT-based intervention for people  
with chronic pain

**ACTonCancer**  
ACT-based intervention for people  
with cancer



# E-Health Plattform – „eSano“

**eSano-Platform**  
web-/browser-based  
data-bank (*MySQL*)  
web-interface (*PHP, Laravel*)



## Content Management System

Development of interventions (*Vue.js*)



## E-Coach Platform

Patient administration and eCoaching  
(*Angular.js*)

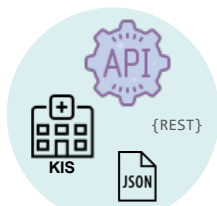


## Patient Platform

Responsive design intervention  
platform (Web., Android, iOS) based  
on cross-platform-app (*Ionic*)



MDR  
§ §



Interface



Mobile Sensing



Machine Learning



Security & Privacy



## Communication Process

Kraft et al., 2021

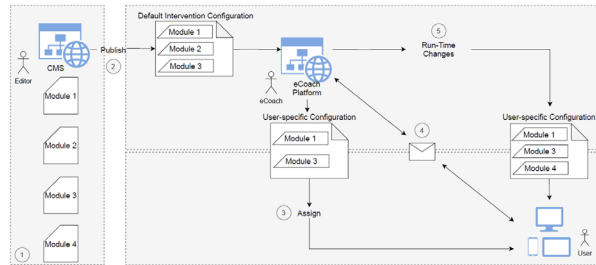


Fig. 3. Guidance process in eSano that spans the different subsystems

## MDR conform development

Holfelder et al., 2021

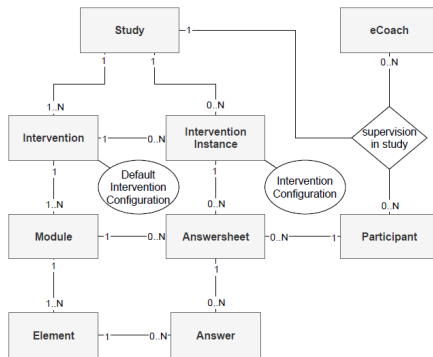


Fig. 2. Simplified entity-relationship model (ERM) of the eSano data model

## Data Processing

Kraft et al., 2021

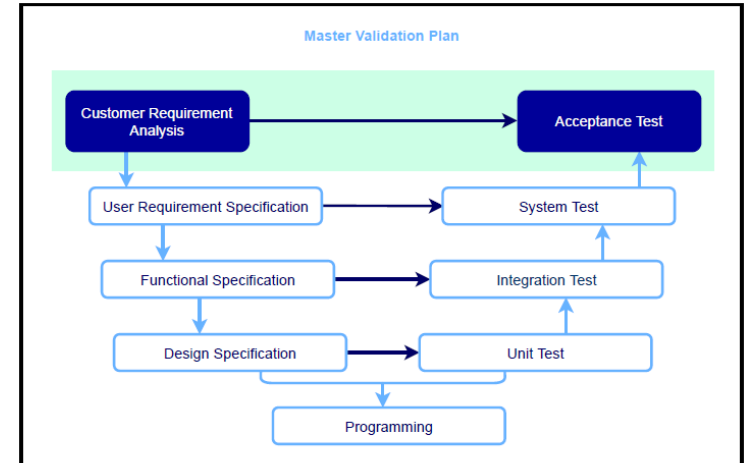


Figure 1. Waterfall (V-model) software development process model.

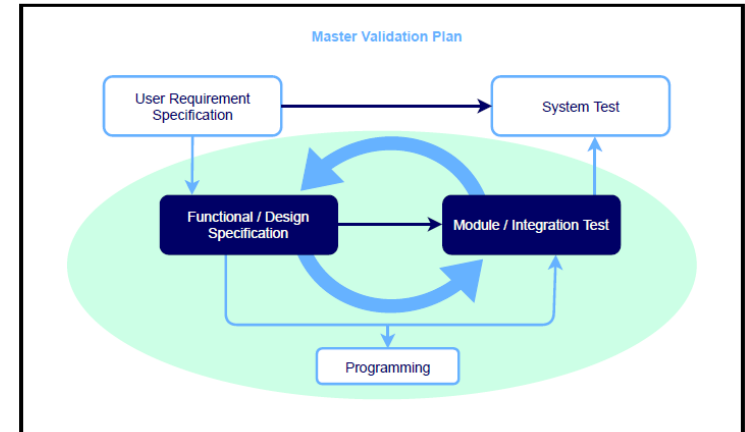
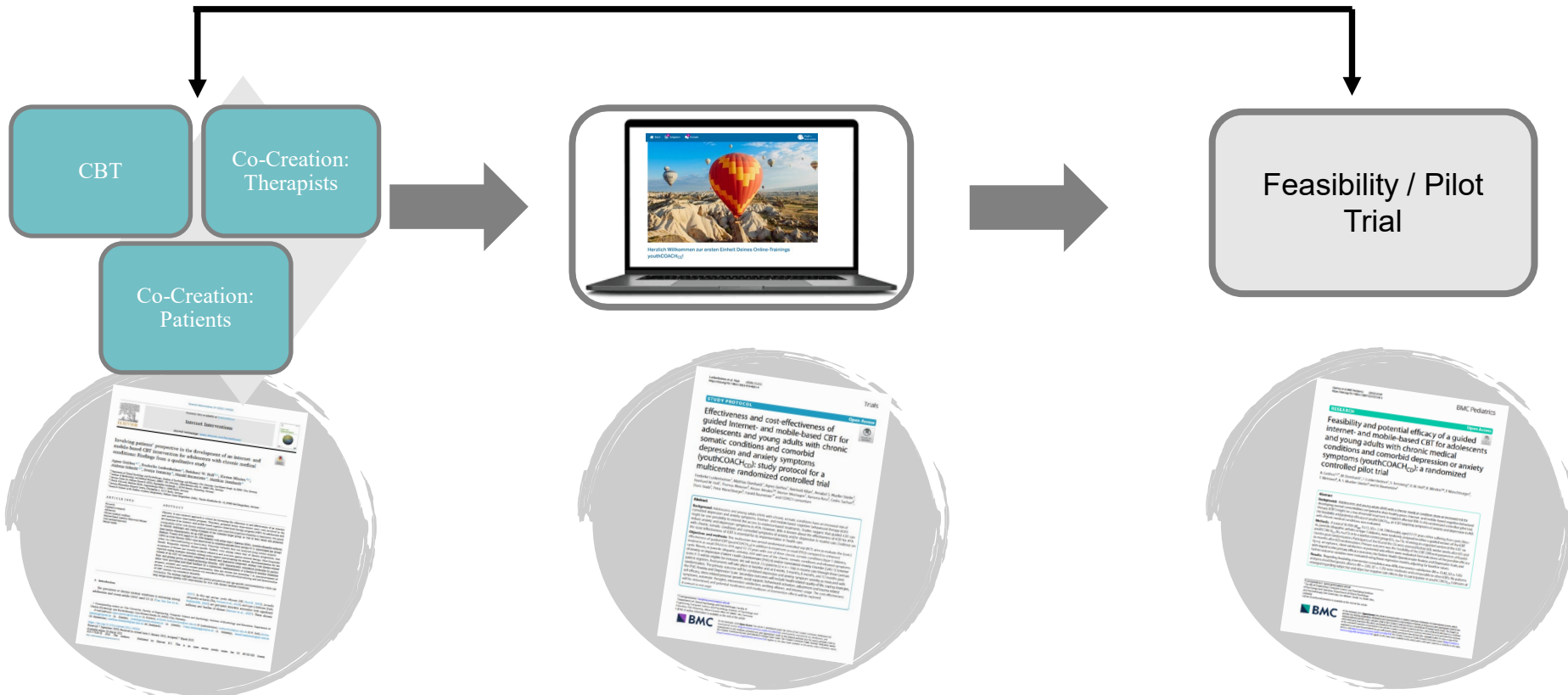


Figure 2. Process model of agile software development.

# Intervention development: YouthCOACH<sub>CD</sub>

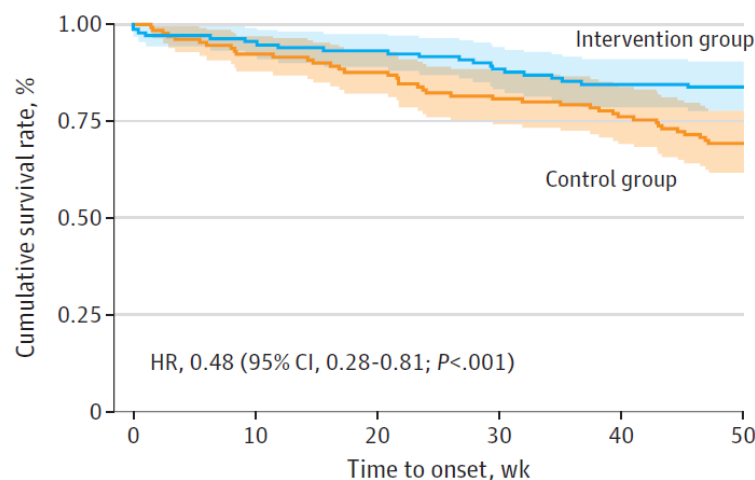


Geierhos et al. 2021, 2022; Lunkenheimer et al. 2020

GEFÖRDERT VOM

# PROD - Prevention in People with Chronic Back Pain and Subthreshold Depression

Figure 2. Kaplan-Meier Survival Curve of Time to Onset of Major Depressive Disorder



No. at risk						
Control group	146	120	114	105	99	90
Intervention group	149	122	119	113	108	105

Shading indicates 95% CI.

Incidence (12 months):

TAU = 28.1%

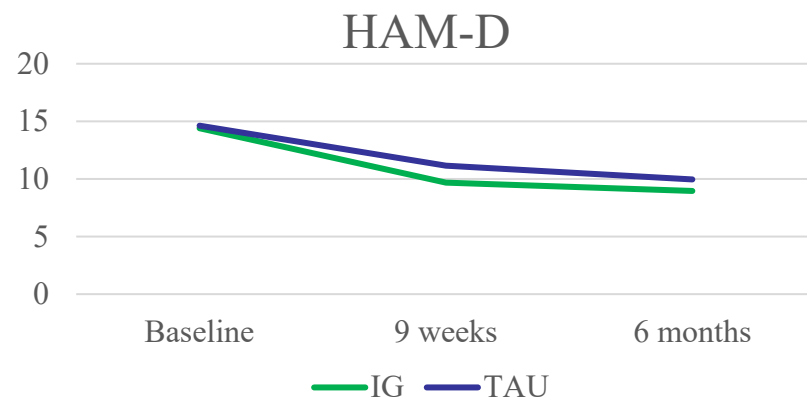
TAU plus IMI = 14.1%

Hazard Ratio (N=295):

0.48 (95%CI 0.28 – 0.81)

NNT: 2.84 (95%CI 1.79-9.44)

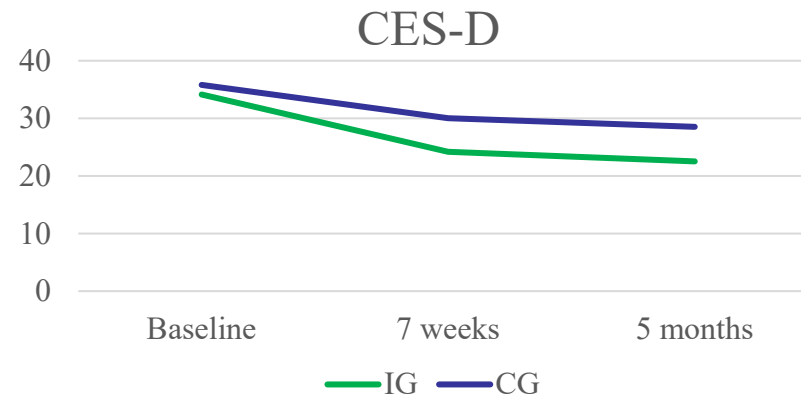
# WARD-BP - Treatment in People with Chronic Back Pain and Depression



	IG (n = 104)	CG (n = 105)	ITT $\beta$
Baseline	14.4 (5.45)	14.61 (5.32)	
7 weeks	9.67 (6.41)	11.14 (7.26)	-0.19 (-0.43;0.05)
5 months	8.97 (6.44)	9.95 (7.13)	-0.14 (-0.40;0.12)

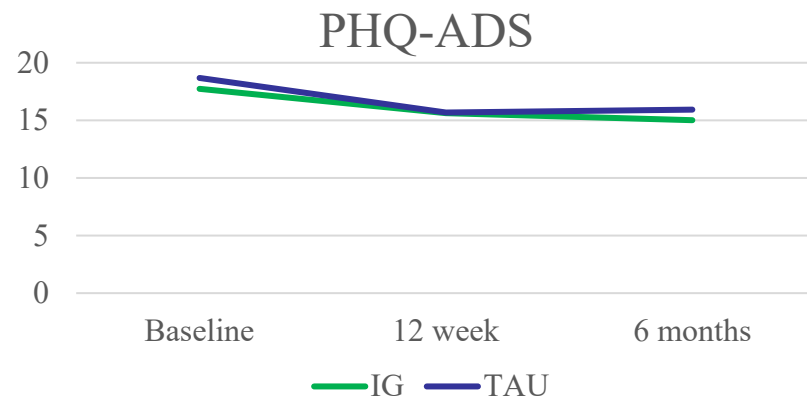


# Psychotherapy Waiting Time Depression Intervention



	IG (n = 104)	CG (n = 105)	ITT Cohens d
Baseline	34.1 (0.8)	35.8 (0.8)	
7 weeks	24.2 (1.4)	30.0 (1.3)	0.55
5 months	22.5 (1.6)	28.5 (1.4)	0.52

## YouthCoach<sub>CD</sub> – Digital Intervention for Adolescents with somatic diseases and depression / anxiety



	IG (n = 112)	CG (n = 108)	ITT $\beta$
Baseline	17.73 (7.92)	18.67 (8.56)	
12 weeks	15.62 (9.62)	15.66 (8.77)	0.048; p = 0.421
6 months	15.01 (7.90)	15.92 (9.01)	-0.020; p = 0.739

# Personalising and Scaling

Moderators & Mediators

AI-Innovations

Implementation

# **Differential Indication: predictors, moderators, active components, mechanisms of change**

**For whom does it work when and why best?**



# Moderators of digital interventions in subthreshold depression – results of an IPD-MA

**Table 4.** Results from separate multilevel regression analyses on the effects of putative moderators on differential change in depression severity from baseline to posttreatment assessment

Baseline variable	Interaction: baseline variable $\times$ treatment condition					
	estimate	SE	<i>t</i> value	<i>p</i> ( $< t $ )	$\tau^2_0$	$\tau^2_1$
Age	−0.066	0.033	−2.002	0.045	2.812	1.972
Gender	−0.799	0.784	−1.02	0.308	2.568	1.998
Relationship	−0.549	0.707	−0.776	0.438	2.506	2.281
Employment	0.105	0.903	0.116	0.908	2.612	2.256
Previous psychotherapy	0.816	0.960	0.849	0.396	2.671	2.365
Depression medication	0.594	0.090	0.600	0.548	2.671	2.312
Comorbidities	−0.816	0.846	−0.965	0.335	2.825	1.904
Chronic medical conditions	−0.306	1.392	−0.22	0.826	2.639	2.197
Comorbid anxiety	−0.950	0.905	−1.049	0.295	2.996	2.086
Initial symptom severity	−0.182	0.069	−2.641	0.008	2.197	1.391
Format	−1.797	0.944	−1.905	0.057	2.655	0.786
Number of sessions	−0.001	0.256	−0.007	0.994	2.580	2.443
Education	−0.566	0.605	−0.936	0.350	2.597	2.621

<- Older Age

<- Baseline Severity

All analyses were controlled for initial depressive symptom severity. SE, standard error;  $\tau^2_0$ , intercept variance;  $\tau^2_1$ , slope variance; gender (0 = male, 1 = female, 2 = other); relationship (0 = single/divorced/separated/widowed, 1 = married/in a relationship); employment (0 = no, 1 = yes); previous psychotherapy (0 = no, 1 = yes); depression medication (0 = no, 1 = yes), comorbidities (0 = no, 1 = yes), chronic medical conditions (0 = no, 1 = yes), comorbid anxiety (0 = no, 1 = yes), format (0 = unguided, 1 = guided); education (0 = no = 0–5 years, 1 = low = 6–9 years, 2 = middle = 10–12 years, 3 = high = 13–17 years, 4 = very high = 18+ years).

# Psychological (in-)flexibility moderats effectiveness



J. Clin. Med. 2019, 8, 24

5 of 10

Journal of  
Clinical Medicine

## Article

## Baseline Psychological Inflexibility Moderates the Outcome Pain Interference in a Randomized Controlled Trial on Internet-based Acceptance and Commitment Therapy for Chronic Pain

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**Abstract:** This study re-investigated data of a randomized controlled trial on Internet-based Acceptance and Commitment Therapy for chronic pain (ACTonPain). Baseline psychological inflexibility was examined as a moderator of the outcome pain interference. In the ACTonPain trial, participants with chronic pain were randomized to one of three conditions: guided Internet-based ACT ( $n = 100$ ), unguided Internet-based ACT ( $n = 101$ ), and waitlist ( $n = 101$ ). Moderation analyses were performed with the SPSS macro PROCESS. Pain interference according to the Multidimensional Pain Inventory (MPI) was the primary outcome in this trial, and the potential moderator psychological inflexibility was measured with the Acceptance and Action Questionnaire (AAQ-II). Psychological inflexibility at baseline moderated the outcome between guided Internet-based ACT and waitlist 9-weeks as well as 6-months after randomization, (both  $p < 0.05$ ). Between unguided Internet-based ACT and waitlist, psychological inflexibility moderated the outcome 6-months after randomization ( $p < 0.05$ ). Internet-based ACT was superior to waitlist for participants with less psychological inflexibility at baseline, but Internet-based ACT became increasingly comparable to waitlist at higher AAQ-II baseline values. Future research should investigate whether the results can be replicated in more individualized and tailored face-to-face settings.

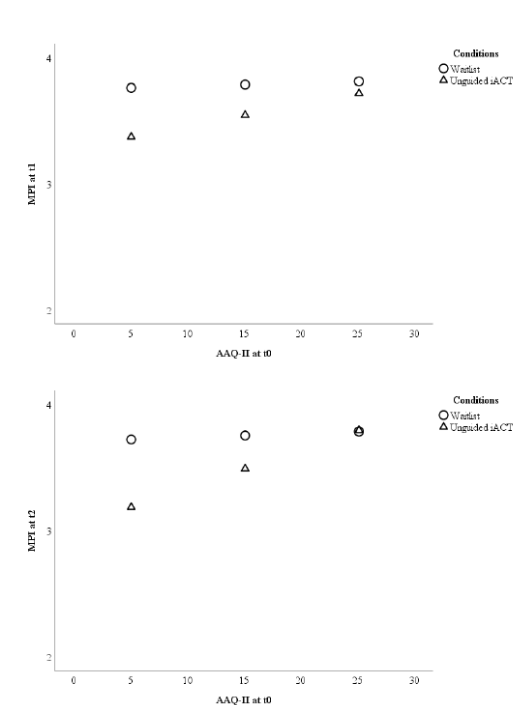
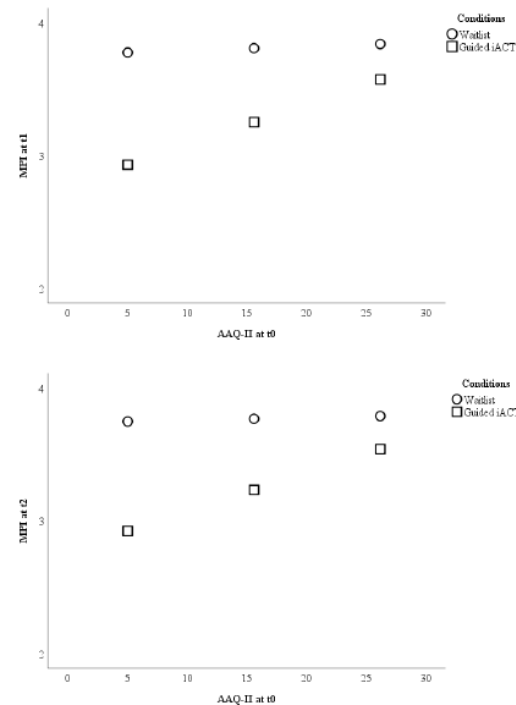
**Keywords:** acceptance and commitment therapy; psychological inflexibility; chronic pain

## 1. Introduction

Chronic pain (CP) is a disorder with a pooled prevalence rate of 31% [1] and it is constantly one of the top causes of years lived with disability [2]. Numerous psychological variables appear to contribute to the process of how pain leads to disability, including self-efficacy, emotional distress, and fear [3]. Psychological therapies have been shown to be effective to improve anxiety, depression, catastrophic thinking, disability, and sometimes also pain [4].

A psychotherapy increasingly gaining interest in the treatment of CP is Acceptance and Commitment Therapy (ACT), a contextual form of Cognitive and Behavioral Therapy (CBT). In ACT, psychological flexibility and its opposite psychological inflexibility are central concepts [5]. The six

**Figure 1.** Visualizations of the results testing baseline psychological inflexibility as a moderator of the treatment outcome pain interference between waitlist and guided Internet-based ACT. The outcome (Y-axis) is displayed for three different levels (sample mean  $\pm$  1 standard deviation) of psychological inflexibility (X-axis). Note: AAQ-II = Acceptance and Action Questionnaire; iACT = Internet-based Acceptance and Commitment Therapy; MPI = Pain interference scale of the Multidimensional Pain Inventory; t0 = baseline; t1 = 9-weeks after randomization; t2 = 6-months after randomization.



**Figure 2.** Visualizations of the results testing baseline psychological inflexibility as a moderator of the treatment outcome pain interference between waitlist and unguided Internet-based ACT. The outcome (Y-axis) is displayed for three different levels (sample mean  $\pm$  1 standard deviation) of psychological inflexibility (X-axis). Note: AAQ-II = Acceptance and Action Questionnaire; iACT = Internet-based Acceptance and Commitment Therapy; MPI = Pain interference scale of the Multidimensional Pain Inventory; t0 = baseline; t1 = 9-weeks after randomization; t2 = 6-months after randomization.

J. Clin. Med. 2019, 8, 24; doi:10.3390/jcm810024

www.mdpi.com/journal/jcm



# Active Component: Guidance

## Digital Depression Intervention Metaanalyse

83 studies, 88 articles, 15.530 participants, 95% adult trials (Ø 41 years), 70% female, mild-moderate depression at average (Ø 12.9 PHQ-9), 27% trial on MDD, 74% iCBT-based, guided in 52%, tech-guided in 28% of cases, Ø 7 modules, 90% on web-based interventions

### Guidance:

- Unguided  $g = .34 (.24 ; .45)$
- Tech-Guided  $g = .46 (.29 ; .62)$
- Human Guided  $g = .63 (.50 ; .76)$

Not associated with

- Communication way (synchronous, asynchronous)
- Qualification level (high, low) and
- Duration (Ø 81 Minuten) of human guidance

## Active Component: Delivery Method / Device

### Depression:<sup>1</sup>

- Web-based  $g = .53$  95%CI (.43; .62)
- Mobile-based  $g = .39$  95%CI (-.27; 1.06)

### Mobile-based across Conditions:<sup>2</sup>

- Depression  $g = .33$  (.10; .57)
- Anxiety  $g = .30$  (-.10; .7)

### App-based adjunctive to interventions:<sup>3</sup>

- Depression  $g = .17$  (.02; .33)
- Anxiety  $g = .80$  (.06; 1.54)
- Bipolar Disorders  $g = .20$  (.02; .38)
- Eating Disorders  $g = -.02$  (-.44; .4)

# MHAD (<http://mhad.science>)

## App Quality Rating Data Base



	N	Functionality	Aesthetics	Engagement	Content	Subjective	Perceived Impact
<b>Mindfulness</b>	192	4,18	3,79	3,45	3,24		
<b>Borderline Depression</b>	17	3,74	2,99	2,86	3,34		
<b>Depression</b>	38	3,12	3,01	2,89	2,98		
<b>Gastrointestinal</b>	109	4,08	3,19	2,47	1,89	2,16	2,33
<b>Insomnia</b>	55	4,01	3,24	3,06	3,51		
<b>Child and Adolescent</b>	15	3,81	3,78	3,34	2,34	3,13	2,80
<b>Oncology</b>	78	4,21	3,41	2,92	2,67		
<b>PTSD</b>	69	3,82	3,36	3,03	3,22	2,54	2,59
<b>Back Pain</b>	20	3,90	3,40	3,10	3,30		
<b>Pain</b>	218	3,66	3,11	2,81	2,93	2,19	2,06
<b>Older Adults</b>	83	3,99	3,60	3,25	2,02		
<b>Sexuality</b>	6	3,71	3,58	3,25	3,60	2,52	3,15
<b>Physical Activity</b>	312	4,35	3,65	3,19	3,23	2,34	2,32
<b>Average</b>	1212	3,89	3,39	3,05	2,94	2,48	2,54

Paganini et al. JMIR mHealth and uHealth 2023; Simon et al. J Sleep Research 2023; Messner et al. JMIR 2022; Steubl et al. BPD & Emot Dysr 2022; Gerner et al. JMIR mHealth and uHealth 2022; Geirhos et al. Scientific Report 2022; Muehlmann et al. Z Sex Forsch 2022; Domhardt et al. CaA Psychiatry Mental Health 2021; Schultchen et al. Int J Behav Med 2021; Mack et al. IJERPH, 2021; Sander et al. Front Digital Health 2021; Paganini et al. JMIR mHealth and uHealth, 2021; Terhorst et al. Int Intervent 2021; Portenhausner et al. JMIR Aging 2021; Sander et al. Europ J Psychotrauma 2021; Terhorst et al. Verhaltenstherapie 2018

# Active Components in Digital Anxiety Interventions - Metaanalyse (Domhardt et al., Depression & Anxiety 2019)

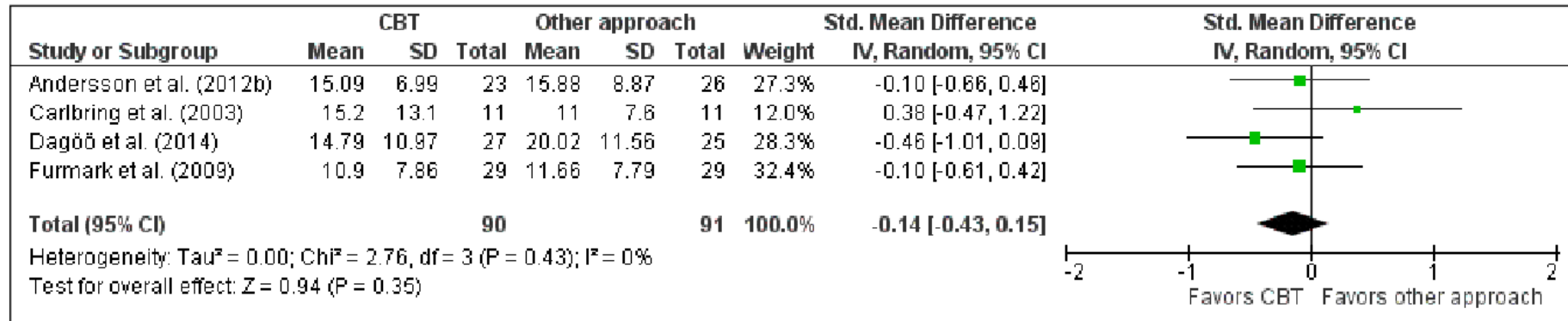


Fig. 1: CBT vs. Other Approaches

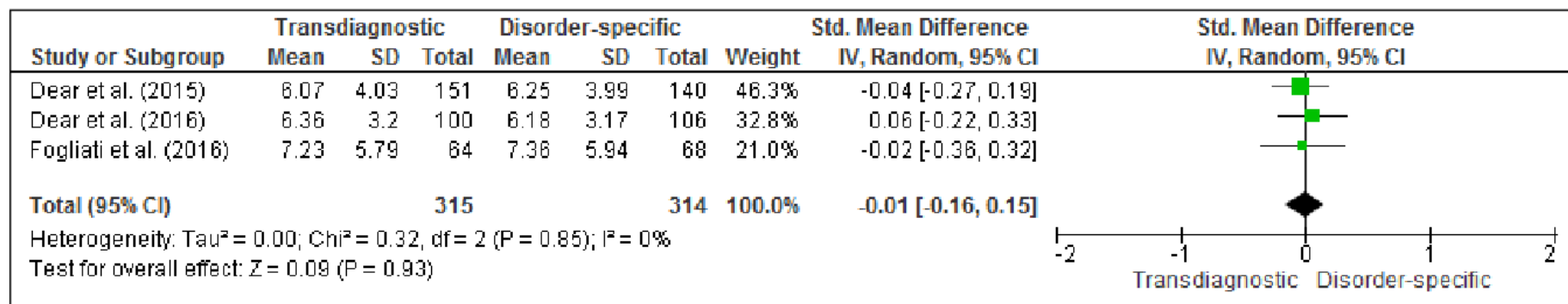


Fig. 2: Transdiagnostic vs. Disorder Specific

## Active Component – Network-MA iCBT Depression

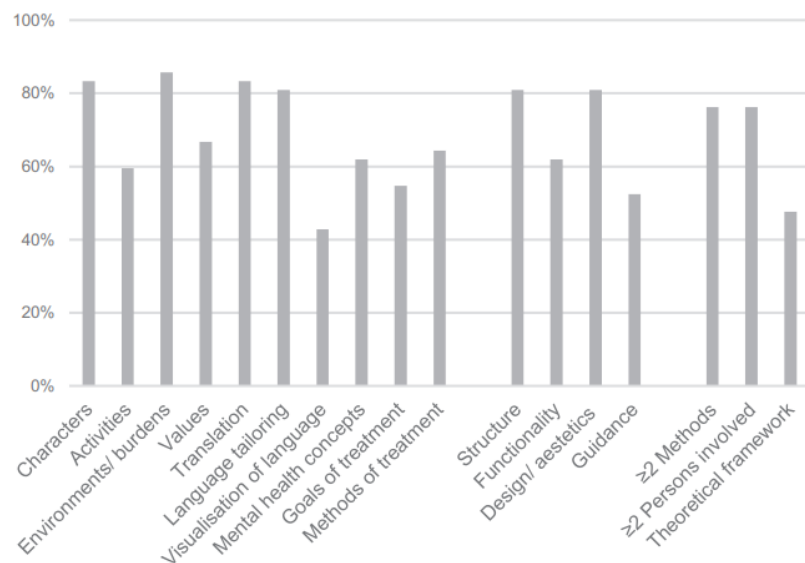
	Depression severity (MD of PHQ-9 scores), median (95% CrI)
Age	0.19 (-0.09 to 0.47)
Baseline depression, PHQ-9 scores	2.59 (2.32 to 2.85)
Gender*	-0.03 (-0.28 to 0.18)
Relationship†	-0.12 (-0.33 to 0.12)
Waiting component	0.42 (-0.75 to 1.53)
Non-specific treatment effects	-1.41 (-2.52 to -0.30)
Psychoeducation about depression	0.02 (-0.86 to 0.93)
Cognitive restructuring	0.30 (-0.87 to 1.41)
Behavioural activation	-1.83 (-2.90 to -0.80)
Interpersonal skills training	-0.54 (-1.59 to 0.52)
Problem solving	-0.64 (-1.41 to 0.09)
Relaxation	1.20 (0.17 to 2.27)
Third-wave components	-0.53 (-1.55 to 0.49)
Behaviour therapy for insomnia	-1.82 (-3.92 to 0.26)
Relapse prevention	0.35 (-0.69 to 1.32)
Homework required	0.31 (-0.69 to 1.35)
Initial face-to-face contact	0.85 (-1.80 to 3.41)
Automated encouragement to proceed with iCBT	-0.26 (-1.13 to 0.60)
Human encouragement to proceed with iCBT	-0.29 (-1.17 to 0.58)
Therapeutic guidance for iCBT	0.01 (-0.88 to 0.89)

<- unspecific effect

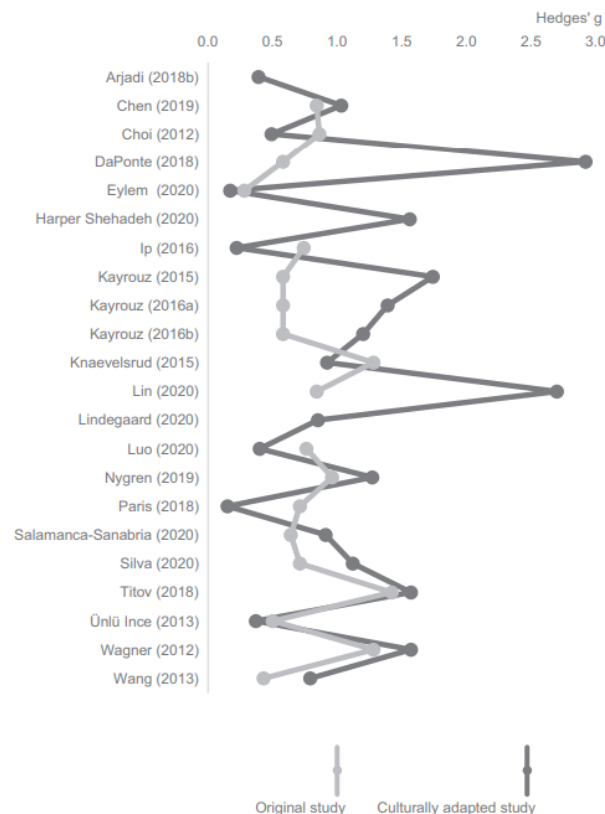
<- behavior change

<- relaxation

# Active Component: Cultural Adaptation in Mental Health – Systematic Review



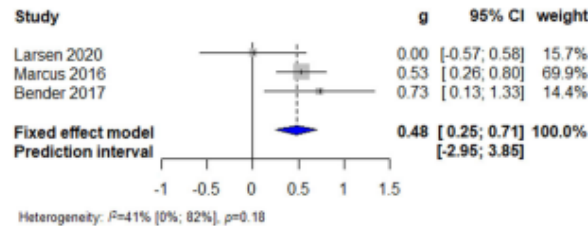
**Fig. 2 Taxonomy of cultural adaption of internet- and mobile-based interventions (IMI).** Percentages of the 42 included IMI that fulfilled the respective content, methodological, and procedural components of the taxonomy.



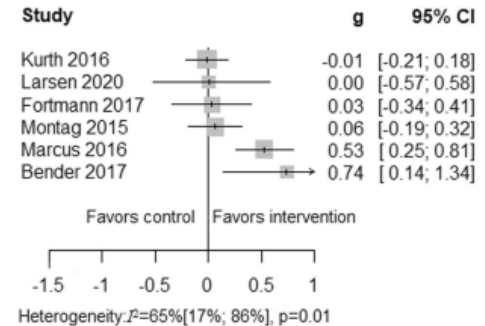
**Fig. 3 Effect sizes (Hedges' g) of the culturally adapted versus original internet- and mobile-based interventions.** Twenty-two included articles provided relevant information on the effect of their intervention. If more than one primary outcome was reported, the effect size of the Patient Health Questionnaire<sup>102</sup> is illustrated. Both single group studies and randomised controlled trials are included. Full information on the respective effect sizes can be found in Table 4.



# Active Component: Cultural Adaptation in Health Promotion – Meta-Analysis



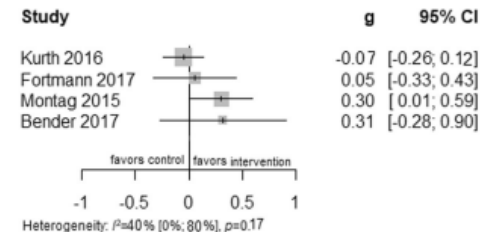
**Fig. 6 Fixed effects meta-analysis of culturally adapted IMI for physical activity vs. active control conditions.** Forest plot presenting fixed effects meta-analysis of culturally adapted IMI for physical activity vs. active controls.



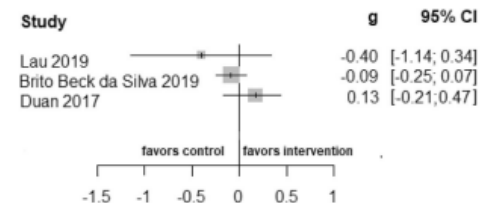
**Fig. 3 Summary of culturally adapted IMI of health promotion vs. active controls in the long-term.** Due to substantial heterogeneity among the culturally adapted IMI of health promotion vs. active controls in long-term meta-analytical pooling did not perform.

- 13 trials included: DI adapted vs. Not adapted
- Significant benefit regarding physical activity ( $g = 0.48$ ; KI: 0.26;0.71)
- Non-significant regarding all other health promotion domains

=> Superiority of cultural adapted interventions in question



**Fig. 4 Summary of culturally adapted IMI of health promotion vs. active controls in the short-term.** A summary plot of effect sizes of four studies of culturally adapted IMI of health promotion vs. active controls in short-term are presented.

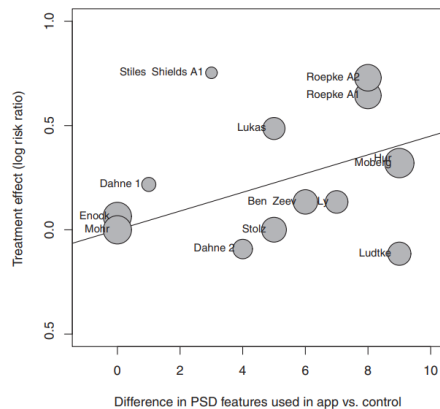


**Fig. 5 Summary of culturally adapted IMI of health promotion vs. passive controls.** Due to few numbers of studies (two studies reported data in the long-term, two in the short-term, while one study reported dichotomous outcome) comparing culturally adapted IMI to a passive control group, meta-analytical pooling did not perform.

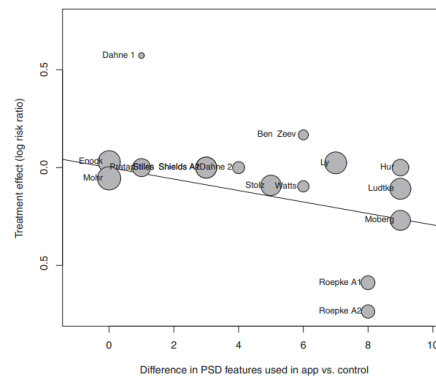
# Active Component: Persuasive Design

## Effectiveness of Smartphone Apps for Depression / Anxiety related to Persuasiveness

**Fig. 3 Random effects meta-analysis in RCTs of app efficacy comparing change in anxiety or depression symptoms in apps vs. control.** Forest plot of random effects meta-analysis in RCTs of app efficacy comparing change in anxiety or depression symptoms in apps vs. control.



**Fig. 4 Mixed effects meta-regression analysis of difference in PSD features and efficacy for app vs control.** Bubble plot of mixed effects meta-regression analysis of difference in PSD features and efficacy for app vs control.



**Fig. 5 Mixed effects meta-regression analysis of difference in PSD features and study completion rate for app vs control.** Bubble plot of mixed effects meta-regression analysis of difference in PSD features and study completion rate for app vs control.

**Table 4.** Frequency of PSD features.

Feature	App with feature	% apps with feature
Reduction	26	86.7%
Tunneling	11	36.7%
Tailoring	12	40.0%
Personalization	16	53.3%
Self-monitoring	21	70.0%
Simulation	1	3.3%
Rehearsal	12	40.0%
<b>Total primary task support features across all apps</b>	<b>99</b>	
Praise	7	23.3%
Rewards	7	23.3%
Reminders	15	50.0%
Suggestion	16	53.3%
Similarity	5	16.7%
Liking	6	20.0%
Social role	2	6.7%
<b>Total dialogue support features across all apps</b>	<b>58</b>	
Social learning	3	10.0%
Social comparison	2	6.7%
Normative influence	1	3.3%
Social facilitation	5	16.7%
Cooperation	0	0%
Competition	0	0%
Recognition	0	0%
<b>Total social support features across all apps</b>	<b>11</b>	
Trustworthiness	7	23.3%
Expertise	7	23.3%
Surface credibility	10	33.3%
Real-world feel	1	3.3%
Authority	2	6.7%
Third party endorsements	0	0%
Verifiability	0	0%
<b>Total credibility support features across all apps</b>	<b>27</b>	

## Persuasive Design

PD optimised procrastination intervention (5 CBT-modules): Non-inferiority trial comparing tech-guided vs. human guided (N=233)



<b>Primary Task Support</b>	Program personalisation options
<b>Social Support</b>	Self-Monitoring, Peer-Buddy-System
<b>System Credibility Support</b>	Optimized UX-design regarding data-safety, team, expert
<b>Dialogue Support</b>	Social role, individualized answers, reinforcement

### Non-Inferiority:

t1: Cohen's  $d = 0.04$  (95%CI -0.22; 0.30)

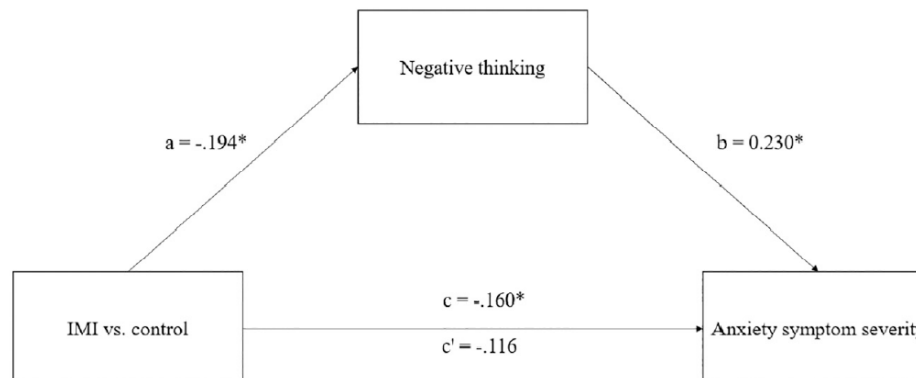
t2: Cohen's  $d = -0.03$  (95%CI -0.29; 0.23)

t3: Cohen's  $d = 0.08$  (95%CI -0.18; 0.34)

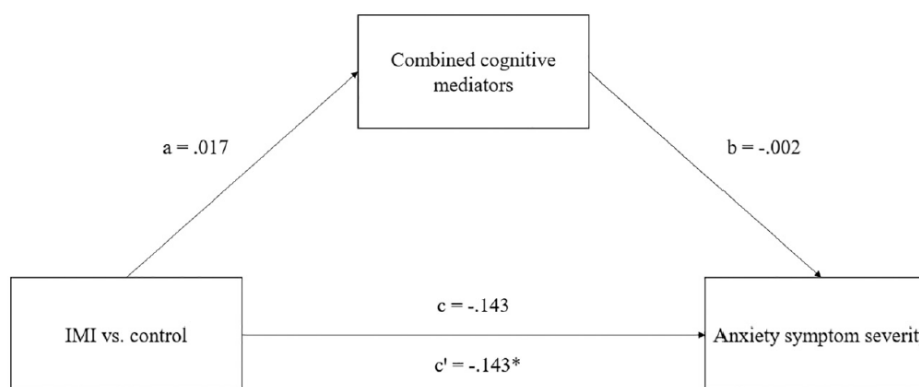


# Mechanisms of Change in Digital Anxiety Interventions

## Meta-analytical two-stage structural equation model

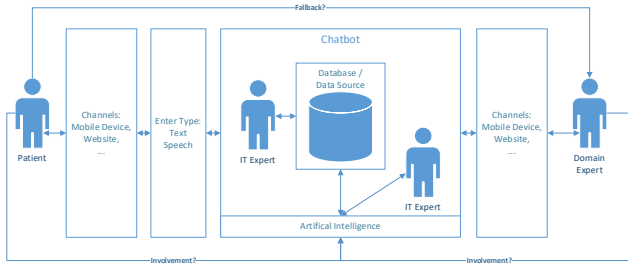


**Fig. 2.** Path diagram displaying the stage two mediational model of the TSSEM for negative thinking. Values are path coefficients. \*Parameter estimates are significantly different from zero (i.e., 95%-CIs do not contain zero).



**Fig. 3.** Path diagram displaying the stage two mediational model of the TSSEM for combined cognitive mediators in clinical samples. Values are path coefficients. \*Parameter estimates are significantly different from zero (i.e., 95%-CIs do not contain zero).

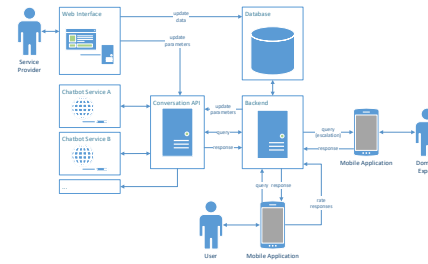
# AI, Precision Mental Health, Personalised Psychotherapy



Interspeech 2018  
2-6 September 2018, Hyderabad

## The INTERSPEECH 2018 Computational Paralinguistics Challenge: Atypical & Self-Assessed Affect, Crying & Heart Beats

Björn W. Schuller<sup>1,2,3</sup>, Stefan Steidl<sup>4</sup>, Anton Batliner<sup>2,4</sup>, Peter B. Marschik<sup>5,6,7</sup>, Harald Baumeister<sup>8</sup>,  
Fengquan Dong<sup>9</sup>, Simone Hantke<sup>2,10</sup>, Florian B. Pokorny<sup>5,10</sup>, Eva-Maria Rathner<sup>8</sup>,  
Karin D. Bartl-Pokorny<sup>5</sup>, Christa Einspieler<sup>5</sup>, Dajie Zhang<sup>5,6</sup>, Alice Baird<sup>2</sup>, Shahin Amiriparian<sup>2,10</sup>,  
Kun Qian<sup>2,10</sup>, Zhao Ren<sup>2</sup>, Maximilian Schmitt<sup>2</sup>, Panagiotis Tzirakis<sup>1</sup>, Stefanos Zafeiriou<sup>1,11</sup>



**Systematische Übersichtsarbeit / Systematic Review**

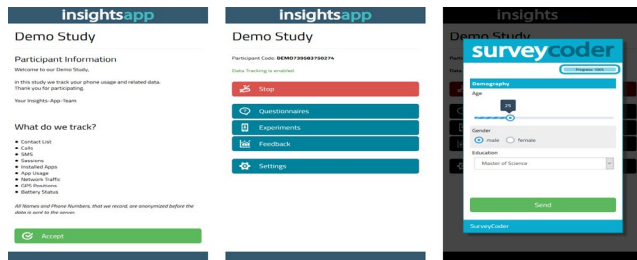
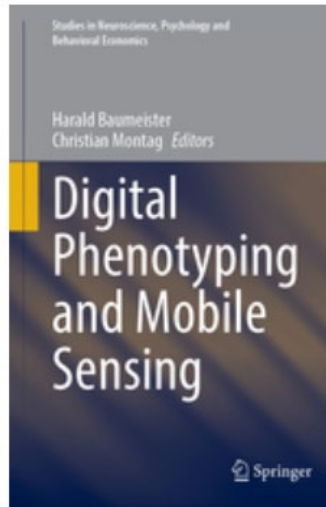
**Verhaltenstherapie**  
Psychologie  
DOI: 10.1015/0094-9445

**Die nächste Generation: Chatbots in der klinischen Psychologie und Psychotherapie zur Förderung mentaler Gesundheit – Ein Scoping-Review**

Eileen Bendig<sup>a</sup>, Benjamin Erb<sup>a</sup>, Lea Schultze-Thüsing<sup>a</sup>, Harald Baumeister<sup>a</sup>  
<sup>a</sup>Abteilung für Klinische Psychologie und Psychotherapie, Institut für Psychologie und Pädagogik, Universität Ulm, Ulm, Deutschland; <sup>b</sup>Institut für Systemische Interventionen, Universität Ulm, Ulm, Deutschland

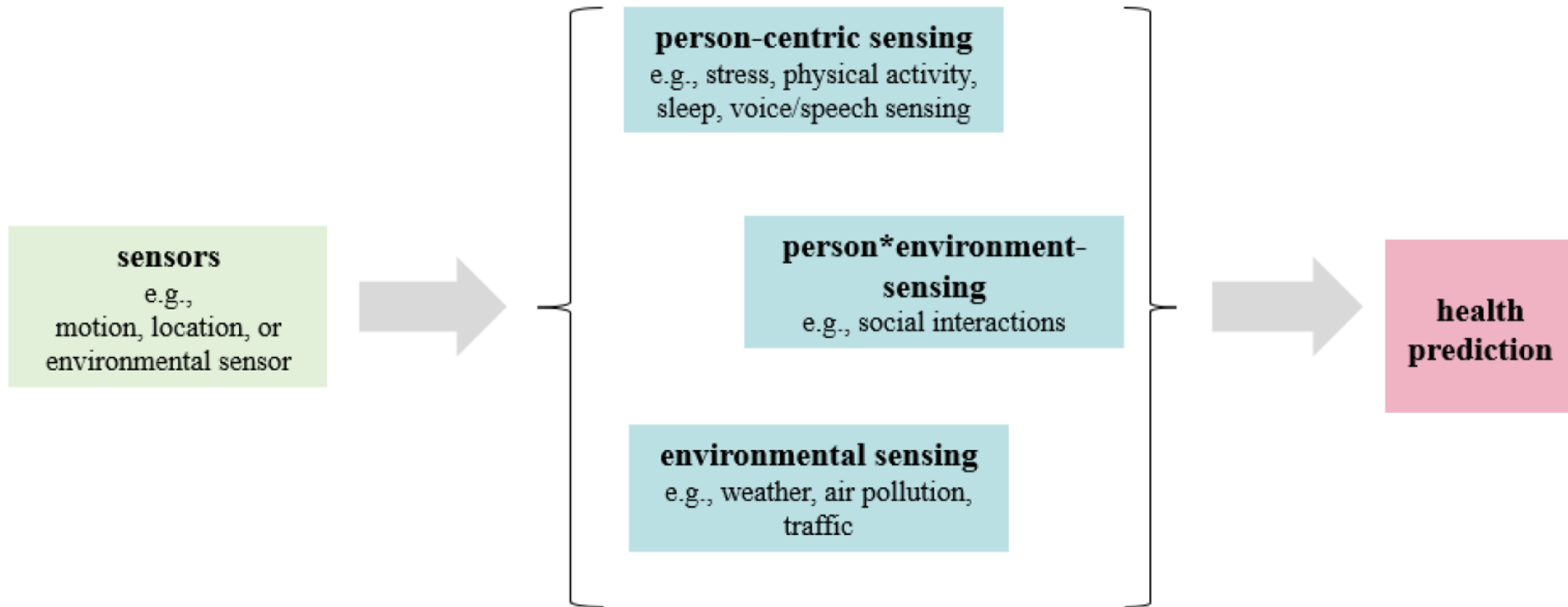
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**Abstract**  
Zielsetzung: Der vorliegende Scoping-Review zielt darauf ab, den Forschungsstand zu Chatbots in der klinischen Psychologie und Psychotherapie zu überblicken. Es werden die verschiedenen Typen von Chatbots, ihre Funktionen, ihre Vorteile und ihre Grenzen dargestellt. Es werden auch die verschiedenen Methoden zur Evaluierung von Chatbots dargestellt. Es werden auch die verschiedenen Herausforderungen bei der Entwicklung von Chatbots dargestellt. Es werden auch die verschiedenen Möglichkeiten zur Nutzung von Chatbots dargestellt. Es werden auch die verschiedenen Möglichkeiten zur Integration von Chatbots in die klinische Praxis dargestellt. Es werden auch die verschiedenen Möglichkeiten zur Integration von Chatbots in die klinische Praxis dargestellt. Es werden auch die verschiedenen Möglichkeiten zur Integration von Chatbots in die klinische Praxis dargestellt.



Montag & Baumeister, 2023; Bendig et al., 2019; Montag et al., 2019; Pryss et al., 2023

# From Sensors to Prediction of Health



*Figure 2.* From sensors to prediction of health.

# Digital Phenotyping and Mobile Sensing

## Proof-of-Concept Studies

- Depression:

Variability of visited location ( $\beta$  -.21), total sleep time ( $\beta$  .24), time in bed ( $\beta$  .26)<sup>1</sup>

Total smartphone usage time (-.019), call duration (-.016)<sup>2</sup>

Higher use of word categories: I, we, negate, anxiety and eating<sup>3</sup>

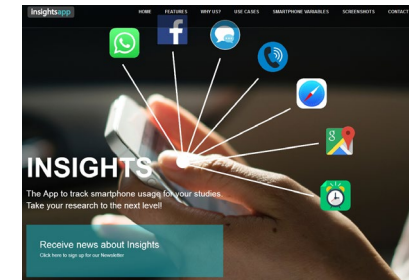
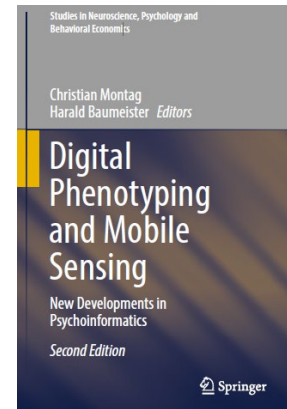
EMA and sensing features best regression model ( $R^2 = 45.15\%$ )<sup>4</sup>

- Anxiety:

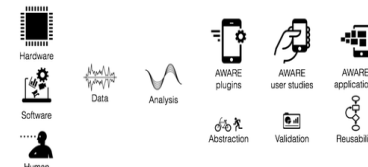
Wake after sleep onset ( $\beta$  .23), HRV ( $\beta$  .26)<sup>2</sup>

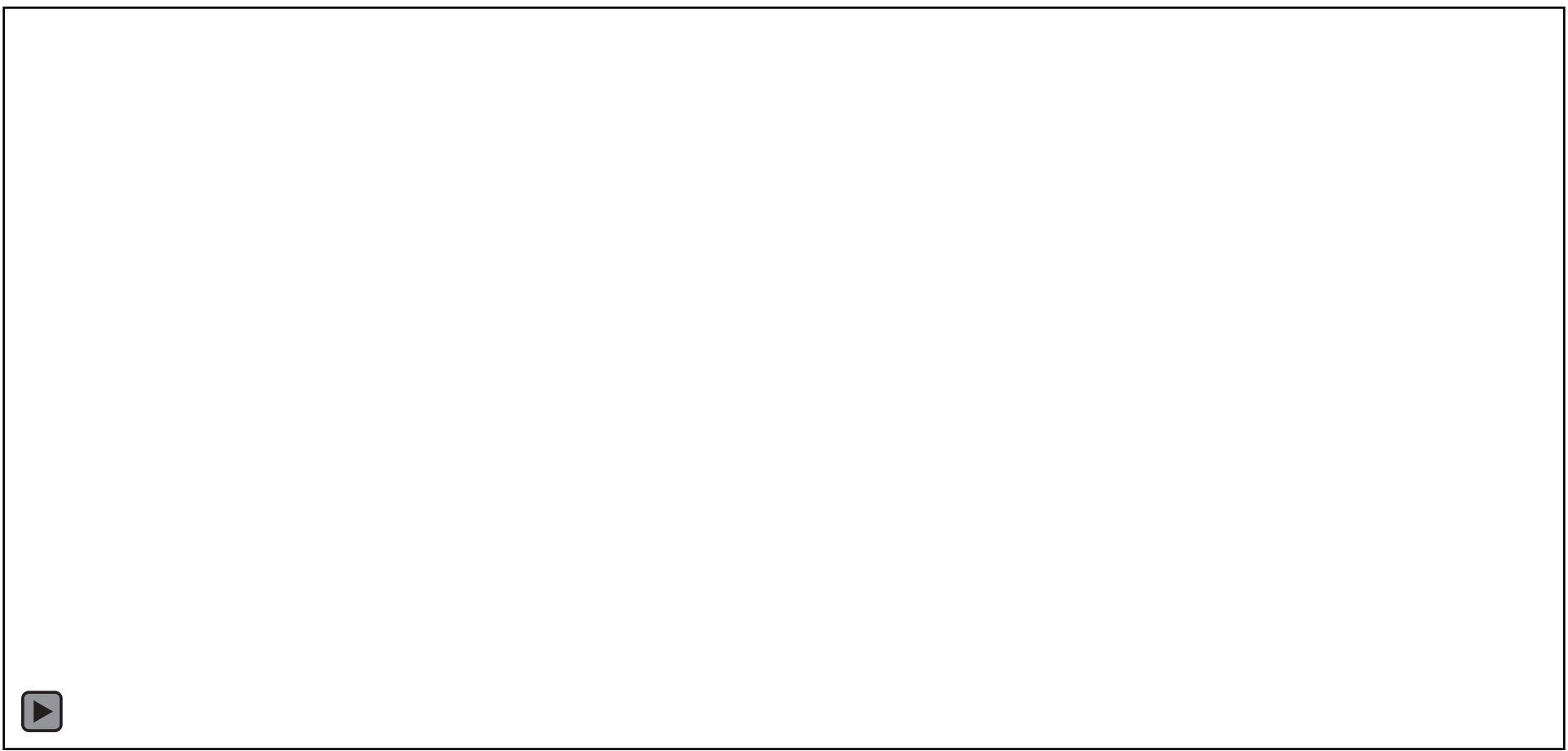
- Insomnia

Smartphone usage AUC .57 / .58 (ML Prediction: Random Forest / Naive Bayes)<sup>5</sup>



## AWARE- Smart Sensing



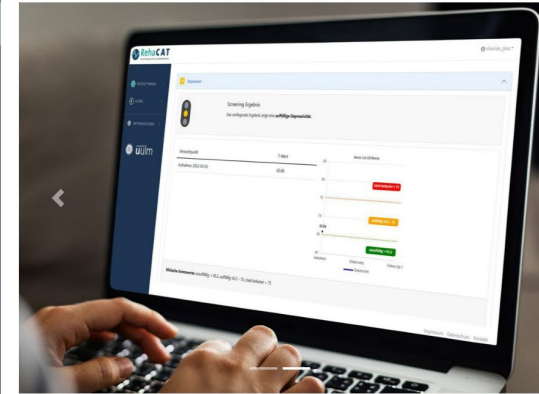
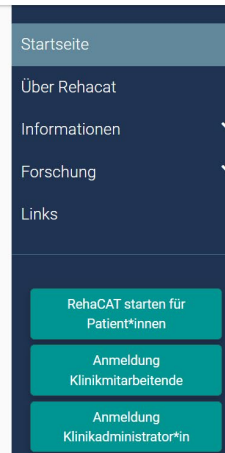


<https://osf.io/5ukt9/>



## Routine Outcome Monitoring (ROM) Systems

- RehaCAT and SysDok
  - Computer-adaptive PROM/PREM testing systems
  - Real-world setting implemented („real-world laboratory“ approach with > 10.000 patients / year
- => Secondary analysis of clinical routine data



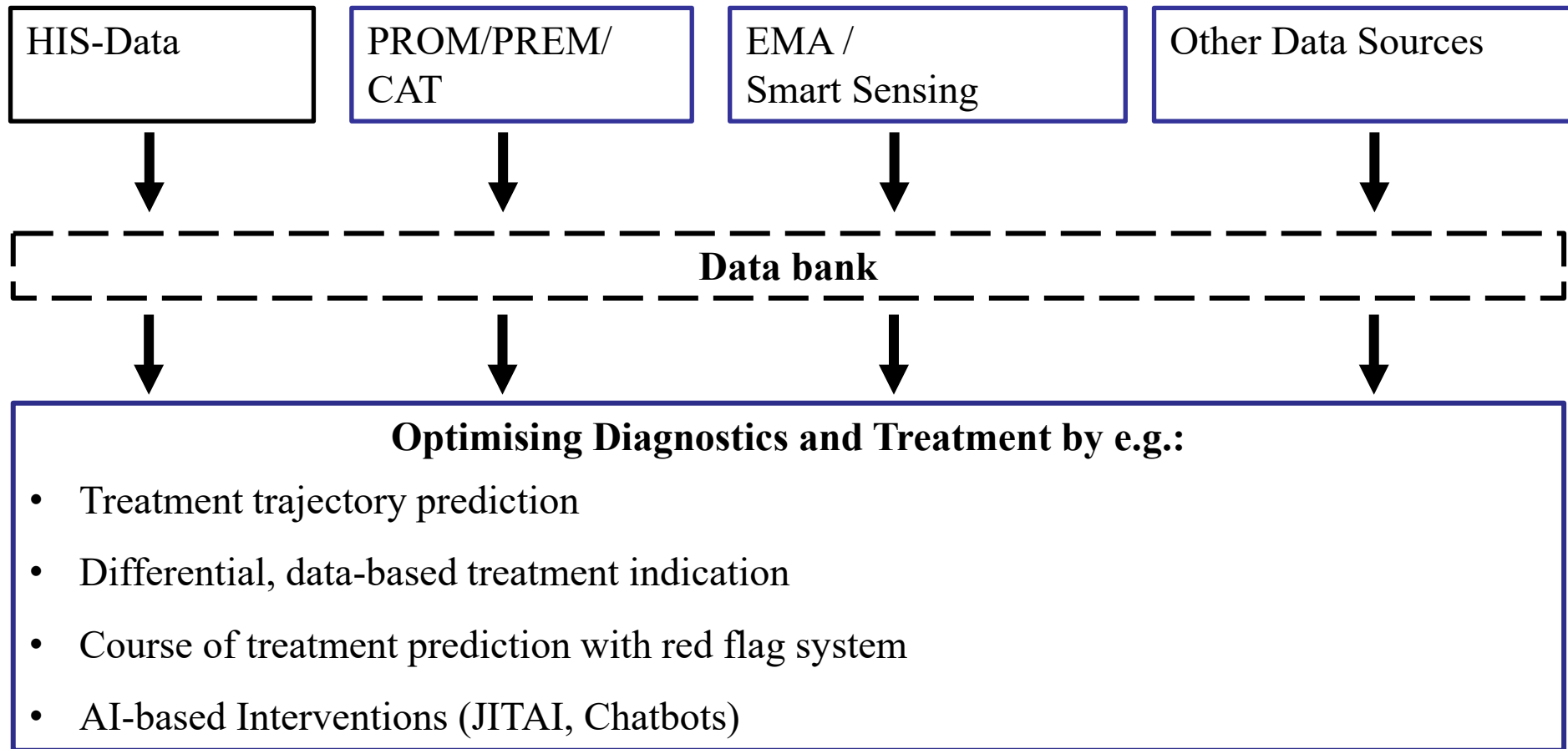
Herzlich Willkommen bei RehaCAT!



Über SysDok ▾ Für Patient:innen Für Therapeut:innen Für Ausbildungsinstitute



# Towards AI-based Clinical Decision Support System (CDSS)



## Machine learning optimized use of Depression Screening

- Secondary analysis of RCT with patients with back pain and depression
- 1.030 SCID diagnosis; Screenings: QIDS-16, PHQ-9, HAM-D-17

	AUC of ROC for the best ML	AUC of ROC for sum-score	$\Delta$ AUC	95%-CI of the difference	$p^a$
QIDS-16—basic <sup>b</sup>	0.935	0.900	0.035	0.02 to 0.05	<0.001
QIDS-16—extended <sup>c</sup>	0.937	0.900	0.036	0.02 to 0.06	<0.001
HAM-D-17	0.876	0.873	0.003	-0.02 to 0.03	0.847
PHQ-9	0.832	0.818	0.014	0.00 to 0.02	0.009

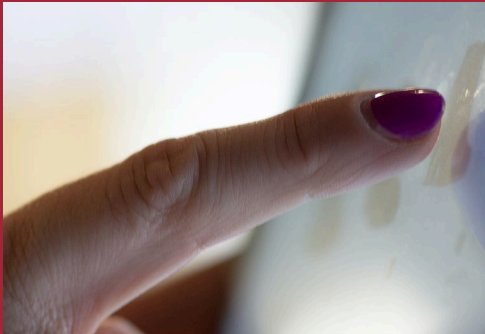
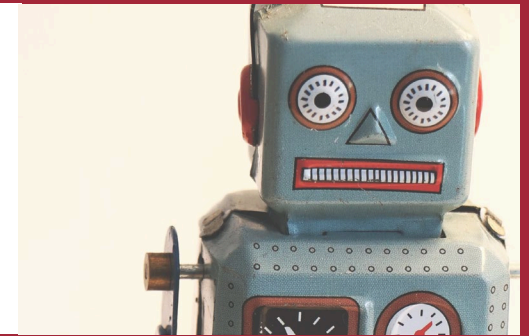
<sup>a</sup> Two-sided bootstrap test for ROC curves within in the testing set, indicating the probability of whether the true difference in AUC is different from 0.

<sup>b</sup> Logistic regression model containing only the QIDS-16 items as features.

<sup>c</sup> Logistic regression model containing QIDS-16 items, the extended feature set (e.g. mean of items), age, and gender.

## Next Generation Interventions

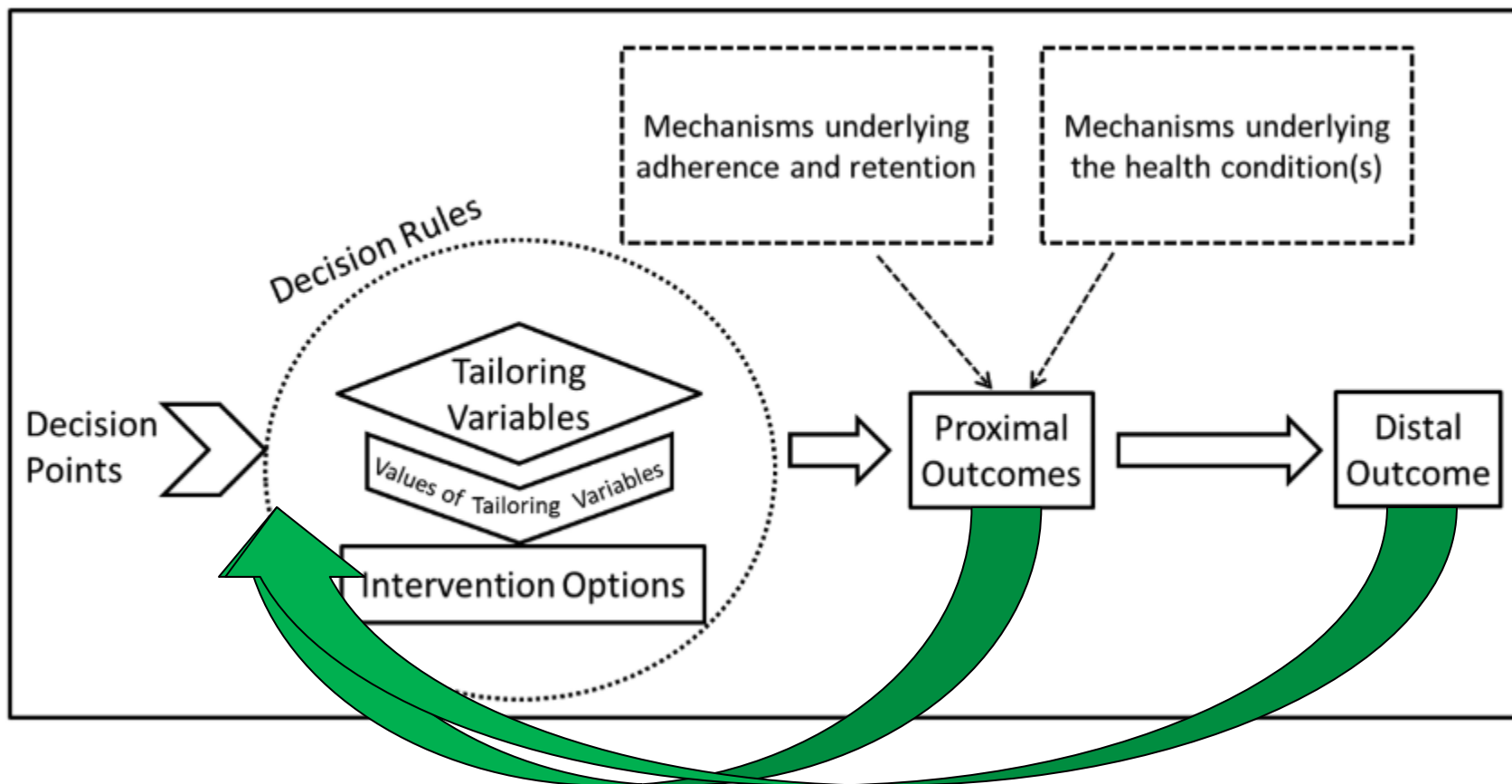
**SISU Chatbot:** Chatbot to facilitate mental health well-being



better  
care

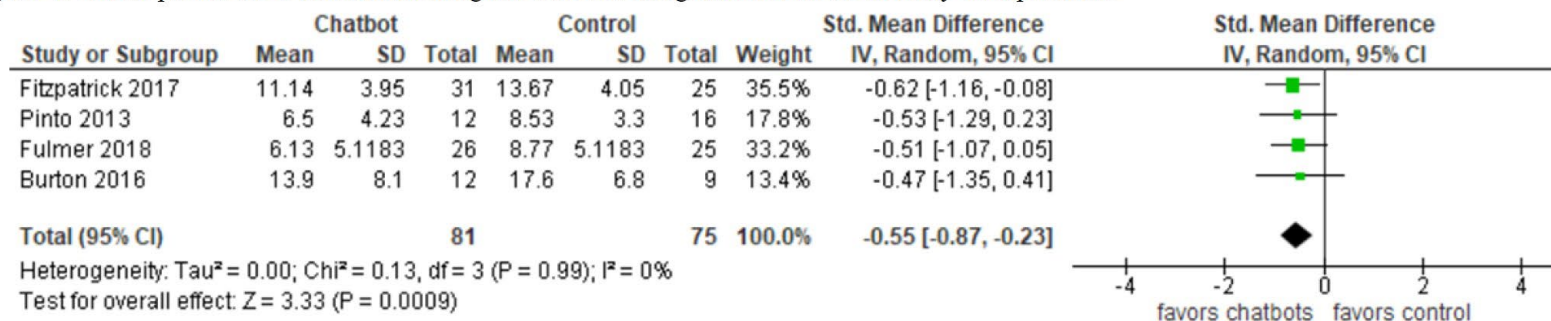
**Better Care JITA:** ACTonCANCER augmented by Just-in-time-intervention components using EMA and smart sensing

# Just-in-time Intervention

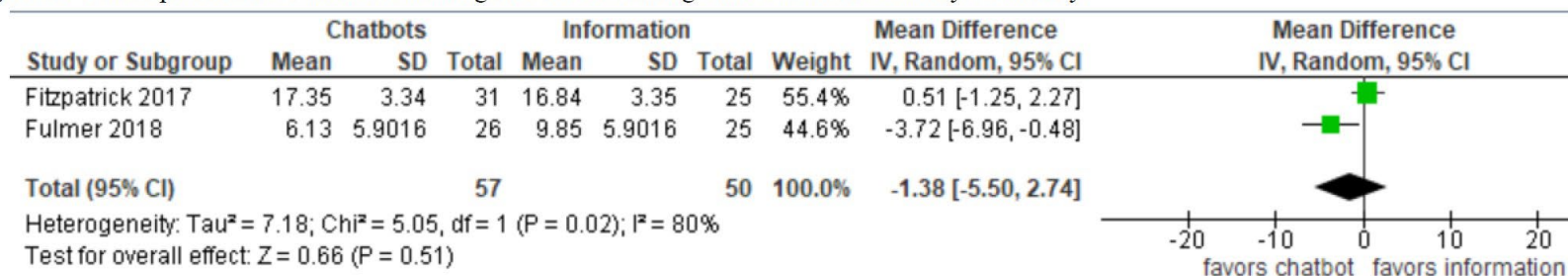


## Chatbots - Evidence

**Figure 4.** Forest plot of the 4 studies assessing the effect of using chatbots on the severity of depression.



**Figure 5.** Forest plot of the 2 studies assessing the effect of using chatbots on the severity of anxiety.



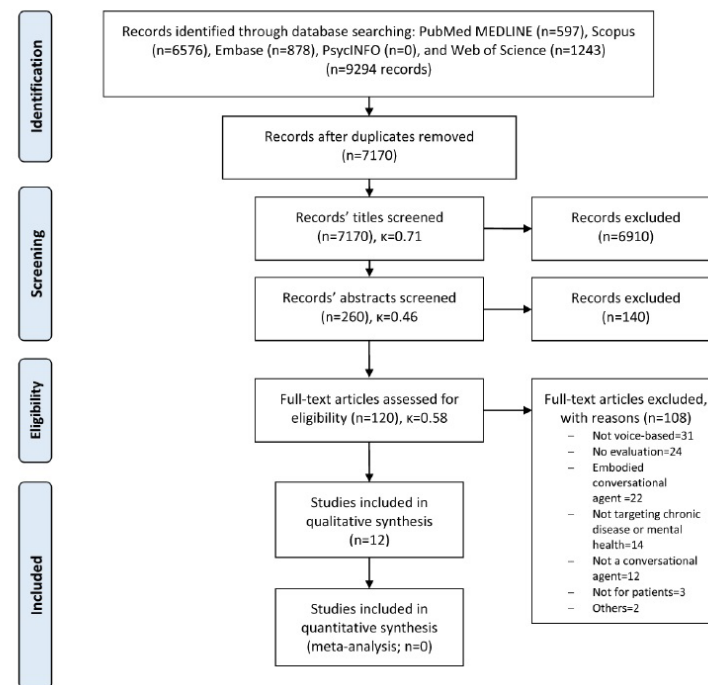
# Speech-based Chatbots

Table 1. Overview and characteristics of included records.

Reference, publication year	Study aim	Type of study participants	Addressed medical condition	Voice-enabled device type	Intervention category
Amith et al (2019) [59]	Development and acceptance evaluation	Healthy adults with at least one child under the age of 18 years (n=16)	Cancers associated with HPV <sup>a</sup>	Tablet	Support
Amith et al (2020) [60]	Development and acceptance evaluation	Healthy young adults aged between 18 and 26 years (n=24)	Cancers associated with HPV	Tablet	Support
Boyd and Wilson (2018) [61]	Criterion-based performance evaluation of commercial conversational agent	Authors as raters (n=2)	Cancers associated with smoking	Smartphone	Support
Cheng et al (2019) [62]	Development and acceptance evaluation	Older adults (n=10)	Diabetes (type 2)	Smart speaker	Monitoring and support
Galescu et al (2009) [63]	Development and performance evaluation	Chronic heart failure patients (n=14)	Heart failure	Not specified	Monitoring
Greuter and Balandin (2019) [64]	Development and performance evaluation	Adults with lifelong intellectual disability (n=9)	Intellectual disability	Smart speaker	Support
Ireland et al (2016) [65]	Development and acceptance evaluation	Adults recruited on campus (n=33)	Parkinson disease, dementia, and autism	Smartphone	Monitoring
Kadariya et al (2019) [66]	Development and acceptance evaluation	Clinicians and researchers (n=16)	Asthma	Smartphone	Monitoring and support
Lobo et al (2017) [67]	Development and acceptance evaluation	Healthy adults working regularly with senior patients (n=11)	Heart failure	Smartphone	Monitoring and support
Ooster et al (2019) [68]	Development and performance evaluation	Normal hearing (n=6)	Hearing impairment	Smart speaker	Monitoring
Rehman et al (2020) [69]	Development and performance and acceptance evaluation	Adults affiliated with the university (n=33)	Diabetes (type 1, type 2, gestational) and glaucoma	Smartphone	Monitoring and support
Reis et al (2018) [70]	Criterion-based performance evaluation of a commercial conversational agent	Not specified (n=Not specified)	Depression	Not specified	Support

<sup>a</sup>HPV: human papillomavirus.

Figure 1. PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) flow diagram of included studies.



# Dissemination and Implementation

## DISSEMINATION

Imagine there are effective digital  
interventions and nobody knows about  
them

## ACCEPTANCE - UPTAKE - ADHERENCE

Imagine there are effective  
interventions and nobody cares to  
use them



# Blended Therapy: PSYCHOnlineTHERAPY

## PSYCHOnlineTHERAPY

as blended therapy approach  
for outpatient psychotherapy

Funded by Innovationsausschuss  
(GBA)



### Website:

→ <https://www.psychonlinetherapie.de>



### eSano Online-Intervention:

PSYCHOnlineTHERAPY  
Examined for Depression and Anxiety  
Realised for ICD-10 F1-F6



### Social Media:

Facebook/Instagram  
@psychonlinetherapie



Twitter  
@psychONtherapie



# Example Stand-Alone: StudiCare

„StudiCare“ as part of WHO-  
WMH-International College  
Student Initiative

In Germany a collaborative  
project of UULM and TUM



Website:

→ <https://www.studicare.com>



## StudiCare as Landingpage and „virtual health care centre“



WHO WMH  
ICS Initiative

> 20  
(ongoing) RCTs

500.000+  
students

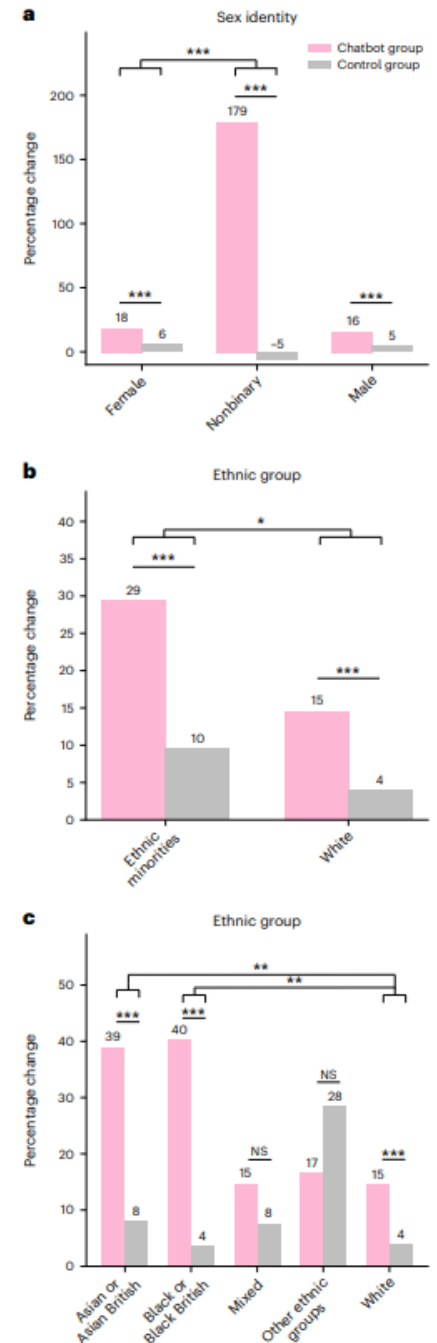
20  
participating  
universities

> 16  
interventions

Longitudinal  
Survey

# Reaching those we usually do not reach? Habicht et al. Nature Medicine 2024

- Naturalistic, retrospective study with N = 129,400 NHS patients asking for talking therapy
- 15% referral increase with implemented chatbot compared to 6% in matched controls
- Increase most pronounced in minorities (non-binary, ethnic minorities)
- Note: Exploratory study yet to be confirmed





# IMPROVA: EU-project on mental health promotion in the school setting



[ABOUT THE PROJECT](#) [WHO'S INVOLVED](#) [NEWS](#) [CONTACT](#)

## IMPROVA BOOSTING TEEN MENTAL HEALTH IN EUROPE AND BEYOND

Supported by Horizon Europe, the IMPROVA programme will test and evaluate the adoption of an eHealth platform focused on promoting mental health and early-detecting mental health issues in secondary schools across Europe. IMPROVA empowers adolescents and families to make better decisions regarding their mental health and provides schools and the community with tools to achieve a society with better mental health and lower stigma.

[About the project](#)

# German Centre for Mental Health Child and Adolescent Health



- Digital Mental Health Infrastructure
- armonising assessments and data management
- Repository on EMA, Smart Sensing, digital diagnostics and interventions
- Information, diagnostics and intervention platform for children and adolescents with obesity

=> Overcoming the translation gap from bench to patient care

# Personalising and Scaling Up Digital Mental Health Care and Research

1. Moderator-Mediator Research: Illuminating the Black Box of Psycho-Social Health Interventions
2. AI-based Personalisation (Smart Sensing / JITAI / Chatbot / CDSS)
3. Scaling Up Research: „Real-Word Laboratory“ Approach



**Many thanks for your Attention**

**...and thanks to my team!**

**...and to all collaborators, participants and funding agencies!**

